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GUARDIANS - DISCIPLINARY ACTION LOG
(Effective 04/08/2020)

Last Name	First Name	Complaint Number	Violation	Rule Violation	Disciplinary Action	Date of Final Order	First Disciplinary Action (Yes/No)
Watts	Sarah	CG-15-00353-037, 037, 045, 056 & 65	Failure to visit wards, respond to family and facility staff and participate in care planning conferences for wards; provide basic needs for wards and to respond to JBCC. Withheld funds from wards	Minimum Standards 4(VI), 5(I), 13(VI)(A-F), 14(I), 18(I), 19(IV), 21(I), 22; JBCC 3.12(b)	Refuse to renew Certification-Exp. July 7, 2015; Administrative penalty-\$25,500	December 8, 2015	Yes
Deleon	Jorge	87-CG-2016	Failed to file an Annual and final report of the person for both wards; Guardian paid himself fees for ward care out of estate w/out notifying court	Min Standards 12(e); Code of Ethics 8; Min Standards 17(i); Min Standards 16(h); Min	Admin penalty in the amount of \$1,400 to be paid w/in one year after date of Final Order; complete 5 hrs of CE in Guardianship law and ethics w/in one	April 13, 2018	Yes

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			and failed to clear furniture & boxes A.Bs rooms such that the facility staff were unable to bathe A.B.	Standards 5(a); Min Standards 12(b)	yr after the date of Final Order (in addition to 12 hrs of CE req for renewal of certification)		
Guzman	Arturo	11-CG-2017	In providing guardianship services to eight wards, failed to file or timely file; bonds and maintain bonds for protection of estate, initial inventories and list of clients of claims, annual accounts of estate, and annual report of persons. Failed to respond to court 's requests, apprise court of condition and value of estate, maintain and manage estate,keep monies of individual wards'	Estates Code 1154.051, 1163.001(a); Probate Code 729, 741, 743; Minimum Standards for Guardianship Services 2, 2(t), 2(f), 2(VI), 12(e), 12(E), 13 (f), 13(f)(7)	Certification not eligible to renew for five years; \$10,000 admin penalty	August 4, 2017	Yes

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			estates separate, and visit wards monthly.				
Spears	Sundra	12-CG-2017	Violated multiple Code of Ethics and Min Standards for Guardianship Service; violated TX Probate Code and TX Estates Code while providing guardianship in TX to 11 wards in TX	Min Stan 17 (VI) & Sec 729 Probate Code; Min Stan 2(f) & 12(e) & Sec 1163.102(c) Estates Code; Sec 743 Probate Code; Min Stan 16 (I); Sec 768 Probate Code; Min Stan 2 (VI) & Sec 741 Probate Code; Min stan 12(E); Min Stan 13(f) & 13(f)(7); Min Stan 2 (VI) & 17 (V) Sec 1163.002 Estates Code & Sec 741	Refuse to renew certification for 5 yrs; impose an admin penalty of \$12,000; the admin penalty of \$12,000 is reduced to \$6,000 w/in 5 yrs if respondent complies w following terms & conditions. Submit copies of court discharge orders to the Commission for release of guardian in 27 open guardianship case as of 01/1/2017, per 2016 Annual report filed w/Commission	February 12, 2018	Yes

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				Probate Code; Min Stan 19(VI); Min Stan 13 (VI); Sec 1163.001 Estate Code & Min Stan 2(f)&17(e); Sec 1151.151 Estate Code & Min Stan 16(a); Min Stan 17(VI); 1163.001 Estates Code; Min Stan 19			
Marcano	Melissa	0079	Respondent failed to cooperate with investigation being unresponsive to investigator	Commission Rule 7.6(a)(2); Rule 3.12(b)	Reprimand; Admin penalty of \$250 due within 6 mths after the date of the Final Order	December 12, 2019	Yes

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