

LEGISLATIVE APPROPRIATIONS REQUEST
FOR FISCAL YEARS 2016 AND 2017

SUBMITTED TO THE
GOVERNOR'S OFFICE OF BUDGET, PLANNING AND POLICY
AND THE LEGISLATIVE BUDGET BOARD



SUBMITTED
August 4, 2014

OFFICE OF COURT ADMINISTRATION, TEXAS JUDICIAL COUNCIL
DAVID SLAYTON
ADMINISTRATIVE DIRECTOR

FY 2016-17 LEGISLATIVE APPROPRIATIONS REQUEST
Office of Court Administration, Texas Judicial Council

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PURPOSE OF THE OFFICE OF COURT ADMINISTRATION

The Office of Court Administration (OCA) is an agency of the state in the Judicial Branch that provides resources and information for the efficient administration of the Judicial Branch of Texas. The agency operates under the direction of the Supreme Court of Texas and the Chief Justice.

OCA provides resources to the Judicial Branch of Texas. These resources include the following:

- For trial courts - technical assistance, training, and research on court administration; language access services; and funding and standards for indigent defense services;
- For appellate courts, specialty courts, and Judicial Branch agencies - information technology solutions, and fiscal and legal consultation;
- For Judicial Branch regulatory boards and policymaking bodies - staffing and support; and
- For child support and child protection specialty courts and the regional presiding judges - staffing and administration.

OCA provides information about the Judicial Branch to the public, the Legislative and Executive Branches, state and federal agencies, local governments, private associations and public interest groups, and members of the bar, among others. These persons and organizations rely on OCA for information about the Judicial Branch, including statistics and analysis of court information and case activity, descriptions of the court system structure and jurisdiction, and results of comparative policy studies and other research affecting courts and the judiciary.

OCA provides staff support to a wide array of Judicial Branch boards, including: Texas Judicial Council, Judicial Committee on Information Technology, Council of Chief Justices, Conference of Regional Presiding Judges, State Board of Regional Judges for Title IV-D Account, Judicial Districts Board, Judicial Compensation Commission, Texas Indigent Defense Commission, and the Judicial Branch Certification Commission.

SIGNIFICANT CHANGES IN PROVISION OF SERVICES

- While electronic filing (E-Filing) of court documents has been available in Texas since the late 1990s, the service was only available in 54 counties and 90% of the filings were still processed in paper form. Prior to 2014, DIR operated the E-Filing system as part of the Texas.gov service. In November 2012, OCA signed a contract for a new E-Filing system operated within the Judicial Branch. OCA implemented the first county on the new E-Filing system in June 2013. All E-Filing has occurred through the system since November 2013. As of July 1, 2014, E-Filing is available in 74 counties and all of the appellate courts. It is mandatory for attorneys in most case types in the appellate courts and largest 22 counties in the state.
- The number of child protection cases increased almost 17% from FY11 to FY13, including a 6% increase between FY12 and FY13. This increase in cases continues to stress the ability of the courts to adequately process the caseload appropriately.

SIGNIFICANT EXTERNALITIES

- In December 2013, the Supreme Court of Texas mandated E-Filing for most courts in the Judicial Branch beginning in January 2014, pursuant to a rolling schedule that concludes in July 2016. E-Filing is expected to produce efficiencies within the judiciary, savings for counties and courts, and improved user experience for the filing public.
- Senate Bill 966 (83rd R.S.) abolishes several Judicial Branch regulatory boards on September 1, 2014, and transitions the oversight of those individuals to the Judicial

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Branch Certification Commission. At the same time, the Licensed Court Interpreter program will be transferred from the Department of Licensing and Regulation to OCA. This change is expected to increase the efficiency of the agency and increase customer service.

- The increase in the number of unaccompanied alien children at the Texas border is expected to have a direct and significant impact on the Texas judiciary. While this LAR does not include any requests specific to this issue due to remaining uncertainties at this time, OCA is monitoring the situation and will provide updated information to the LBB, GOBPP and Legislature when appropriate.

OVERVIEW OF OCA's FY 2016-17 LEGISLATIVE APPROPRIATIONS REQUEST

As directed by the state's leadership, this appropriations request maintains the baseline budget for OCA programs at FY14-15 levels for General Revenue (GR) and GR-Dedicated Accounts. In addition, OCA respectfully requests the following:

EXCEPTIONAL ITEM FUNDING REQUESTS

1. SUPPORT STATEWIDE E-FILING IMPLEMENTATION

The majority of this exceptional item (\$8.5 million) would simply increase appropriations from the Statewide E-Filing Fund (a GR-Dedicated Account) to equal projected revenues for FY16-17. Revenues come from an E-Filing fee assessed upon users of the court system and are dedicated to the support of the E-Filing system.

\$4.9 million in GR would close a gap between actual fee revenue and the contract amount due. Based on current revenue projections, this is a one-time occurrence. Beginning in FY18, revenues will be sufficient to cover 100% of the costs of the statewide E-Filing System.

This exceptional item would also provide grant funds to counties across Texas to implement mandatory E-Filing, primarily to less populous counties who are required to fully implement E-Filing between January and July 2016. The grant funds would cover fixed costs, such as purchase of computing equipment, configuration of existing systems and/or purchase of software to facilitate a seamless interaction between the E-Filing system and local case management software. Absent this assistance, counties, local courts and court users will not fully realize the benefits and cost-savings of the E-Filing system.

NOTE: The GR request in this exceptional item could be reduced to zero if the Legislature were to authorize an increase in the statewide E-Filing fee to cover the revenue shortfall and grant funding. Even with a small fee increase, the amount paid for E-Filing in Texas is much lower than the previous system.

2. SUPPORT CORE SERVICES FOR THE JUDICIAL BRANCH

Over the years, OCA has been given increased responsibilities for programs with a far-reaching impact on Texas courts and the public. OCA supports every court and Judicial Branch agency to some degree. Therefore, OCA must maintain its core services and administrative backbone to ensure its efforts continue to fully serve Texans.

This exceptional item would allow OCA to provide permanent merit increases to staff, as appropriate, and increase funding to the administrative judicial regions to pay their administrative staff.

This exceptional item would also add 5.0 FTEs to support core services of OCA. The Judicial Information Department is the only central repository of statistical and other data for the Judicial Branch. In response to an independent study, OCA requests 1.0 FTE to adequately address the Judicial Information workload and provide increased data analysis. OCA is statutorily responsible for disseminating best practices and conducting research for the courts. An additional 1.0 FTE researcher would double OCA's capacity to appropriately address this responsibility. OCA assists in the planning of multiple conferences and other training for the Judicial Branch without

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any staff capability for this purpose. OCA requests 1.0 FTE for a professional development coordinator to address these critical functions. With increased responsibilities and workload, OCA requests 1.0 FTE to provide additional administrative support to the research and court services division so that professional staff may be used more effectively. With OCA's increased support of specialty courts and other branch agencies and the related workload, OCA requests 1.0 FTE to perform accounting and budget monitoring functions.

3. IMPROVE DATA QUALITY THROUGH CASE MANAGEMENT TECHNOLOGY

This exceptional item is new and will provide funding to establish a uniform court Case Management System (CMS) that can be used by counties throughout Texas, focusing primarily on counties with a population under 20,000. It will include the ability to capture case statistics and other judicial data that can be automatically reported to various state agencies, reducing reporting errors and the potential public safety risk of those errors. The system will be fully integrated with the E-Filing system and other state reporting systems, providing for seamless interaction with state agencies.

This item will provide funding for a new court data analysis and reporting system that will include business intelligence tools. The new data system will dramatically improve OCA's ability to analyze trends and issues in the courts; provide data to the public, Legislature, and other interested stakeholders on demand; and support better decision-making in the Judicial Branch.

The exceptional item will also provide 1.0 FTE to serve as a project manager for this large project and initiative that will have statewide impact.

4. STRENGTHEN JUDICIAL SERVICES TO FAMILIES

The Regional Presiding Judges have identified a need for 4 additional child protection courts (CPC) based on requests received from trial court judges and increases in the child protection caseload. This item would fund 4 new CPCs (8.0 FTEs) to handle continually growing caseloads and provide adequate support to assist the increased number of CPCs (2.0 FTEs).

This exceptional item would provide for an increase in the salary of the associate judges in the CPC and child support courts (CSC) to a level that is 90% of the state-provided district judge salary, as recommended by the Regional Presiding Judges. While other state-funded judges received an increase in compensation last session, these judges did not. The depressed salary inhibits OCA's ability to recruit and retain the highest-quality judges to hear these critically important cases.

This exceptional item would provide for an increase in the salary of court coordinators/reporters who staff CPCs and CSCs. A salary analysis comparing the salary of these staff with court coordinators in counties across the state reveals that OCA court coordinators/reporters are paid well-below the average. This inhibits the courts' ability to recruit and retain staff in these areas.

This exceptional item would also provide much needed operating costs and training funds for the CSCs. Increases in operating and other program costs have put the program at a point where it is unlikely to be feasible to continue current operations without additional funding.

This exceptional item would provide funding to retain the domestic violence resource attorney position (1.0 FTE) that is currently grant funded. The position is critically important to ensuring that the courts are adequately trained in domestic violence issues and have the necessary resources to address these critical cases.

5. PROVIDE JUDICIAL BRANCH TECHNOLOGY SUPPORT

OCA provides technology for the Judicial Branch, including all Texas appellate courts, the child protection courts, the administrative judicial regions, and five state judicial agencies (including OCA). Hardware support to the child support courts is provided by the Office of the Attorney General (AG), who is a party to the cases

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heard. This conflict of interest is a concern to both the courts and the AG. This exceptional item seeks to address this concern and provide enhanced technology support to the other Judicial Branch judges and employees across the state.

The exceptional item would provide regional technology support staff (6.0 FTEs) for OCA's 44 child support courts, 20 child protection courts, the intermediate appellate courts, the administrative judicial regions and regional OCA staff. These FTEs would provide direct technology support outside of Austin and would complement support available at the larger courts of appeals. Without these staff, judges and employees will continue to experience extended wait times for support.

The exceptional item would also provide a project manager (1.0 FTE) to oversee the additional technology projects that are led by OCA.

The exceptional item would also provide for the increased direct costs seen in the Microsoft Enterprise Agreement. OCA provides licensing for all 824 users of the Judicial Branch. With each renewal, costs increase 18% to 30%. Microsoft products form the base of OCA's technology operation and are critically important. OCA leverages a HUB through DIR's statewide contracts to ensure the lowest possible rates for renewal.

6. REPLACE LEGACY JUDICIAL BRANCH TECHNOLOGY

Ensuring the technology infrastructure of the Branch is secure and stable is critical to OCA's mission. This exceptional item would replace legacy security equipment that will be over six years old in FY16. These items provide firewall, intrusion prevention and spam filtering for OCA's supported users. It also funds replacement servers for both the main Austin complex and the Judicial Branch recovery site. These servers will be five to eight years old when replaced, are out of warranty, and in some cases at end of life. Networking components will also be replaced. This equipment will be between seven and nine years old at replacement and is at end of life. Continuing to operate on unsupported equipment poses a high risk to sensitive data that is stored within the judiciary and compromises the ability of the judiciary to recover in the event of a disaster.

This exceptional item would also provide funding to replace a legacy system that is being used to monitor the four judicial professions regulated by the Judicial Branch Certification Commission. The legacy system requires duplicative data entry and does not provide minimum levels of internal or external functionality. The lack of functionality inhibits the Commission's ability to offer online services to the professionals regulated by the Commission and the public-at-large. Replacing the system will accentuate the progress made by the 83rd Legislature in consolidating the regulation of the professions under a single Commission rather than multiple boards.

7. ENHANCE JUDICIAL SERVICES TO THE ELDERLY AND INCAPACITATED

The number of Texans over age 65 is expected to increase by 50% by 2020. Based upon this dramatic increase and the potential impact on the courts, in 2013 the Texas Judicial Council established the Elders Committee to "assess the ways in which the Texas courts interact with the elderly and identify judicial policies or initiatives that could be enacted to protect and improve the quality of life for the elderly in Texas."

Statutory probate courts in Texas have access to a court-appointed court monitor/investigator to review guardianship filings for potential exploitation and/or neglect. However, most judges hearing guardianship cases (primarily the constitutional county courts and some statutory county courts) do not have access to these resources. The Judicial Council has identified a need for resources to monitor cases for the non-statutory probate courts hearing guardianship cases.

This exceptional item would initiate a pilot program to place Guardianship Compliance Specialists across the state to review guardianship filings for the elderly and incapacitated to determine if guardians are following statutorily-required procedures, to review annual reports filed by the guardians, and to ensure that exploitation and/or neglect of persons under guardianship (wards) is not occurring. These 5.0 FTEs, overseen by a program manager (1.0 FTE) would review guardianship filings based upon a risk analysis and provide information to the presiding judge regarding any deficiencies. Any issues of potential abuse or neglect would also be reported to

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the appropriate authorities.

This exceptional item also includes the development of technology to monitor guardianship filings, produce “red flag” reports and ensure that the staff for this function are used effectively. OCA anticipates the system will be modified based upon a system developed for another state for this same purpose.

8. IMPLEMENT CAPPS FOR ARTICLE IV COURTS AND AGENCIES

The Comptroller’s office has identified the entire Article IV Judicial Branch (Supreme Court of Texas, Court of Criminal Appeals, 14 courts of appeals, OCA, Office of Capital Writs, Office of State Prosecuting Attorney, State Commission on Judicial Conduct, and State Law Library) for transition to the centralized accounting and payroll/personnel system (CAPPS). OCA has been working closely with the Comptroller to prepare for this transition and will be functioning as the coordinator for the Article IV courts’ and judicial agencies’ deployment.

This exceptional item would provide funding for 2.0 temporary FTEs to provide coordination of the CAPPS transition and assist the courts and judicial agencies in the transition. A project manager and management analyst would be essential to ensuring a smooth transition for the courts. Included in this cost are funds for travel to the various courts, as well as funds for court personnel to travel to Austin for discovery and other working sessions. Also included are costs to backfill positions to cover the regular duties for court and agency Subject Matter Experts and other staff who will be assisting in the CAPPS implementation.

TEXAS INDIGENT DEFENSE COMMISSION (TIDC)

Pursuant to Section 79.033, Govt Code, TIDC is submitting an LAR separate and apart from OCA. TIDC’s Administrator’s Statement follows at the end of this Administrator’s Statement.

RIDER REVISIONS AND ADDITIONS

Included with this appropriations request are proposed changes to riders to reflect funding requests, as outlined in OCA’s baseline and exceptional item appropriations. Of particular note, OCA is requesting the following:

- A change in OCA’s performance measure targets, Information Technology Equipment and Services, and Appropriations Limited to Revenue Collections Riders to reflect the abolishment of several boards by the 83rd Legislature and the transition of the Judicial Branch regulated professions to the Judicial Branch Certification Commission (JBCC).
- A change to the Indigent Defense Commission Rider to reflect an increase in the administrative funds to support the growth of the indigent defense program.
- Inclusion of the Article IX contingency rider related to the Statewide E-Filing System Fund in the agency riders.
- A new rider regarding mileage reimbursement for specialty courts staff that exempts the staff that travel regularly for court proceedings from completing a personal car versus rental car comparison worksheet.
- Revision of appropriate riders showing increases in performance and funding if OCA’s and TIDC’s exceptional items are funded.

UNEXPENDED BALANCE AUTHORITY

The agency requests continuation of its unexpended balance (U.B.) authority for all strategies. This authority allows the agency more flexibility to manage its scarce resources.

TEN PERCENT REDUCTIONS

OCA reviewed all existing programs and services to determine the requested ten percent reductions. Attempts have been made to make appropriate reductions while

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maintaining OCA's ability to continue its statutory mission. Since OCA's ongoing budget is comprised primarily of salary costs, reductions would impact OCA's staffing and directly impact the service provided to the judiciary and Texans.

BACKGROUND CHECKS

The Judicial Branch Certification Commission (JBCC) is authorized by Government Code §§ 411.1408, 411.1386, 411.081, and Estates Code §1104.407 to obtain criminal history information on individuals regulated by the JBCC. The information obtained is destroyed after use for issuance, denial, suspension, revocation, or renewal of a certificate, registration or license issued by JBCC.

OCA also has the authority under Government Code §411.1405(b) to obtain criminal history information on an individual who is an employee, applicant for employment, contractor, subcontractor, intern or other volunteer who has access to information resources or technology, other than a desktop computer or telephone station assigned to the individual. OCA regularly requests this information for individuals who will be working with OCA's technology resources. Information is destroyed after review.

CAPPS

OCA, along with the entire Judicial Branch courts and agencies, has been identified by the Comptroller to transition to CAPPS in FY16-17. OCA has included an exceptional item that will allow OCA to coordinate this effort for the 16 appellate courts and 4 Judicial Branch agencies. This request has been determined and coordinated with the Comptroller's Office.

SUMMARY

The OCA is committed to administering efficient and effective programs, and using those programs to improve the administration of justice in the Texas Judiciary to benefit the citizens of Texas. While there are other areas of need for the agency, this request is limited to those areas deemed essential to carrying out OCA's core mission and to serving the courts and needs of Texans. We will be happy to discuss any of the items in the appropriations request and will provide any additional information you may need to make an informed decision concerning this request.

Respectfully Submitted,
David Slayton
Administrative Director
Office of Court Administration / Texas Judicial Council

TEXAS INDIGENT DEFENSE COMMISSION
ADMINISTRATOR'S STATEMENT

Pursuant to Section 79.033, Govt Code, TIDC is submitting an LAR separate and apart from OCA. While the Commission remains administratively attached to the Office of Court Administration (OCA), the legislature directed the Commission to submit its LAR separate from OCA. The following is a portion of the Administrator's Statement submitted by the Texas Indigent Defense Commission.

PURPOSE OF THE TEXAS INDIGENT DEFENSE COMMISSION

The mission of the Texas Indigent Defense Commission (Commission) is to provide financial and technical support to counties to develop and maintain quality, cost-effective indigent defense systems that meet the needs of local communities and the requirements of the Constitution and state law. Central to the Commission's approach is its commitment to respect local control, providing support where needed, while ensuring that counties understand that with autonomy comes responsibility.

EXCEPTIONAL ITEM FUNDING REQUESTS

1. SUPPORT STATEWIDE REGIONAL PUBLIC DEFENDER PROGRAM FOR CAPITAL CASES (RPDO)

The Commission requests a new appropriation of \$3.1 million annually from GR and 1.0 FTE to continue the development and provide ongoing support for an existing program, the RPDO, founded in 2009 through a discretionary grant from the Commission to Lubbock County. In exchange for paying dues, when a member county has a capital murder case, a quality defense team is provided by the program at no additional cost. The RPDO provides a way for counties to have greater budget predictability, mitigate the dramatic impact a capital case can have on county budgets, and help ensure that these most serious cases are tried effectively. The RPDO now serves 159 counties spanning all nine administrative judicial regions. Under current policy 240 counties are eligible to participate by paying membership dues. In addition, Hidalgo County has requested funding from the Commission to build a stand-alone public defender program to handle its capital caseload. Under current RPDO policy, Hidalgo is not eligible to participate in this existing program based on its population. This GR investment will create a new state/county cost-sharing model which may make it possible for the RPDO to accommodate Hidalgo County, which would provide a more cost-effective alternative to building a stand-alone program.

Many of those counties that have not joined the program cited cost as the primary obstacle. Because of the many budget pressures on county government, the more membership costs rise, the greater the risk that counties will drop out of the program, which could undermine its long-term viability.

The discretionary grants that have supported the expansion of this program are set to expire in 2017. This GR investment would provide greater long-term stability to those counties already participating and make the program more economically viable to those counties that initially chose not to participate because of funding considerations. The new GR would be part of a state/county cost sharing agreement to ensure consistent and qualified representation in the most serious cases.

2. SUPPORT MULTI-COUNTY INDIGENT DEFENSE TECHNOLOGY GRANT PROGRAM

The Commission requests a new appropriation of \$1.5 million annually from GR and 1.0 FTE to continue the development and expansion of the multi-county indigent defense technology grant program with the Texas Conference of Urban Counties' TechShare (CUC) program.

In 2011 the Commission provided a discretionary grant to Bell County to develop a cloud-based electronic process management tool that helps the county administer its indigent defense system and monitor key data regarding compliance with the requirements of state law and local rules.

Benefits of the system included:

- Faster processing of requests for counsel and attorney appointments
- Central tracking of data elements needed to assess compliance with the Fair Defense Act
- Automation of the attorney appointment process

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- All-electronic attorney fee voucher submission, review and payment process.

A number of other counties have expressed interest in accessing this new functionality. The CUC program took over the management and technical development of the Bell County electronic indigent defense system and, with the help of a discretionary grant from the Commission, is implementing the system in 8 other counties and maintaining and operating the system across all participating counties. In addition, CUC is prepared to continue technical development and deployment to approximately 20 to 50 additional counties over the course of the next biennium and continue its efforts to make the system more cost effective through economies of scale.

New GR investment in the continued development and deployment of this program will provide functional enhancements, centralized operation and maintenance, and meet a need not currently being met. The purpose of these technology enhancements is to improve county compliance, transparency, efficiency and consistency in the administration of justice in Texas. Further, GR investment in this project will free up GR-dedicated funds to increase the state's ability to defray increased indigent defense costs incurred by counties since the passage of the Fair Defense Act.

3. CLOSE THE FAIR DEFENSE ACT FUNDING GAP

The Commission requests a new appropriation of \$98.4 million annually from GR to defray the unfunded increased costs associated with the passage of the Fair Defense Act (FDA) and share more equally in the funding of this government responsibility. Four FTEs are requested to administer additional funding.

This funding would allow the Commission to increase grant funding to counties in an amount that would close the funding gap on the unfunded portion indigent defense costs counties have shouldered as a result of passage of the FDA. As a result of heightened awareness of the constitutional and legal requirements costs have increased upwards of 137% from \$91.4 million 2001 to \$217.1 million in 2013. Only a small fraction of this increased expense is covered through the GR dedicated funds collected and distributed through the Commission's grant programs.

While 27 states fully fund indigent defense, Texas currently provides only 14 cents on the dollar of overall indigent defense costs. Because indigent defense is not a discretionary expense, counties are forced to make up the difference and continue to bear the vast majority of the financial burden in meeting this constitutional mandate. In FY12 \$28.4 million and in FY13 \$31.2 million in dedicated state funds were available to counties compared to total indigent defense costs in FY12 \$207.5 million and FY13 \$217.1 million. Counties continue to bear the vast majority of the financial burden in meeting this constitutional mandate. This exceptional item request is proffered to share more equally in the funding of this government responsibility and close the funding gap of the unfunded state and federal mandate.

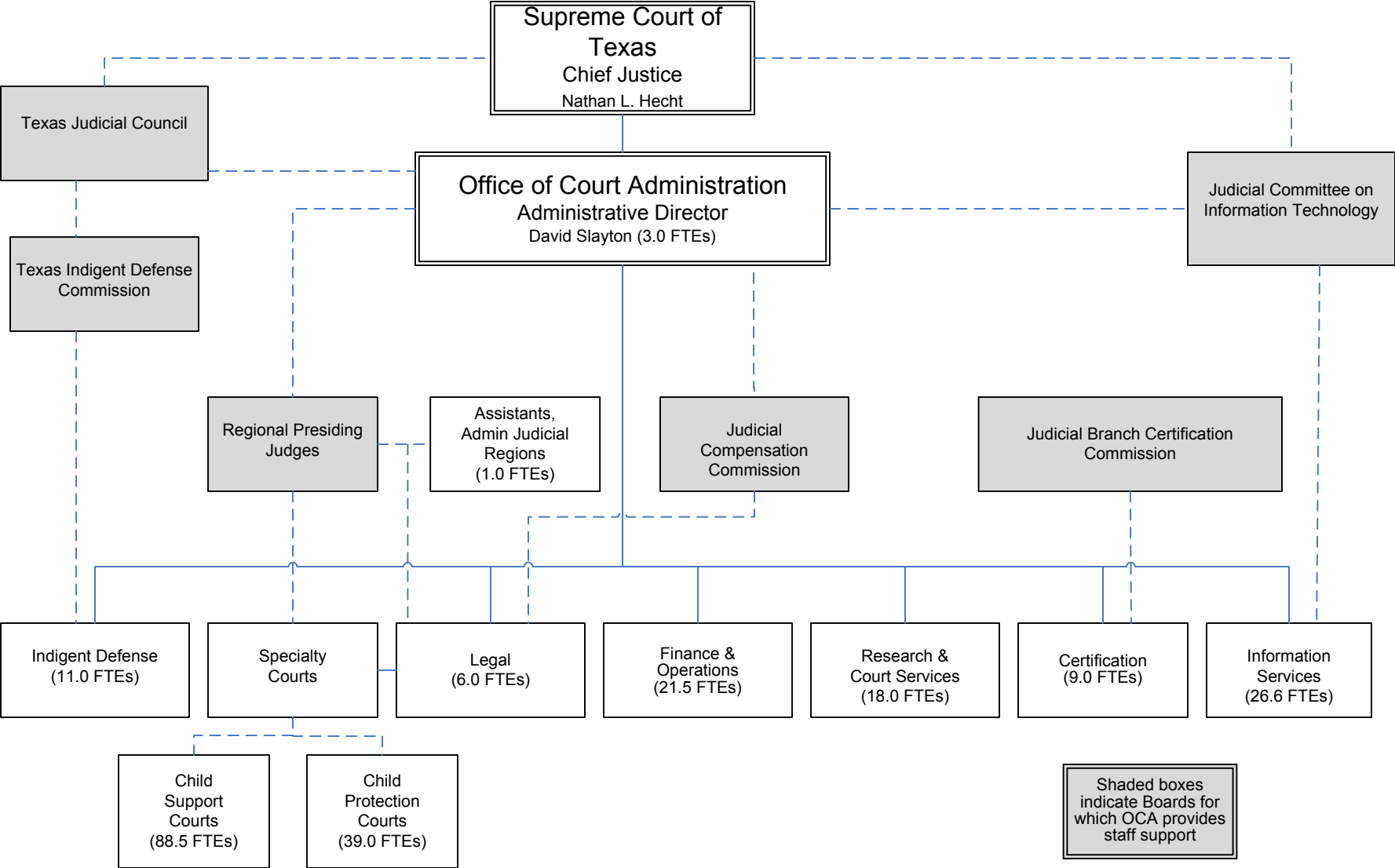
CONCLUSION

Since 2001, the Commission has provided necessary funding to encourage and promote a better justice system across Texas. As a result, many jurisdictions have implemented more effective indigent defense delivery systems and thousands more people now have their right to appointed counsel honored. The right to counsel is guaranteed in both the Texas Constitution and the United States Constitution. Indigent defense is not a discretionary program. Texas counties currently bear the overwhelming burden of funding indigent defense. The funding requested here will continue the development, maintenance, and expansion of good programs that help fulfill a constitutional duty. Currently the entire appropriation for this program is derived from dedicated fees and court costs assessed on persons convicted of a criminal offense. The Commission respectfully requests that the state recognize the additional burden counties have assumed since the passage of the Fair Defense Act and appropriate additional GR to continue the development of two outstanding programs and offset those additional costs counties have incurred.

Respectfully Submitted,
James D. Bethke
Executive Director
Texas Indigent Defense Commission

Office of Court Administration

Organization Chart



2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
1 Improve Processes and Report Information					
1 Improve Judicial Processes and Report Information					
1 COURT ADMINISTRATION	3,191,003	3,494,880	3,446,550	3,323,453	3,309,453
2 INFORMATION TECHNOLOGY	3,153,230	15,366,491	21,377,733	23,248,581	13,110,671
3 DOCKET EQUALIZATION	5,786	16,875	16,875	16,875	16,875
4 ASSIST ADMIN JUDICIAL REGIONS	205,851	206,940	208,419	208,519	208,659
TOTAL, GOAL 1	\$6,555,870	\$19,085,186	\$25,049,577	\$26,797,428	\$16,645,658
2 Complete Specialty Court Program Cases					
1 Complete Specialty Court Program Cases					
1 CHILD SUPPORT COURTS PROGRAM	6,593,505	6,949,160	6,984,438	6,940,242	7,058,418
2 CHILD PROTECTION COURTS PROGRAM	2,572,364	3,080,115	3,260,774	3,194,551	3,206,252
TOTAL, GOAL 2	\$9,165,869	\$10,029,275	\$10,245,212	\$10,134,793	\$10,264,670
3 Certification and Compliance					

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
<u>1</u> Certification and Compliance					
1 JUDICIAL BRANCH CERTIFICATION COMM	324,189	551,462	512,613	534,683	534,683
2 TEXAS.GOV	15,150	10,290	12,571	10,290	12,571
TOTAL, GOAL 3	\$339,339	\$561,752	\$525,184	\$544,973	\$547,254
<u>4</u> Improve Indigent Defense Practices and Procedures					
<u>1</u> Improve Indigent Defense Practices and Procedures					
1 TX INDIGENT DEFENSE COMM	28,875,297	51,742,772	33,291,508	33,517,140	33,517,140
TOTAL, GOAL 4	\$28,875,297	\$51,742,772	\$33,291,508	\$33,517,140	\$33,517,140
TOTAL, AGENCY STRATEGY REQUEST	\$44,936,375	\$81,418,985	\$69,111,481	\$70,994,334	\$60,974,722
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*				\$0	\$0
GRAND TOTAL, AGENCY REQUEST	\$44,936,375	\$81,418,985	\$69,111,481	\$70,994,334	\$60,974,722

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
<u>METHOD OF FINANCING:</u>					
General Revenue Funds:					
1 General Revenue Fund	10,774,035	13,360,178	12,846,837	13,893,754	12,535,169
SUBTOTAL	\$10,774,035	\$13,360,178	\$12,846,837	\$13,893,754	\$12,535,169
General Revenue Dedicated Funds:					
5073 Fair Defense	28,875,297	51,742,772	33,291,508	33,517,140	33,517,140
5157 Statewide Electronic Filing System	0	10,767,147	17,719,000	18,519,000	9,967,147
SUBTOTAL	\$28,875,297	\$62,509,919	\$51,010,508	\$52,036,140	\$43,484,287
Federal Funds:					
555 Federal Funds	150,184	136,018	81,600	6,634	0
SUBTOTAL	\$150,184	\$136,018	\$81,600	\$6,634	\$0
Other Funds:					
666 Appropriated Receipts	182,000	259,994	54,718	52,836	52,976
777 Interagency Contracts	4,954,859	5,152,876	5,117,818	5,004,970	4,902,290
SUBTOTAL	\$5,136,859	\$5,412,870	\$5,172,536	\$5,057,806	\$4,955,266
TOTAL, METHOD OF FINANCING	\$44,936,375	\$81,418,985	\$69,111,481	\$70,994,334	\$60,974,722

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. Summary of Base Request by Method of Finance

8/4/2014 1:03:18PM

84th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 212		Agency name: Office of Court Administration, Texas Judicial Council				
METHOD OF FINANCING	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017	
<u>GENERAL REVENUE</u>						
<u>1</u> General Revenue Fund						
<i>REGULAR APPROPRIATIONS</i>						
Regular Appropriations from MOF Table (2012-13 GAA)	\$9,782,224	\$0	\$0	\$0	\$0	
Regular Appropriations from MOF Table (2014-15 GAA)	\$0	\$13,938,015	\$11,954,656	\$13,893,754	\$12,535,169	
<i>RIDER APPROPRIATION</i>						
Art IX, Sec. 18.57, Contingency for HB 2949 (2012-13 GAA)	\$529,824	\$0	\$0	\$0	\$0	
Art IV, OCA Rider 15, Contingency Appropriations (2012-13 GAA)	\$119,714	\$0	\$0	\$0	\$0	
<i>TRANSFERS</i>						
Art IX, Sec 17.06 Salary Increase for General State Employees (2014-15 GAA)	\$0	\$80,258	\$234,086	\$0	\$0	
<i>SUPPLEMENTAL, SPECIAL OR EMERGENCY APPROPRIATIONS</i>						

2.B. Summary of Base Request by Method of Finance

8/4/2014 1:03:18PM

84th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 212	Agency name: Office of Court Administration, Texas Judicial Council				
METHOD OF FINANCING	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
<u>GENERAL REVENUE</u>					
HB 4, 82nd Leg, Regular Session, Sec. 54 Office of Court Administration	\$337,500	\$0	\$0	\$0	\$0
SB 2, 82nd Leg, 1st Called Session, Sec 6 Contingency for SB 1	\$119,714	\$0	\$0	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>					
Regular Appropriations from MOF Table (2012-13 GAA)	\$(85,207)	\$0	\$0	\$0	\$0
Art IV, OCA Rider 15, Contingency Appropriations (2012-13 GAA)	\$(119,714)	\$0	\$0	\$0	\$0
HB 4, 82nd Leg, Regular Session, Sec. 54 Office of Court Administration	\$(307,200)	\$0	\$0	\$0	\$0
<i>UNEXPENDED BALANCES AUTHORITY</i>					
Art IX, Sec 14.03(j), Capital Budget UB (2012-13 GAA)	\$35,385	\$0	\$0	\$0	\$0

2.B. Summary of Base Request by Method of Finance

8/4/2014 1:03:18PM

84th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 212	Agency name: Office of Court Administration, Texas Judicial Council				
METHOD OF FINANCING	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
<u>GENERAL REVENUE</u>					
Art IV, A.1.1., UB (2012-13 GAA)	\$138,164	\$0	\$0	\$0	\$0
Art IV, A.1.2., UB (2012-13 GAA)	\$115,650	\$0	\$0	\$0	\$0
Art IV, A.1.3., UB (2012-13 GAA)	\$157	\$0	\$0	\$0	\$0
Art IV, B.1.1., UB (2012-13 GAA)	\$34,667	\$0	\$0	\$0	\$0
Art IV, B.1.2., UB (2012-13 GAA)	\$71,306	\$0	\$0	\$0	\$0
Art IV, C.1.1., UB (2012-13 GAA)	\$1,851	\$0	\$0	\$0	\$0
Art IX, Sec. 14.03(i), Capital Budget UB (2014-15 GAA)	\$0	\$(330,000)	\$330,000	\$0	\$0

2.B. Summary of Base Request by Method of Finance
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/4/2014 1:03:18PM

Agency code: 212		Agency name: Office of Court Administration, Texas Judicial Council				
METHOD OF FINANCING		Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
<u>GENERAL REVENUE</u>						
	Art IV, A.1.1., UB (2014-15 GAA)	\$0	\$(50,000)	\$50,000	\$0	\$0
	Art IV, A.1.2., UB (2014-15 GAA)	\$0	\$(168,000)	\$168,000	\$0	\$0
	Art IV, B.1.2., UB (2014-15 GAA)	\$0	\$(110,095)	\$110,095	\$0	\$0
TOTAL,	General Revenue Fund	\$10,774,035	\$13,360,178	\$12,846,837	\$13,893,754	\$12,535,169
TOTAL, ALL	GENERAL REVENUE	\$10,774,035	\$13,360,178	\$12,846,837	\$13,893,754	\$12,535,169

GENERAL REVENUE FUND - DEDICATED

5073 GR Dedicated - Fair Defense Account No. 5073

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2012-13 GAA)

		\$32,512,893	\$0	\$0	\$0	\$0
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2.B. Summary of Base Request by Method of Finance

8/4/2014 1:03:18PM

84th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 212	Agency name: Office of Court Administration, Texas Judicial Council				
METHOD OF FINANCING	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
<u>GENERAL REVENUE FUND - DEDICATED</u>					
Regular Appropriations from MOF Table (2014-15 GAA)	\$0	\$48,449,904	\$30,546,228	\$0	\$0
Regular Appropriations fro MOF Table (2016-17 GAA)	\$0	\$0	\$0	\$33,517,140	\$33,517,140
<i>RIDER APPROPRIATION</i>					
Art. IV, OCA Rider 8, Adjust Revenue Estimate (2014-15 GAA)	\$0	\$3,786,079	\$2,230,792	\$0	\$0
<i>TRANSFERS</i>					
Art IX, Sec 17.06 Salary Increase for General State Employees (2014-15 GAA)	\$0	\$6,789	\$14,488	\$0	\$0
<i>SUPPLEMENTAL, SPECIAL OR EMERGENCY APPROPRIATIONS</i>					
SB2, 82nd Leg. 1st Called Session, Sec. 13 BCLS & Indigent Defense	\$5,175,887	\$0	\$0	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>					
Art. IV, Special Provisions, Sec. 11 (2012-13 GAA)	\$(5,175,887)	\$0	\$0	\$0	\$0

2.B. Summary of Base Request by Method of Finance
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/4/2014 1:03:18PM

Agency code: 212		Agency name: Office of Court Administration, Texas Judicial Council				
METHOD OF FINANCING		Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
<u>GENERAL REVENUE FUND - DEDICATED</u>						
Regular Appropriations from MOF Table (2012-13 GAA)						
		\$ (3,637,596)	\$ 0	\$ 0	\$ 0	\$ 0
<i>UNEXPENDED BALANCES AUTHORITY</i>						
Art IV, OCA Rider 8, UB (2014-15 GAA)						
		\$ 0	\$ (500,000)	\$ 500,000	\$ 0	\$ 0
TOTAL,	GR Dedicated - Fair Defense Account No. 5073	\$28,875,297	\$51,742,772	\$33,291,508	\$33,517,140	\$33,517,140
<u>5157</u>	GR Dedicated - Statewide Electronic Filing System Account No 5157					
<i>RIDER APPROPRIATION</i>						
Art. IX, Sec. 18.23. Contingency for HB 2302, Adjust Revenue Estimate (2014-15 GAA)						
		\$ 0	\$ 17,719,000	\$ 17,719,000	\$ 18,519,000	\$ 9,967,147
Art. IX, Sec. 18.23. Contingency for HB 2302, Adjust Revenue Estimate (2014-15 GAA)						
		\$ 0	\$ (6,951,853)	\$ 0	\$ 0	\$ 0
TOTAL,	GR Dedicated - Statewide Electronic Filing System Account No 5157	\$ 0	\$10,767,147	\$17,719,000	\$18,519,000	\$9,967,147

2.B. Summary of Base Request by Method of Finance

8/4/2014 1:03:18PM

84th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 212		Agency name: Office of Court Administration, Texas Judicial Council				
METHOD OF FINANCING		Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
TOTAL, ALL	GENERAL REVENUE FUND - DEDICATED	\$28,875,297	\$62,509,919	\$51,010,508	\$52,036,140	\$43,484,287
TOTAL,	GR & GR-DEDICATED FUNDS	\$39,649,332	\$75,870,097	\$63,857,345	\$65,929,894	\$56,019,456
<u>FEDERAL FUNDS</u>						
<u>555</u> Federal Funds						
<i>RIDER APPROPRIATION</i>						
Art IX, Sec 8.02, Federal Funds/Block Grants (2012-13 GAA)						
		\$150,184	\$0	\$0	\$0	\$0
Art IX, Sec 8.02, Federal Funds/Block Grants (2014-15 GAA)						
		\$0	\$136,018	\$81,600	\$6,634	\$0
TOTAL,	Federal Funds	\$150,184	\$136,018	\$81,600	\$6,634	\$0
TOTAL, ALL	FEDERAL FUNDS	\$150,184	\$136,018	\$81,600	\$6,634	\$0

OTHER FUNDS

666 Appropriated Receipts

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2012-13 GAA)

2.B. Summary of Base Request by Method of Finance
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/4/2014 1:03:18PM

Agency code: 212		Agency name: Office of Court Administration, Texas Judicial Council				
METHOD OF FINANCING		Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
<u>OTHER FUNDS</u>		\$21,894	\$0	\$0	\$0	\$0
Regular Appropriations from MOF Table (2014-15 GAA)		\$0	\$52,140	\$52,240	\$52,836	\$52,976
<i>RIDER APPROPRIATION</i>						
Art IX, Sec 8.03, Reimbursements and Payments (2012-13 GAA)		\$160,106	\$0	\$0	\$0	\$0
Art IX, Sec 8.03, Reimbursements and Payments (2014-15 GAA)		\$0	\$207,854	\$2,478	\$0	\$0
TOTAL,	Appropriated Receipts	\$182,000	\$259,994	\$54,718	\$52,836	\$52,976
<u>777</u> Interagency Contracts						
<i>REGULAR APPROPRIATIONS</i>						
Regular Appropriations from MOF Table (2012-13 GAA)		\$4,431,110	\$0	\$0	\$0	\$0
Regular Appropriations from MOF Table (2014-15 GAA)						

2.B. Summary of Base Request by Method of Finance

8/4/2014 1:03:18PM

84th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 212		Agency name: Office of Court Administration, Texas Judicial Council				
METHOD OF FINANCING		Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
<u>OTHER FUNDS</u>		\$0	\$5,005,179	\$4,994,431	\$5,004,970	\$4,902,290
<i>RIDER APPROPRIATION</i>						
Art IX, Sec 8.03, Reimbursements and Payments (2012-13 GAA)		\$523,749	\$0	\$0	\$0	\$0
Art IX, Sec 8.03, Reimbursements and Payments (2014-15 GAA)		\$0	\$147,697	\$123,387	\$0	\$0
TOTAL,	Interagency Contracts	\$4,954,859	\$5,152,876	\$5,117,818	\$5,004,970	\$4,902,290
TOTAL, ALL	OTHER FUNDS	\$5,136,859	\$5,412,870	\$5,172,536	\$5,057,806	\$4,955,266
GRAND TOTAL		\$44,936,375	\$81,418,985	\$69,111,481	\$70,994,334	\$60,974,722

2.B. Summary of Base Request by Method of Finance

8/4/2014 1:03:18PM

84th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 212	Agency name: Office of Court Administration, Texas Judicial Council				
METHOD OF FINANCING	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
FULL-TIME-EQUIVALENT POSITIONS					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2012-13 GAA)	191.6	0.0	0.0	0.0	0.0
Regular Appropriations from MOF Table (2014-15 GAA)	0.0	223.6	223.6	223.6	223.6
RIDER APPROPRIATION					
Art IX, Sec. 18.57, Contingency for HB 2949 (2012-13 GAA)	8.0	0.0	0.0	0.0	0.0
Art IX, Sec 6.10(g), 100% Federally Funded FTEs (2012-13 GAA)	7.5	0.0	0.0	0.0	0.0
Art IX, Sec 6.10(h), 100% Federally Funded FTEs (2014-15 GAA)	0.0	2.3	0.0	0.1	0.0
SUPPLEMENTAL, SPECIAL OR EMERGENCY APPROPRIATIONS					
SB 2, 82nd Leg, 1st Called Session, Sec 6 Contingency for SB 1 (2012-13 GAA)	2.0	0.0	0.0	0.0	0.0
HB 4, 82nd Leg, RS, Sec 54 Office of Court Administration, Texas Judicial Council	8.0	0.0	0.0	0.0	0.0
LAPSED APPROPRIATIONS					
Art IV OCA Rider 15, Contingency for SB 1 (2012-13 GAA)	(2.0)	0.0	0.0	0.0	0.0
UNAUTHORIZED NUMBER OVER (BELOW) CAP					

2.B. Summary of Base Request by Method of Finance

8/4/2014 1:03:18PM

84th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 212	Agency name: Office of Court Administration, Texas Judicial Council				
METHOD OF FINANCING	Exp 2013	Est 2014	Bud 2015	Req 2016	Req 2017
Unauthorized Number Over (Below) Cap	(15.2)	(13.0)	0.0	0.0	0.0
TOTAL, ADJUSTED FTES	199.9	212.9	223.6	223.7	223.6
NUMBER OF 100% FEDERALLY FUNDED FTES	7.5	2.3	1.1	0.1	0.0

2.C. Summary of Base Request by Object of Expense

8/4/2014 1:03:19PM

84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

212 Office of Court Administration, Texas Judicial Council

OBJECT OF EXPENSE	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
1001 SALARIES AND WAGES	\$13,292,285	\$14,301,353	\$14,832,794	\$14,935,964	\$14,801,216
1002 OTHER PERSONNEL COSTS	\$666,048	\$587,232	\$510,210	\$473,015	\$480,931
2001 PROFESSIONAL FEES AND SERVICES	\$120,449	\$114,520	\$6,701	\$231,101	\$231,058
2003 CONSUMABLE SUPPLIES	\$51,425	\$62,514	\$64,360	\$69,200	\$62,700
2004 UTILITIES	\$50,995	\$34,060	\$58,989	\$58,137	\$58,137
2005 TRAVEL	\$609,635	\$649,495	\$654,736	\$661,898	\$651,875
2006 RENT - BUILDING	\$21,220	\$26,898	\$27,200	\$27,400	\$27,400
2007 RENT - MACHINE AND OTHER	\$14,621	\$17,198	\$16,600	\$16,700	\$16,700
2009 OTHER OPERATING EXPENSE	\$2,473,387	\$15,078,465	\$20,807,058	\$21,992,398	\$12,592,553
4000 GRANTS	\$27,612,001	\$50,399,080	\$31,926,520	\$32,052,152	\$32,052,152
5000 CAPITAL EXPENDITURES	\$24,309	\$148,170	\$206,313	\$476,369	\$0
OOE Total (Excluding Riders)	\$44,936,375	\$81,418,985	\$69,111,481	\$70,994,334	\$60,974,722
OOE Total (Riders)					
Grand Total	\$44,936,375	\$81,418,985	\$69,111,481	\$70,994,334	\$60,974,722

2.D. Summary of Base Request Objective Outcomes
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

8/4/2014 1:03:20PM

212 Office of Court Administration, Texas Judicial Council

Goal/ Objective / Outcome	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
1 Improve Processes and Report Information <i>1 Improve Judicial Processes and Report Information</i>					
KEY 1 Percent of Entities Reporting Electronically	98.20%	98.00%	98.00%	98.00%	98.00%
2 Complete Specialty Court Program Cases <i>1 Complete Specialty Court Program Cases</i>					
KEY 1 Child Support Courts Case Disposition Rate	98.53%	100.00%	100.00%	100.00%	100.00%
3 Certification and Compliance <i>1 Certification and Compliance</i>					
1 Percentage of Complaints Resulting in Disciplinary Action	23.86%	29.87%	27.00%	27.00%	27.00%
KEY 2 Percent of Licensees with No Recent Violations	99.71%	99.54%	99.50%	99.50%	99.50%
3 Percent of Court Reporting Licensees and Businesses Who Renew Online	61.22	73.45	74.00	74.00	74.00

2.E. Summary of Exceptional Items Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/4/2014
 TIME : 1:03:20PM

Agency code: 212

Agency name: **Office of Court Administration, Texas Judicial Council**

Priority	Item	2016			2017			Biennium	
		GR and GR/GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds
1	Statewide eFiling Implementation	\$6,017,020	\$6,017,020		\$9,459,368	\$9,459,368		\$15,476,388	\$15,476,388
2	Core Services	\$906,910	\$906,910	5.0	\$822,255	\$822,255	5.0	\$1,729,165	\$1,729,165
3	Case Management	\$11,045,032	\$11,045,032	1.0	\$3,093,232	\$3,093,232	1.0	\$14,138,264	\$14,138,264
4	Judicial Services to Families	\$2,027,439	\$2,985,500	10.0	\$2,016,639	\$2,974,700	10.0	\$4,044,078	\$5,960,200
5	Judicial Branch Technology Support	\$753,047	\$753,047	7.0	\$735,947	\$735,947	7.0	\$1,488,994	\$1,488,994
6	Replace Legacy Technology	\$2,017,175	\$2,017,175		\$0	\$0		\$2,017,175	\$2,017,175
7	Judicial Services to the Elderly	\$598,229	\$598,229	6.0	\$508,533	\$508,533	6.0	\$1,106,762	\$1,106,762
8	Implement CAPPs for Article IV	\$432,769	\$432,769	2.0	\$370,669	\$370,669	2.0	\$803,438	\$803,438
9	Statewide Regional Cap PD Program	\$3,100,000	\$3,100,000	1.0	\$3,100,000	\$3,100,000	1.0	\$6,200,000	\$6,200,000
10	Multi-County Technology Program	\$1,500,000	\$1,500,000	1.0	\$1,500,000	\$1,500,000	1.0	\$3,000,000	\$3,000,000
11	Close Funding Gap	\$98,400,000	\$98,400,000	4.0	\$98,400,000	\$98,400,000	4.0	\$196,800,000	\$196,800,000
Total, Exceptional Items Request		\$126,797,621	\$127,755,682	37.0	\$120,006,643	\$120,964,704	37.0	\$246,804,264	\$248,720,386

Method of Financing

General Revenue	\$126,797,621	\$126,797,621		\$111,454,790	\$111,454,790		\$238,252,411	\$238,252,411
General Revenue - Dedicated	0	0		8,551,853	8,551,853		8,551,853	8,551,853
Federal Funds								
Other Funds		958,061			958,061			1,916,122
	\$126,797,621	\$127,755,682		\$120,006,643	\$120,964,704		\$246,804,264	\$248,720,386

Full Time Equivalent Positions

37.0

37.0

2.E. Summary of Exceptional Items Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/4/2014
 TIME : 1:03:20PM

Agency code: 212

Agency name: **Office of Court Administration, Texas Judicial Council**

Priority	Item	2016			2017			Biennium	
		GR and GR/GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds
	Number of 100% Federally Funded FTEs			0.0			0.0		

2.F. Summary of Total Request by Strategy
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/4/2014
 TIME : 1:03:21PM

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

Goal/Objective/STRATEGY	Base 2016	Base 2017	Exceptional 2016	Exceptional 2017	Total Request 2016	Total Request 2017
1 Improve Processes and Report Information						
<i>1 Improve Judicial Processes and Report Information</i>						
1 COURT ADMINISTRATION	\$3,323,453	\$3,309,453	\$1,092,027	\$992,676	\$4,415,480	\$4,302,129
2 INFORMATION TECHNOLOGY	23,248,581	13,110,671	20,561,866	13,881,039	43,810,447	26,991,710
3 DOCKET EQUALIZATION	16,875	16,875	0	0	16,875	16,875
4 ASSIST ADMIN JUDICIAL REGIONS	208,519	208,659	116,289	116,289	324,808	324,948
TOTAL, GOAL 1	\$26,797,428	\$16,645,658	\$21,770,182	\$14,990,004	\$48,567,610	\$31,635,662
2 Complete Specialty Court Program Cases						
<i>1 Complete Specialty Court Program Cases</i>						
1 CHILD SUPPORT COURTS PROGRAM	6,940,242	7,058,418	1,451,607	1,451,607	8,391,849	8,510,025
2 CHILD PROTECTION COURTS PROGRAM	3,194,551	3,206,252	1,533,893	1,523,093	4,728,444	4,729,345
TOTAL, GOAL 2	\$10,134,793	\$10,264,670	\$2,985,500	\$2,974,700	\$13,120,293	\$13,239,370
3 Certification and Compliance						
<i>1 Certification and Compliance</i>						
1 JUDICIAL BRANCH CERTIFICATION COMM	534,683	534,683	0	0	534,683	534,683
2 TEXAS.GOV	10,290	12,571	0	0	10,290	12,571
TOTAL, GOAL 3	\$544,973	\$547,254	\$0	\$0	\$544,973	\$547,254

2.F. Summary of Total Request by Strategy
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/4/2014
 TIME : 1:03:21PM

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

Goal/Objective/STRATEGY	Base 2016	Base 2017	Exceptional 2016	Exceptional 2017	Total Request 2016	Total Request 2017
4 Improve Indigent Defense Practices and Procedures						
1 Improve Indigent Defense Practices and Procedures						
1 TX INDIGENT DEFENSE COMM	\$33,517,140	\$33,517,140	\$103,000,000	\$103,000,000	\$136,517,140	\$136,517,140
TOTAL, GOAL 4	\$33,517,140	\$33,517,140	\$103,000,000	\$103,000,000	\$136,517,140	\$136,517,140
TOTAL, AGENCY STRATEGY REQUEST	\$70,994,334	\$60,974,722	\$127,755,682	\$120,964,704	\$198,750,016	\$181,939,426
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST						
GRAND TOTAL, AGENCY REQUEST	\$70,994,334	\$60,974,722	\$127,755,682	\$120,964,704	\$198,750,016	\$181,939,426

2.F. Summary of Total Request by Strategy
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/4/2014

TIME : 1:03:21PM

Agency code: 212

Agency name: Office of Court Administration, Texas Judicial Council

Goal/Objective/STRATEGY	Base 2016	Base 2017	Exceptional 2016	Exceptional 2017	Total Request 2016	Total Request 2017
General Revenue Funds:						
1 General Revenue Fund	\$13,893,754	\$12,535,169	\$126,797,621	\$111,454,790	\$140,691,375	\$123,989,959
	\$13,893,754	\$12,535,169	\$126,797,621	\$111,454,790	\$140,691,375	\$123,989,959
General Revenue Dedicated Funds:						
5073 Fair Defense	33,517,140	33,517,140	0	0	33,517,140	33,517,140
5157 Statewide Electronic Filing System	18,519,000	9,967,147	0	8,551,853	18,519,000	18,519,000
	\$52,036,140	\$43,484,287	\$0	\$8,551,853	\$52,036,140	\$52,036,140
Federal Funds:						
555 Federal Funds	6,634	0	0	0	6,634	0
	\$6,634	\$0	\$0	\$0	\$6,634	\$0
Other Funds:						
666 Appropriated Receipts	52,836	52,976	0	0	52,836	52,976
777 Interagency Contracts	5,004,970	4,902,290	958,061	958,061	5,963,031	5,860,351
	\$5,057,806	\$4,955,266	\$958,061	\$958,061	\$6,015,867	\$5,913,327
TOTAL, METHOD OF FINANCING	\$70,994,334	\$60,974,722	\$127,755,682	\$120,964,704	\$198,750,016	\$181,939,426
FULL TIME EQUIVALENT POSITIONS	223.7	223.6	37.0	37.0	260.7	260.6

2.G. Summary of Total Request Objective Outcomes
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 8/4/2014
 Time: 1:03:22PM

Agency code: **212** Agency name: **Office of Court Administration, Texas Judicial Council**

Goal/ Objective / Outcome

		BL 2016	BL 2017	Excp 2016	Excp 2017	Total Request 2016	Total Request 2017
1	Improve Processes and Report Information						
1	<i>Improve Judicial Processes and Report Information</i>						
KEY	1 Percent of Entities Reporting Electronically						
		98.00%	98.00%			98.00%	98.00%
2	Complete Specialty Court Program Cases						
1	<i>Complete Specialty Court Program Cases</i>						
KEY	1 Child Support Courts Case Disposition Rate						
		100.00%	100.00%			100.00%	100.00%
3	Certification and Compliance						
1	<i>Certification and Compliance</i>						
	1 Percentage of Complaints Resulting in Disciplinary Action						
		27.00%	27.00%			27.00%	27.00%
KEY	2 Percent of Licensees with No Recent Violations						
		99.50%	99.50%			99.50%	99.50%
	3 Percent of Court Reporting Licensees and Businesses Who Renew Online						
		74.00	74.00			74.00	74.00

3.A. Strategy Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

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212 Office of Court Administration, Texas Judicial Council

GOAL:	1	Improve Processes and Report Information	Statewide Goal/Benchmark:	0	0
OBJECTIVE:	1	Improve Judicial Processes and Report Information	Service Categories:		
STRATEGY:	1	Court Administration	Service: 01	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Output Measures:						
1	Number of New and Updated OCA Publications	31.00	37.00	38.00	37.00	37.00
2	Number of New Monthly Court Activity Reports Processed	127,844.00	129,000.00	129,000.00	129,000.00	129,000.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$2,708,369	\$2,910,830	\$3,024,303	\$2,958,955	\$2,952,321
1002	OTHER PERSONNEL COSTS	\$143,415	\$140,937	\$130,489	\$82,502	\$87,502
2001	PROFESSIONAL FEES AND SERVICES	\$31,950	\$27,514	\$2,000	\$2,000	\$2,000
2003	CONSUMABLE SUPPLIES	\$9,860	\$14,152	\$12,000	\$12,000	\$12,000
2004	UTILITIES	\$12,980	\$12,705	\$13,000	\$13,000	\$13,000
2005	TRAVEL	\$141,625	\$124,860	\$120,838	\$120,000	\$120,000
2006	RENT - BUILDING	\$4,547	\$5,411	\$5,500	\$5,500	\$5,500
2007	RENT - MACHINE AND OTHER	\$6,023	\$7,165	\$7,200	\$7,200	\$7,200
2009	OTHER OPERATING EXPENSE	\$132,234	\$251,306	\$131,220	\$122,296	\$109,930
TOTAL, OBJECT OF EXPENSE		\$3,191,003	\$3,494,880	\$3,446,550	\$3,323,453	\$3,309,453
Method of Financing:						
1	General Revenue Fund	\$2,639,466	\$2,977,576	\$3,122,921	\$3,094,245	\$3,086,138
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,639,466	\$2,977,576	\$3,122,921	\$3,094,245	\$3,086,138

212 Office of Court Administration, Texas Judicial Council

GOAL:	1	Improve Processes and Report Information	Statewide Goal/Benchmark:	0	0
OBJECTIVE:	1	Improve Judicial Processes and Report Information	Service Categories:		
STRATEGY:	1	Court Administration	Service: 01	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Method of Financing:						
555	Federal Funds					
	16.013.000 DOJ:Violence Against Women Trng&Imp	\$46,812	\$0	\$0	\$0	\$0
	16.813.000 DOJ:NICS Mntl Hlth Rcrd Imprvmnt Pj	\$103,372	\$136,018	\$81,600	\$6,634	\$0
CFDA Subtotal, Fund	555	\$150,184	\$136,018	\$81,600	\$6,634	\$0
SUBTOTAL, MOF (FEDERAL FUNDS)		\$150,184	\$136,018	\$81,600	\$6,634	\$0
Method of Financing:						
666	Appropriated Receipts	\$92,273	\$71,978	\$0	\$0	\$0
777	Interagency Contracts	\$309,080	\$309,308	\$242,029	\$222,574	\$223,315
SUBTOTAL, MOF (OTHER FUNDS)		\$401,353	\$381,286	\$242,029	\$222,574	\$223,315
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$3,323,453	\$3,309,453
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$3,191,003	\$3,494,880	\$3,446,550	\$3,323,453	\$3,309,453
FULL TIME EQUIVALENT POSITIONS:		45.5	46.1	48.5	48.6	48.5

212 Office of Court Administration, Texas Judicial Council

GOAL:	Improve Processes and Report Information	Statewide Goal/Benchmark:	0	0
OBJECTIVE:	Improve Judicial Processes and Report Information	Service Categories:		
STRATEGY:	Court Administration	Service: 01	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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STRATEGY DESCRIPTION AND JUSTIFICATION:

STATUTORY AUTHORITY: Tx Govt Code, Chapters 71 and 72; Code of Criminal Procedure, Art 103.0033

Under this strategy, the OCA supports a variety of programs that support the Texas judiciary and enhance the administration of courts and court-related activities. OCA collects, analyzes and publishes case activity statistics and other judicial data and is the only statewide repository for this information in Texas. OCA assists courts by providing analysis, advice and recommendations; preparing manuals; providing training; obtaining grant funds for projects and programs; and researching and identifying innovative ideas and programs. OCA's Collection Improvement Program (CIP), which is mandated in counties with a population of 50,000 or more and cities with a population of 100,000 or more, is funded under this strategy, as is the CIP Audit function. This strategy funds the Texas Court Remote Interpreter Services program, whereby experienced and licensed Spanish court interpreters provide services in all case types for short, limited or non-evidentiary hearings that typically last 30 minutes or less.

This strategy also funds the majority of OCA's administrative support functions, including executive, legal, finance, human resources, and operations.

212 Office of Court Administration, Texas Judicial Council

GOAL:	Improve Processes and Report Information	Statewide Goal/Benchmark:	0 0
OBJECTIVE:	Improve Judicial Processes and Report Information	Service Categories:	
STRATEGY:	Court Administration	Service: 01	Income: NA Age: NA

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Because of the judiciary's decentralized structure, the need to communicate best practices for the justice system is important, but OCA has only one research specialist who is responsible for producing multiple reports, and coordinating informational conferences. The Court Activity Reporting Database (CARD) is the system that collects monthly court activity reports for the judiciary. The system is based on outdated technology, making it difficult and time consuming to generate statistical reports.

Program growth and ongoing budget restraints have impacted OCA's ability to deliver critical services to its customers. Between 2005 and 2012, OCA was given administrative duties for process servers, certified guardians, an expanded Collection Improvement Program (CIP), the CIP Audit program, and the State Prosecuting Attorney, thus, increasing the volume of purchases and payment vouchers by 22% over that time. In the current biennium, the agency has added three new child protection courts, one new child support court, and the remote court interpreter program, and the number of payment vouchers has increased another 16%; staffing for the budget allocation has not increased since 2004. The agency also needs administrative support for its court services functions. The lack of administrative support has hindered the ability of the court services staff to effectively manage the growing demand for research and consulting projects.

212 Office of Court Administration, Texas Judicial Council

GOAL:	1 Improve Processes and Report Information	Statewide Goal/Benchmark:	0	0
OBJECTIVE:	1 Improve Judicial Processes and Report Information	Service Categories:		
STRATEGY:	2 Information Technology	Service: 01	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Efficiency Measures:						
1	Percent of Service Requests Resolved	98.00 %	100.00 %	100.00 %	100.00 %	100.00 %
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,694,068	\$1,797,452	\$1,897,638	\$1,897,638	\$1,740,454
1002	OTHER PERSONNEL COSTS	\$129,248	\$125,019	\$55,657	\$58,056	\$55,470
2001	PROFESSIONAL FEES AND SERVICES	\$70,264	\$75,151	\$1,581	\$225,981	\$225,938
2003	CONSUMABLE SUPPLIES	\$1,029	\$2,100	\$1,500	\$8,000	\$1,500
2004	UTILITIES	\$26,092	\$9,299	\$33,089	\$33,137	\$33,137
2005	TRAVEL	\$46,305	\$30,544	\$21,023	\$28,023	\$18,000
2006	RENT - BUILDING	\$4,750	\$5,800	\$5,880	\$6,000	\$6,000
2007	RENT - MACHINE AND OTHER	\$5,433	\$5,876	\$5,200	\$5,200	\$5,200
2009	OTHER OPERATING EXPENSE	\$1,151,732	\$13,167,080	\$19,149,852	\$20,510,177	\$11,024,972
4000	GRANTS	\$0	\$0	\$0	\$0	\$0
5000	CAPITAL EXPENDITURES	\$24,309	\$148,170	\$206,313	\$476,369	\$0
TOTAL, OBJECT OF EXPENSE		\$3,153,230	\$15,366,491	\$21,377,733	\$23,248,581	\$13,110,671
Method of Financing:						
1	General Revenue Fund	\$2,880,156	\$4,224,589	\$3,365,349	\$4,524,163	\$3,119,524

3.A. Strategy Request
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212 Office of Court Administration, Texas Judicial Council

GOAL:	1	Improve Processes and Report Information	Statewide Goal/Benchmark:	0	0
OBJECTIVE:	1	Improve Judicial Processes and Report Information	Service Categories:		
STRATEGY:	2	Information Technology	Service: 01	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,880,156	\$4,224,589	\$3,365,349	\$4,524,163	\$3,119,524
Method of Financing:						
5157	Statewide Electronic Filing System	\$0	\$10,767,147	\$17,719,000	\$18,519,000	\$9,967,147
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$0	\$10,767,147	\$17,719,000	\$18,519,000	\$9,967,147
Method of Financing:						
666	Appropriated Receipts	\$33,346	\$133,015	\$0	\$0	\$0
777	Interagency Contracts	\$239,728	\$241,740	\$293,384	\$205,418	\$24,000
SUBTOTAL, MOF (OTHER FUNDS)		\$273,074	\$374,755	\$293,384	\$205,418	\$24,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$23,248,581	\$13,110,671
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$3,153,230	\$15,366,491	\$21,377,733	\$23,248,581	\$13,110,671
FULL TIME EQUIVALENT POSITIONS:		24.4	27.1	26.6	26.6	26.6
STRATEGY DESCRIPTION AND JUSTIFICATION:						

212 Office of Court Administration, Texas Judicial Council

GOAL:	1	Improve Processes and Report Information	Statewide Goal/Benchmark:	0	0
OBJECTIVE:	1	Improve Judicial Processes and Report Information	Service Categories:		
STRATEGY:	2	Information Technology	Service: 01	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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STATUTORY AUTHORITY: Tx Govt Code, Section 72.024

Under this strategy, OCA provides and supports information system environments to Texas appellate courts and state judicial agencies. OCA's centralized server and network administration creates internal economies of scale and security protection for the participating appellate courts and judicial agencies. In total, OCA Information Services staff provide direct technical support to twenty-one (21) entities (with 824 FTEs), as follows: OCA (223), Appellate Courts (560), Office of Capital Writs (11), State Law Library (12), State Prosecuting Attorney (4), State Commission on Judicial Conduct (14).

OCA has implemented the Texas Appellate Management and E-Filing system (TAMES) in the Supreme Court, Court of Criminal Appeals, and the 14 intermediate courts of appeals. This system replaces the courts' legacy system, allowing for enhanced transparency and access to court information by attorneys and the general public.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The Information Services (IS) division has only twenty-five full-time staff to support a technology infrastructure, including the network, desktops and peripherals, security, e-mail, help desk, and software applications, for over 800 individuals. The IS staff also provides technology assistance to the 3,000 trial courts and clerks of Texas. To provide cost effective technology support with minimal staff, it is critical to continue to maintain a standardized, up-to-date technology environment for the entities that are directly supported by the IS division. In FY 2014-2015, OCA received appropriations to replace aging computer equipment in the appellate courts. Equipment was purchased, configured and installed in Fall 2013/Spring 2014. OCA will continue to follow the Department of Information Resources standard replacement schedule for determining which equipment should be replaced.

During the 82nd Legislature, the number of FTEs supporting the IT strategy was reduced by 3.4. The 83rd Legislature restored two programmers that were lost in those budget cuts. These two programmers are dedicated to the ongoing maintenance of TAMES. Governance is provided by a group of appellate clerks appointed by their peers. The group prioritizes enhancements and other fixes to TAMES. Additional IT staff are needed to ensure that other systems, such as Judicial Information and child support court case management, remain up-to-date and fully functional.

212 Office of Court Administration, Texas Judicial Council

GOAL:	1	Improve Processes and Report Information	Statewide Goal/Benchmark:	0	0
OBJECTIVE:	1	Improve Judicial Processes and Report Information	Service Categories:		
STRATEGY:	3	Equalization of the Courts of Appeals Dockets	Service: 01	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Explanatory/Input Measures:						
	1 Equalization Between Courts Achieved by the Transfer of Cases	97.40 %	95.00 %	95.00 %	95.00 %	95.00 %
	2 Number of Cases Transferred by the Supreme Court	663.00	550.00	610.00	610.00	610.00
Objects of Expense:						
	2005 TRAVEL	\$5,786	\$16,875	\$16,875	\$16,875	\$16,875
TOTAL, OBJECT OF EXPENSE		\$5,786	\$16,875	\$16,875	\$16,875	\$16,875
Method of Financing:						
	1 General Revenue Fund	\$5,786	\$16,875	\$16,875	\$16,875	\$16,875
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$5,786	\$16,875	\$16,875	\$16,875	\$16,875
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$16,875	\$16,875
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$5,786	\$16,875	\$16,875	\$16,875	\$16,875
FULL TIME EQUIVALENT POSITIONS:						

212 Office of Court Administration, Texas Judicial Council

GOAL:	1	Improve Processes and Report Information	Statewide Goal/Benchmark:	0	0
OBJECTIVE:	1	Improve Judicial Processes and Report Information	Service Categories:		
STRATEGY:	3	Equalization of the Courts of Appeals Dockets	Service: 01	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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STRATEGY DESCRIPTION AND JUSTIFICATION:

STATUTORY AUTHORITY: Tx Govt Code, Sec. 72.027 and Chapter 73

Under this strategy, the OCA provides funding to support the Supreme Court's transfer of cases from one court of appeals to another. This strategy pays for travel expenses incurred by appellate justices and their staff, who travel to hear cases transferred to them for disposition. When a case is "transferred" to the jurisdiction of an appellate court to hear the case, the justices of the court to which the case has been transferred generally travel to the location where the case has been filed to be near the parties to the case. OCA staff process the travel claims in accordance with state travel regulations.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The level and frequency of travel depend on the pattern of cases being transferred by the Supreme Court of Texas.

212 Office of Court Administration, Texas Judicial Council

GOAL:	1	Improve Processes and Report Information	Statewide Goal/Benchmark:	0	0
OBJECTIVE:	1	Improve Judicial Processes and Report Information	Service Categories:		
STRATEGY:	4	Assistance to the Administrative Judicial Regions	Service: 07	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:						
1001	SALARIES AND WAGES	\$65,306	\$65,959	\$67,278	\$67,278	\$67,278
1002	OTHER PERSONNEL COSTS	\$3,260	\$3,690	\$3,836	\$3,936	\$4,076
2009	OTHER OPERATING EXPENSE	\$137,285	\$137,291	\$137,305	\$137,305	\$137,305
TOTAL, OBJECT OF EXPENSE		\$205,851	\$206,940	\$208,419	\$208,519	\$208,659
Method of Financing:						
1	General Revenue Fund	\$153,711	\$154,363	\$155,701	\$155,683	\$155,683
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$153,711	\$154,363	\$155,701	\$155,683	\$155,683
Method of Financing:						
666	Appropriated Receipts	\$52,140	\$52,577	\$52,718	\$52,836	\$52,976
SUBTOTAL, MOF (OTHER FUNDS)		\$52,140	\$52,577	\$52,718	\$52,836	\$52,976
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$208,519	\$208,659
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$205,851	\$206,940	\$208,419	\$208,519	\$208,659
FULL TIME EQUIVALENT POSITIONS:		1.0	1.0	1.0	1.0	1.0

212 Office of Court Administration, Texas Judicial Council

GOAL:	1	Improve Processes and Report Information	Statewide Goal/Benchmark:	0	0
OBJECTIVE:	1	Improve Judicial Processes and Report Information	Service Categories:		
STRATEGY:	4	Assistance to the Administrative Judicial Regions	Service: 07	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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STRATEGY DESCRIPTION AND JUSTIFICATION:

STATUTORY AUTHORITY: Tx Govt Code, Chapter 74

Under this strategy, OCA employs or contracts with counties to provide administrative assistants for the presiding judges of the administrative judicial regions. The primary duty of the presiding judges is to assign visiting judges to sit in district and statutory county courts when the regular judge is absent, thus averting a backlog which would likely occur during such absences. Administrative assistants to the presiding judges handle correspondence and other communications and maintain files pertaining to the assignment of judges and the associated case files. The presiding judges otherwise have very limited resources directly available to assist them in performing these duties.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Funding for this strategy does not cover the full cost of assistants who work for the presiding judges. County facilities and resources help accomplish the purpose of the AAJR program. In addition, this strategy must regularly absorb the cost of longevity increases awarded to 1.0 FTE on OCA's payroll.

212 Office of Court Administration, Texas Judicial Council

GOAL:	2	Complete Specialty Court Program Cases	Statewide Goal/Benchmark:	0	0
OBJECTIVE:	1	Complete Specialty Court Program Cases	Service Categories:		
STRATEGY:	1	Child Support Courts Program	Service: 01	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:						
1001	SALARIES AND WAGES	\$5,919,346	\$6,176,377	\$6,216,702	\$6,316,013	\$6,333,722
1002	OTHER PERSONNEL COSTS	\$249,841	\$223,893	\$242,694	\$245,440	\$250,269
2001	PROFESSIONAL FEES AND SERVICES	\$8,555	\$1,951	\$2,000	\$2,000	\$2,000
2003	CONSUMABLE SUPPLIES	\$20,209	\$22,330	\$26,010	\$24,000	\$24,000
2004	UTILITIES	\$238	\$262	\$500	\$500	\$500
2005	TRAVEL	\$232,299	\$253,276	\$255,000	\$255,000	\$255,000
2006	RENT - BUILDING	\$10,280	\$12,900	\$13,000	\$13,000	\$13,000
2009	OTHER OPERATING EXPENSE	\$152,737	\$258,171	\$228,532	\$84,289	\$179,927
TOTAL, OBJECT OF EXPENSE		\$6,593,505	\$6,949,160	\$6,984,438	\$6,940,242	\$7,058,418
Method of Financing:						
1	General Revenue Fund	\$2,243,775	\$2,369,662	\$2,402,033	\$2,363,264	\$2,403,443
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,243,775	\$2,369,662	\$2,402,033	\$2,363,264	\$2,403,443
Method of Financing:						
777	Interagency Contracts	\$4,349,730	\$4,579,498	\$4,582,405	\$4,576,978	\$4,654,975
SUBTOTAL, MOF (OTHER FUNDS)		\$4,349,730	\$4,579,498	\$4,582,405	\$4,576,978	\$4,654,975

212 Office of Court Administration, Texas Judicial Council

GOAL:	2	Complete Specialty Court Program Cases	Statewide Goal/Benchmark:	0	0
OBJECTIVE:	1	Complete Specialty Court Program Cases	Service Categories:		
STRATEGY:	1	Child Support Courts Program	Service: 01	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$6,940,242	\$7,058,418
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$6,593,505	\$6,949,160	\$6,984,438	\$6,940,242	\$7,058,418
FULL TIME EQUIVALENT POSITIONS:		84.1	86.7	88.5	88.5	88.5

STRATEGY DESCRIPTION AND JUSTIFICATION:

STATUTORY AUTHORITY: Texas Family Code, Chapter 201, Subchapter B

Under this strategy, OCA employs personnel needed to implement and administer Title IV-D (child support establishment and enforcement) cases within the expedited time frames required under Chapter 201.110 of the Texas Family Code. OCA currently administers 43 child support dockets throughout the state. Each docket is staffed by one associate judge and one court coordinator. The associate judges are assigned to a “host county,” but generally “ride circuit” to cover all areas within their designated “court” boundaries. Roughly 97% of the budget for this strategy is used for salaries and travel costs.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

212 Office of Court Administration, Texas Judicial Council

GOAL:	2	Complete Specialty Court Program Cases	Statewide Goal/Benchmark:	0	0
OBJECTIVE:	1	Complete Specialty Court Program Cases	Service Categories:		
STRATEGY:	1	Child Support Courts Program	Service: 01	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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The CSC program is funded by General Revenue (34%) appropriated directly to OCA and federal funds (66%) that come to OCA through an Interagency Contract with the Office of Attorney General (OAG).

An area of concern regarding the continued quality of the services provided by the Child Support Courts is the need for funds to provide specialized training for staff. Currently, staff receive limited training from the State Bar of Texas and the Texas Center for the Judiciary through grants from the State Judicial Education Fund (administered by the Court of Criminal Appeals). The associate judges and court coordinators for the Child Support Courts hear volatile issues and have the safety of children and families in their hands on a daily basis. It is vital that the judges and their court coordinators are trained in a consistent manner to handle these difficult cases.

Another area of concern is the need to use visiting associate judges to cover temporary vacancies that occur because of vacations, illness, or family and medical leave. The child support dockets must be staffed to meet the needs of citizens and children and to avoid losing federal funds.

212 Office of Court Administration, Texas Judicial Council

GOAL:	2	Complete Specialty Court Program Cases	Statewide Goal/Benchmark:	0	0
OBJECTIVE:	1	Complete Specialty Court Program Cases	Service Categories:		
STRATEGY:	2	Child Protection Courts Program	Service: 01	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Output Measures:						
	1 Number of Hearings	28,824.00	31,000.00	31,000.00	31,000.00	31,000.00
KEY	2 Number of Children Who Have Received a Final Order	5,573.00	5,500.00	5,500.00	5,500.00	5,500.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,978,419	\$2,285,551	\$2,456,680	\$2,467,887	\$2,479,248
1002	OTHER PERSONNEL COSTS	\$84,890	\$42,003	\$43,583	\$47,980	\$48,093
2001	PROFESSIONAL FEES AND SERVICES	\$1,975	\$747	\$750	\$750	\$750
2003	CONSUMABLE SUPPLIES	\$14,755	\$20,479	\$20,650	\$21,000	\$21,000
2004	UTILITIES	\$8,894	\$6,675	\$7,400	\$7,500	\$7,500
2005	TRAVEL	\$128,261	\$167,795	\$180,000	\$180,000	\$180,000
2006	RENT - BUILDING	\$600	\$2,520	\$2,520	\$2,600	\$2,600
2009	OTHER OPERATING EXPENSE	\$354,570	\$554,345	\$549,191	\$466,834	\$467,061
TOTAL, OBJECT OF EXPENSE		\$2,572,364	\$3,080,115	\$3,260,774	\$3,194,551	\$3,206,252
Method of Financing:						
	1 General Revenue Fund	\$2,516,043	\$3,057,785	\$3,260,774	\$3,194,551	\$3,206,252
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,516,043	\$3,057,785	\$3,260,774	\$3,194,551	\$3,206,252

212 Office of Court Administration, Texas Judicial Council

GOAL:	2	Complete Specialty Court Program Cases	Statewide Goal/Benchmark:	0	0
OBJECTIVE:	1	Complete Specialty Court Program Cases	Service Categories:		
STRATEGY:	2	Child Protection Courts Program	Service: 01	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Method of Financing:						
777	Interagency Contracts	\$56,321	\$22,330	\$0	\$0	\$0
SUBTOTAL, MOF (OTHER FUNDS)		\$56,321	\$22,330	\$0	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$3,194,551	\$3,206,252
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$2,572,364	\$3,080,115	\$3,260,774	\$3,194,551	\$3,206,252
FULL TIME EQUIVALENT POSITIONS:		28.9	34.3	39.0	39.0	39.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

STATUTORY AUTHORITY: Texas Family Code, Chapter 201, Subchapter C

Under this strategy, OCA operates 20 child protection courts in 117 counties, with 15 associate judges and 20 court reporters/coordinators. In FY 2013, these courts held 28,824 hearings. 5,573 children received final orders. 15 courts are staffed by a dedicated associate judge and a court coordinator, who travel to the counties served by their court to hear cases. The other 5 courts are staffed by one or more assigned retired district judges and a court coordinator or reporter.

As compared to counties not served by these courts, OCA child protection courts have better outcomes for children and families. According to 2011 DFPS data, OCA's CPCs have the highest rate of reunifying children with their families, the highest rate of final orders within one year, and the highest rate of placing children with relatives when reunification fails. The courts receive policy guidance from the Presiding Judges of the Administrative Judicial Regions and technical assistance from OCA, including access to an in-house online case management system. Approximately 94% of the budget for this strategy is used for court staffing and travel costs.

212 Office of Court Administration, Texas Judicial Council

GOAL:	2	Complete Specialty Court Program Cases	Statewide Goal/Benchmark:	0	0
OBJECTIVE:	1	Complete Specialty Court Program Cases	Service Categories:		
STRATEGY:	2	Child Protection Courts Program	Service: 01	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The child protection courts were created to assist trial courts in predominantly rural areas in managing their child abuse and neglect dockets. The judges assigned to these dockets hear child abuse and neglect cases exclusively. Therefore, children can achieve permanency more quickly and the quality of placement decisions should be higher. These courts play a key role in determining whether and how long children will remain in foster care, and where they will permanently reside. The length of time that a child remains in foster care and the appropriateness of the permanent placement depend largely on how efficiently and effectively courts facilitate case review, which is largely a function of the timeliness and appropriateness of judicial decisions.

212 Office of Court Administration, Texas Judicial Council

GOAL:	3	Certification and Compliance	Statewide Goal/Benchmark:	0	0
OBJECTIVE:	1	Certification and Compliance	Service Categories:		
STRATEGY:	1	Judicial Branch Certification Commission	Service: NA	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Output Measures:						
KEY 1	Number of New Licenses Issued	751.00	737.00	737.00	737.00	737.00
2	Number of Licenses Renewed	2,581.00	2,348.00	2,733.00	2,440.00	2,857.00
3	Number of Complaints Resolved	90.00	79.00	80.00	80.00	80.00
Efficiency Measures:						
1	Average Time (Days) For Complaint Resolution	169.11	156.59	163.00	163.00	163.00
Explanatory/Input Measures:						
1	Total Number of Licenses	7,457.00	7,437.00	7,590.00	7,731.00	7,860.00
2	Number of Jurisdictional Complaints Received	68.00	51.00	69.00	69.00	69.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$261,922	\$380,910	\$424,905	\$424,905	\$424,905
1002	OTHER PERSONNEL COSTS	\$17,738	\$20,673	\$16,225	\$17,085	\$17,505
2001	PROFESSIONAL FEES AND SERVICES	\$83	\$129	\$130	\$130	\$130
2003	CONSUMABLE SUPPLIES	\$560	\$1,453	\$1,200	\$1,200	\$1,200
2005	TRAVEL	\$27,139	\$25,051	\$28,000	\$28,000	\$28,000
2006	RENT - BUILDING	\$173	\$147	\$180	\$180	\$180
2007	RENT - MACHINE AND OTHER	\$1,691	\$1,757	\$1,800	\$1,900	\$1,900
2009	OTHER OPERATING EXPENSE	\$14,883	\$121,342	\$40,173	\$61,283	\$60,863

212 Office of Court Administration, Texas Judicial Council

GOAL:	3	Certification and Compliance	Statewide Goal/Benchmark:	0	0
OBJECTIVE:	1	Certification and Compliance	Service Categories:		
STRATEGY:	1	Judicial Branch Certification Commission	Service: NA	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
5000	CAPITAL EXPENDITURES	\$0	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$324,189	\$551,462	\$512,613	\$534,683	\$534,683
Method of Financing:						
1	General Revenue Fund	\$319,948	\$549,038	\$510,613	\$534,683	\$534,683
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$319,948	\$549,038	\$510,613	\$534,683	\$534,683
Method of Financing:						
666	Appropriated Receipts	\$4,241	\$2,424	\$2,000	\$0	\$0
SUBTOTAL, MOF (OTHER FUNDS)		\$4,241	\$2,424	\$2,000	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$534,683	\$534,683
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$324,189	\$551,462	\$512,613	\$534,683	\$534,683
FULL TIME EQUIVALENT POSITIONS:		5.9	7.4	9.0	9.0	9.0
STRATEGY DESCRIPTION AND JUSTIFICATION:						

212 Office of Court Administration, Texas Judicial Council

GOAL:	3	Certification and Compliance	Statewide Goal/Benchmark:	0	0
OBJECTIVE:	1	Certification and Compliance	Service Categories:		
STRATEGY:	1	Judicial Branch Certification Commission	Service: NA	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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STATUTORY AUTHORITY: Government Code, Chapter 152

The Judicial Branch Certification Commission (JBCC) was established by the Texas Legislature during the 83rd Regular Session to promote government efficiency and create consistency across the regulated judicial professions. The nine members of the commission are appointed by the Supreme Court and oversee the certification, registration and licensing of court reporters and court reporting firms, guardians, process servers, and licensed court interpreters.

By statute, the commission's operations start on September 1, 2014. The creation of the JBCC abolishes the Court Reporters Certification Board, Guardianship Certification Board and the Process Server Review Board. The Licensed Court Interpreter program, which previously was under the supervision of the Texas Department of Licensing and Regulation (TDLR) also falls under the jurisdiction of the JBCC.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The OCA Certification Division staff members have multiple projects in development to make the transition to the new commission a success. The goal is to consolidate the four Judicial Branch regulatory programs into one regulatory division creating consistency across the regulated judicial professions. Some of the projects include: transferring the court interpreters to the OCA; exploring alternatives for creating a new certification database; developing the new JBCC rules, developing a new webpage and creating a paperless environment; creating a functional organizational structure; and simplifying applications, forms, processes and procedures to streamline the Commission's interaction with the regulated population.

212 Office of Court Administration, Texas Judicial Council

GOAL:	3	Certification and Compliance	Statewide Goal/Benchmark:	0	0
OBJECTIVE:	1	Certification and Compliance	Service Categories:		
STRATEGY:	2	Texas.Gov. Estimated and Nontransferable	Service:	16	Income: NA Age: NA

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$15,150	\$10,290	\$12,571	\$10,290	\$12,571
TOTAL, OBJECT OF EXPENSE		\$15,150	\$10,290	\$12,571	\$10,290	\$12,571
Method of Financing:						
1	General Revenue Fund	\$15,150	\$10,290	\$12,571	\$10,290	\$12,571
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$15,150	\$10,290	\$12,571	\$10,290	\$12,571
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$10,290	\$12,571
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$15,150	\$10,290	\$12,571	\$10,290	\$12,571

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

STATUTORY AUTHORITY: Govt Code 2054

Texas.gov is the system used by JBCC (and other state agencies) to accept payments for license renewals for court reporters, court reporting firms, and licensed court interpreters.

212 Office of Court Administration, Texas Judicial Council

GOAL:	3	Certification and Compliance	Statewide Goal/Benchmark:	0	0
OBJECTIVE:	1	Certification and Compliance	Service Categories:		
STRATEGY:	2	Texas.Gov. Estimated and Nontransferable	Service:	16	Income: NA Age: NA

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

In accordance with Art. IV, OCA Appn, Strategy C.1.2. TEXAS.GOV, and Art. IX, Sec. 9.05 of the General Appropriations Act, this strategy is estimated and nontransferable. Therefore, whatever revenues are collected for this function are appropriated to the agency to pass through to the provider.

212 Office of Court Administration, Texas Judicial Council

GOAL:	4	Improve Indigent Defense Practices and Procedures	Statewide Goal/Benchmark:	0	0
OBJECTIVE:	1	Improve Indigent Defense Practices and Procedures	Service Categories:		
STRATEGY:	1	Improve Indigent Defense Practices and Procedures	Service: 07	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
Output Measures:						
KEY 1	# Monitoring Visits, Technical Support Visits, & Trainings Conducted	106.00	105.00	105.00	105.00	105.00
KEY 2	Percentage of Counties Receiving State Funds for Indigent Defense	99.21 %	94.00 %	94.00 %	94.00 %	94.00 %
Objects of Expense:						
1001	SALARIES AND WAGES	\$664,855	\$684,274	\$745,288	\$803,288	\$803,288
1002	OTHER PERSONNEL COSTS	\$37,656	\$31,017	\$17,726	\$18,016	\$18,016
2001	PROFESSIONAL FEES AND SERVICES	\$7,622	\$9,028	\$240	\$240	\$240
2003	CONSUMABLE SUPPLIES	\$5,012	\$2,000	\$3,000	\$3,000	\$3,000
2004	UTILITIES	\$2,791	\$5,119	\$5,000	\$4,000	\$4,000
2005	TRAVEL	\$28,220	\$31,094	\$33,000	\$34,000	\$34,000
2006	RENT - BUILDING	\$870	\$120	\$120	\$120	\$120
2007	RENT - MACHINE AND OTHER	\$1,474	\$2,400	\$2,400	\$2,400	\$2,400
2009	OTHER OPERATING EXPENSE	\$514,796	\$578,640	\$558,214	\$599,924	\$599,924
4000	GRANTS	\$27,612,001	\$50,399,080	\$31,926,520	\$32,052,152	\$32,052,152
TOTAL, OBJECT OF EXPENSE		\$28,875,297	\$51,742,772	\$33,291,508	\$33,517,140	\$33,517,140

Method of Financing:

212 Office of Court Administration, Texas Judicial Council

GOAL:	4	Improve Indigent Defense Practices and Procedures	Statewide Goal/Benchmark:	0	0
OBJECTIVE:	1	Improve Indigent Defense Practices and Procedures	Service Categories:		
STRATEGY:	1	Improve Indigent Defense Practices and Procedures	Service: 07	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
1	General Revenue Fund	\$0	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$0	\$0	\$0	\$0	\$0
Method of Financing:						
5073	Fair Defense	\$28,875,297	\$51,742,772	\$33,291,508	\$33,517,140	\$33,517,140
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$28,875,297	\$51,742,772	\$33,291,508	\$33,517,140	\$33,517,140
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$33,517,140	\$33,517,140
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$28,875,297	\$51,742,772	\$33,291,508	\$33,517,140	\$33,517,140
FULL TIME EQUIVALENT POSITIONS:		10.1	10.3	11.0	11.0	11.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Texas Indigent Defense Commission provides financial and technical support to counties to develop and maintain quality, cost-effective indigent defense systems that meet the needs of local communities and the requirements of the Constitution and state law. The Commission administers a statewide grant program, a fiscal and policy monitoring program, a technical support program, and develops policies and standards. The Commission receives all statewide indigent defense information reported by counties and provides reports and analysis to state leadership, legislature, and the public. OCA provides administrative support to the Commission.

212 Office of Court Administration, Texas Judicial Council

GOAL:	4	Improve Indigent Defense Practices and Procedures	Statewide Goal/Benchmark:	0	0
OBJECTIVE:	1	Improve Indigent Defense Practices and Procedures	Service Categories:		
STRATEGY:	1	Improve Indigent Defense Practices and Procedures	Service: 07	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

This strategy is funded from the Fair Defense Account, a dedicated account in General Revenue. The entire funding stream for indigent defense is derived from dedicated court costs and dedicated fees. No General Revenue is appropriated for the purpose of indigent defense. The court costs are amounts paid by a defendant upon conviction for a range of offenses from fine only misdemeanors to felonies. The fees come from attorneys renewing licenses and persons posting a surety bond. With the passage of the Fair Defense Act of 2001, spending for indigent defense in Texas has increased to 137%, going from \$91.4 million in 2001 to \$217.1 million in 2013. Only a small fraction of this increased cost is covered through GR dedicated funds collected and disbursed through the Commission's grant programs.

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$44,936,375	\$81,418,985	\$69,111,481	\$70,994,334	\$60,974,722
METHODS OF FINANCE (INCLUDING RIDERS):				\$70,994,334	\$60,974,722
METHODS OF FINANCE (EXCLUDING RIDERS):	\$44,936,375	\$81,418,985	\$69,111,481	\$70,994,334	\$60,974,722
FULL TIME EQUIVALENT POSITIONS:	199.9	212.9	223.6	223.7	223.6

3.B. RIDER REVISIONS AND ADDITIONS REQUEST

Agency Code: 212	Agency Name: Office of Court Administration	Prepared by: Glenna Rhea Bowman	Date: 08/04/2014	Request Level: Baseline																																																															
Current Rider Number	Page Number in 2014-15 GAA	Proposed Rider Language																																																																	
1	IV-26	<p>Performance Measure Targets. The following is a listing of the key performance target levels for the Office of Court Administration, Texas Judicial Council. It is the intent of the Legislature that appropriations made by this Act be utilized in the most efficient and effective manner possible to achieve the intended mission of the Office of Court Administration, Texas Judicial Council. In order to achieve the objectives and service standards established by this Act, the Office of Court Administration, Texas Judicial Council shall make every effort to attain the following designated key performance target levels associated with each item of appropriation.</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;"></th> <th style="text-align: center; width: 15%;"><u>2014 2016</u></th> <th style="text-align: center; width: 15%;"><u>2015 2017</u></th> </tr> </thead> <tbody> <tr> <td colspan="3">A. Goal: PROCESSES AND INFORMATION</td> </tr> <tr> <td colspan="3">Outcome (Results/Impact):</td> </tr> <tr> <td style="padding-left: 20px;">Percent of Entities Reporting Case Statistics Electronically</td> <td style="text-align: center;">98%</td> <td style="text-align: center;">98%</td> </tr> <tr> <td colspan="3">B. Goal: SPECIALTY COURT PROGRAMS</td> </tr> <tr> <td colspan="3">Outcome (Results/Impact):</td> </tr> <tr> <td style="padding-left: 20px;">Child Support Courts Case Disposition Rate</td> <td style="text-align: center;">100%</td> <td style="text-align: center;">100%</td> </tr> <tr> <td colspan="3">B.1.2. Strategy: CHILD PROTECTION COURTS PROGRAM</td> </tr> <tr> <td colspan="3">Output (Volume):</td> </tr> <tr> <td style="padding-left: 20px;">Number of Children Who Have Received a Final Order</td> <td style="text-align: center;"><u>5,250 5,500</u></td> <td style="text-align: center;"><u>5,250 5,500</u></td> </tr> <tr> <td colspan="3">C. Goal: CERTIFICATION AND COMPLIANCE WITH INDIVIDUALS AND BUSINESSES</td> </tr> <tr> <td colspan="3">Outcome (Results/Impact):</td> </tr> <tr> <td style="padding-left: 20px;">Percentage of Individual Licensees with No Recent Violations</td> <td style="text-align: center;">99.8%</td> <td style="text-align: center;">99.8%</td> </tr> <tr> <td colspan="3">C.1.1. Strategy: COURT REPORTERS CERT BOARD JUDICIAL BRANCH CERTIFICATION COMMISSION</td> </tr> <tr> <td colspan="3">Output (Volume):</td> </tr> <tr> <td style="padding-left: 20px;">Number of New Licenses Issued to Individuals</td> <td style="text-align: center;"><u>52 737</u></td> <td style="text-align: center;"><u>52 737</u></td> </tr> <tr> <td colspan="3">D. Goal: INDIGENT DEFENSE</td> </tr> <tr> <td colspan="3">D.1.1. Strategy: TX INDIGENT DEFENSE COMM</td> </tr> <tr> <td colspan="3">Output (Volume):</td> </tr> <tr> <td style="padding-left: 20px;">Number of Monitoring, Technical Support Visits and Training Conducted</td> <td style="text-align: center;">105</td> <td style="text-align: center;">105</td> </tr> <tr> <td style="padding-left: 20px;">Percentage of Counties Receiving State Funds</td> <td style="text-align: center;">94%</td> <td style="text-align: center;">94%</td> </tr> </tbody> </table> <p><i>This rider has been changed to reflect approved changes to the agency's budget structure and performance measures, as well as, currently projected levels of performance for key measures in FY 16-17.</i></p>				<u>2014 2016</u>	<u>2015 2017</u>	A. Goal: PROCESSES AND INFORMATION			Outcome (Results/Impact):			Percent of Entities Reporting Case Statistics Electronically	98%	98%	B. Goal: SPECIALTY COURT PROGRAMS			Outcome (Results/Impact):			Child Support Courts Case Disposition Rate	100%	100%	B.1.2. Strategy: CHILD PROTECTION COURTS PROGRAM			Output (Volume):			Number of Children Who Have Received a Final Order	<u>5,250 5,500</u>	<u>5,250 5,500</u>	C. Goal: CERTIFICATION AND COMPLIANCE WITH INDIVIDUALS AND BUSINESSES			Outcome (Results/Impact):			Percentage of Individual Licensees with No Recent Violations	99.8%	99.8%	C.1.1. Strategy: COURT REPORTERS CERT BOARD JUDICIAL BRANCH CERTIFICATION COMMISSION			Output (Volume):			Number of New Licenses Issued to Individuals	<u>52 737</u>	<u>52 737</u>	D. Goal: INDIGENT DEFENSE			D.1.1. Strategy: TX INDIGENT DEFENSE COMM			Output (Volume):			Number of Monitoring, Technical Support Visits and Training Conducted	105	105	Percentage of Counties Receiving State Funds	94%	94%
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3.B. RIDER REVISIONS AND ADDITIONS REQUEST

2	IV-26	<p>Capital Budget. None of the funds appropriated above may be expended for capital budget items except as listed below. The amounts shown below shall be expended only for the purposes shown and are not available for expenditure for other purposes. Amounts appropriated above and identified in this provision as appropriations either for "Lease Payments to the Master Lease Purchase Program" or for items with an "(MLPP)" notation shall be expended only for the purpose of making lease-purchase payments to the Texas Public Finance Authority pursuant to the provisions of Government Code § 1232.103.</p>																																																															
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3.B. RIDER REVISIONS AND ADDITIONS REQUEST

4	IV-27	<p>Information Technology Equipment and Services. From funds appropriated, Office of Court Administration shall provide staff and information technology equipment and services for the Judicial Committee on Information Technology and information technology equipment and services for the appellate courts, Court Reporters Certification Board, Guardianship Certification Board, Process Server Review Board<u>Judicial Branch Certification Commission</u>, State Law Library, Office of the State Prosecuting Attorney, State Commission on Judicial Conduct and the Office of Capital Writs subject to funds available within amounts appropriated above for Strategy A.1.2, Information Technology.</p> <p><i>Effective September 1, 2014, the certification boards for which OCA provides administrative support, plus Court Interpreters who were previously licensed by the Texas Department of Licensing and Regulation, were combined into a single Judicial Branch Certification Commission. The change above reflects the new governance structure for OCA's certification functions.</i></p>
7	IV-27	<p>Interagency Contract for Assigned Judges for Child Protection Courts. Out of funds appropriated above to Strategy B.1.2, Child Protection Courts Program, the Office of Court Administration may enter into a contract with the Office of the Comptroller for fiscal years 2014 2016 and 2015 2017, for the purpose of reimbursing the Comptroller for amounts expended for judges assigned under Chapter 74, Government Code to hear cases of the Child Protection Courts established pursuant to Subchapter C, Chapter 201, Family Code. It is the intent of the Legislature that any amounts reimbursed under this contract for judges assigned to the Child Protection Courts are in addition to amounts appropriated for the use of assigned judges in Strategy A.1.2, Visiting Judges - Regions in the Judiciary Section, Comptroller's Department.</p> <p><i>This rider has been changed to reflect the FY 2016-17 biennium.</i></p>
8	IV-27	<p>Included above in Strategy D.1.1, Texas Indigent Defense Commission, is \$950,500 <u>1,064,988</u> including 12.0 <u>11.0</u> FTEs in fiscal year 2014 2016 and \$950,500 <u>1,064,988</u> including 12.0 <u>11.0</u> FTEs in fiscal year 2015 2017 for the administration of the Commission. Except as otherwise provided relating to appropriations for the Office of Capital Writs, balances and amounts deposited into the General Revenue-Dedicated Fair Defense Account No. 5073 are appropriated above in Strategy D.1.1, Texas Indigent Defense Commission (TIDC). Any balances and amounts deposited in excess of \$48,449,904 <u>33,517,140</u> in fiscal year 2014 2016 and \$30,546,228 <u>33,517,140</u> in fiscal year 2015 2017 are appropriated to the TIDC for the same purpose. Included in these estimates are amounts collected from court costs pursuant to Code of Criminal Procedure, Art. 102.0045, Fee for Jury Reimbursement to Counties (estimated to be \$7,0500,000 in fiscal year 2014 2016 and \$7,0500,000 in fiscal year 2015 2017). Any unexpended balances in the Fair Defense Account at the end of fiscal year 2014 2016 are appropriated for fiscal year 2015 2017 to the TIDC for the same purpose. The Texas Indigent Defense Commission shall have authority to make grants to counties from the Fair Defense Account (General Revenue-Dedicated Account No. 5073), with funds being disbursed by the Comptroller. No portion of the appropriation made by this section shall be used to offset the Office of Court Administration's administrative support provided to the Texas Indigent Defense Commission except by mutual agreement of the Texas Indigent Defense Commission and the Office of Court Administration. Any unexpended balances in appropriations out of the Fair Defense Account at the end of fiscal year 2014 2016 are hereby appropriated for fiscal year 2015 2017 to the Office of Court Administration, Texas Judicial Council for the same purpose.</p> <p><i>This rider has been changed to reflect the FY 2016-17 biennium. It also requests an administrative increase to support the growth of the indigent defense program.</i></p>

3.B. RIDER REVISIONS AND ADDITIONS REQUEST

10	IV-28	<p>Appropriations Limited to Revenue Collections. It is the intent of the Legislature that fees, fines and other miscellaneous revenues as authorized and generated by the Court Reporters Certification Board<u>Judicial Branch Certification Commission</u> cover, at a minimum, the cost of the appropriations made above in Strategy C.1.1, Court Reporters Certification Board<u>Judicial Branch Certification Commission (JBCC)</u>, and Strategy C.1.2, Texas.gov, as well as an amount equal to the <u>JBCC's portion of the</u> amount identified above in the informational item "Other Direct and Indirect Costs Appropriated Elsewhere in this Act" and estimated to be \$64,975 <u>\$113,222</u> in fiscal year 2014 <u>2016</u> and \$69,345 <u>\$113,222</u> in fiscal year 2015 <u>2017</u>. In addition, fees, fines and other miscellaneous revenues as authorized by the Process Servers Review Board and the Guardianship Certification Board shall cover, at a minimum, the cost of appropriations made above in Strategy C.1.3, Guardians and Process Servers, as well as, an amount equal to the amount identified above in the informational item "Other Direct and Indirect Costs Appropriated Elsewhere in this Act" and estimated to be \$94,419 in fiscal year 2014 and \$100,594 in fiscal year 2015. In the event that actual and/or projected revenue collections are insufficient to offset the costs identified by this provision, the Legislative Budget Board may direct that the Comptroller of Public Accounts reduce the appropriation authority provided above to be within the amount of revenue expected to be available.</p> <p><i>This rider has been changed to reference the Judicial Branch Certification Commission, which replaces OCA's previous, separate governing boards for certification. It also clarifies that the revenues generated by the JBCC must cover only the "Other Direct and Indirect Costs Appropriated Elsewhere in this Act" for the JBCC and not for all of OCA.</i></p>
11	IV-28	<p>Innocence Projects. Out of amounts appropriated above in Strategy D.1.1, Texas Indigent Defense Commission, \$400,000 in each year of the biennium from the General Revenue- Dedicated Fair Defense Account No. 5073 shall be used by the Commission to contract with law schools at the University of Houston, the University of Texas, Texas Tech University, and Texas Southern University for innocence projects. It is the intent of the Legislature that the amount of each contract with each university shall be \$100,000. Any unexpended balances in the \$400,000 in funds designated for innocence projects as of August 31, 2014 <u>2016</u> are hereby appropriated to Strategy D.1.1, Texas Indigent Defense Commission for the same purpose for the fiscal year beginning September 1, 2015 <u>2017</u>.</p> <p><i>This rider has been changed to reflect the FY 2016-17 biennium.</i></p>
12	IV-28	<p>Lump Sum Payments for Child Support Courts Program. Included in amounts appropriated above for Strategy B.1.1, Child Support Courts Program, is \$30,000 in fiscal year 2014 <u>2016</u> and \$30,000 in fiscal year 2015 <u>2017</u> shall be used only for the purpose of paying lump sum termination payments for child support court employees in the event of the employee's separation from state employment in accordance with existing statutes and rules governing these payments. Any unexpended balances in appropriations made for this purpose for fiscal year 2014 <u>2016</u> are appropriated to the Office of Court Administration in fiscal year 2015 for the same purposes.</p> <p><i>This rider has been changed to reflect the FY 2016-17 biennium.</i></p>

3.B. RIDER REVISIONS AND ADDITIONS REQUEST

14	IV-28	<p>Guardianship Examination Fees. Any exam fees established by the Guardianship Certification Board, pursuant to Government Code, Sec. 111.016(b)(3), (estimated to be \$7,500 in fiscal year 2014 and \$7,500 in fiscal year 2015 are included in amounts appropriated above in Strategy C.1.3, Guardians and Process Servers for the purpose of offsetting costs associated with developing and administering the guardianship certification exam.</p> <p><i>Change to reflect FY16-17 biennium</i><i>This rider is no longer needed. Guardianship exam fees are included with the other revenues that support the Judicial Branch Certification Commission.</i></p>
15	IV-28	<p>Study of Department of Public Safety Sting Operations. Included in amounts appropriated above in Strategy A.1.1, Court Administration, the Office of Court Administration (OCA) is appropriated \$40,000 in fiscal year 2014 to conduct a study to determine the financial impact on local governments of statewide sting operations conducted by the Department of Public Safety (DPS), in particular the costs of the prosecution and defense of court cases resulting from these sting operations in small or exurban communities and counties near urban areas. The study shall include a review of past sting operations conducted by DPS, including those involving drugs, human trafficking, and similar activity. The study shall also include a review of all forfeiture funds collected as a result of these sting operations, including an analysis of who receives these funds and the purposes for which they are used. Any unexpended balances as of August 31, 2014 in funds appropriated for this purpose are appropriated for the same purpose in the fiscal year beginning September 1, 2014. OCA shall report to the Legislature the findings from this study no later than January 1, 2015.</p> <p><i>Delete this rider, as this study will be completed on January 1, 2015 and the rider is no longer needed.</i></p>
16	IV-29	<p>Contingency for HB 990: Sentencing Commission.⁴ Contingent on enactment of HB 990 or similar legislation relating to the establishment of a permanent Sentencing Commission to review the Penal Code comprehensively and study statewide sentencing laws and trends, by the Eighty-third Legislature, Regular Session, in addition to amounts appropriated above in Strategy A.1.1, Court Administration is \$882,424 for fiscal year 2014 and \$263,090 for fiscal year 2015 from General Revenue Funds. Out of these appropriations, the Office of Court Administration shall use funds to operate the Sentencing Commission and to implement the provisions of the legislation should it be transferred to an appropriations account for the Sentencing Commission as deemed necessary but not to exceed an aggregate \$882,424 for the fiscal year beginning September 1, 2013 and \$263,090 for the fiscal year beginning September 1, 2014. The agency's number of fulltime equivalent (FTE) positions is hereby increased by 3.0 FTEs in each year of the 2014-15 biennium.</p> <p><i>Eliminate this rider. Relevant legislation failed to become law.</i></p>

3.B. RIDER REVISIONS AND ADDITIONS REQUEST

<p align="center">701</p>	<p align="center">Move from IX-78 to IV</p>	<p>Sec. 18.23. Contingency for HB 2302 or SB 1146Statewide eFiling System Fund. Contingent on the enactment of HB 2302, SB 1146, or similar legislation, relating to the establishment of the statewide electronic filing system fund and to certain court fees and court costs, by the Eighty third Legislature, Regular Session, the Office of Court Administration is hereby appropriated in Strategy A.1.2, Information Technology Pursuant to Government Code, Sec. 51.851 and Sec. 51.852, aAll balances and amounts deposited eds into the General Revenue-Dedicated Statewide Electronic Filing System Account (estimated to be \$17,719,000\$18,519,000 in each fiscal year), are hereby appropriated to the Office of Court Administration for the purposes authorized. to implement the provisions of the legislation. Any unexpended balances in the General Revenue-Dedicated Statewide Electronic Filing System Fund at the end of fiscal year 2014 2015 are appropriated for fiscal year 2015 2016 for the same purpose.</p> <p><i>This rider would move the Article IX contingency rider related to the statewide eFiling System Fund authorized by the 83rd Legislature to OCA’s appropriation pattern and would change references from the contingent legislation to the appropriate, statutory location.</i></p>
<p align="center">702</p>	<p align="center">IV</p>	<p><u>Mileage Reimbursement for Specialty Courts Staff</u> – Specialty court staff who travel regularly to hear case dockets <u>may be reimbursed for mileage at the state-approved rate when they travel for official state business in a personal vehicle, and they are exempt from the requirement to complete a comparison worksheet showing that mileage reimbursement for travel in a personal vehicle is more cost-effective than the use of a rental car.</u></p> <p><i>OCA’s specialty court associate judges and court coordinators/reporters regularly travel to hear cases involving child support and child abuse and neglect. These staff use their personal vehicles to “ride circuit” to and from multiple duty points. It is not cost-effective for OCA to maintain a vehicle fleet and, based on an intensive study of specialty court staff travel, it is also not cost-effective to use rental cars because numerous factors must be taken into account, including the number of days travelled each month, the length of dockets or the time required at the work site, the location of the nearest rental car company and other factors. In two, successive post-payment audits, the State Comptroller’s Office agreed that OCA’s analysis was valid and, therefore, the mileage v. rental car comparison was unduly burdensome and not necessary. This rider will make it clear that the specialty court staff do not need to complete the mileage v. rental car comparison form. All other travelers at OCA are required to complete a mileage v. rental car comparison form.</i></p>

3.B. RIDER REVISIONS AND ADDITIONS REQUEST

Agency Code: 212	Agency Name: Office of Court Administration	Prepared by: Glenna Rhea Bowman	Date: 08/04/2014	Request Level: Exceptional																																																															
Current Rider Number	Page Number in 2012-13 GAA	Proposed Rider Language																																																																	
1	IV-26	<p>Performance Measure Targets. The following is a listing of the key performance target levels for the Office of Court Administration, Texas Judicial Council. It is the intent of the Legislature that appropriations made by this Act be utilized in the most efficient and effective manner possible to achieve the intended mission of the Office of Court Administration, Texas Judicial Council. In order to achieve the objectives and service standards established by this Act, the Office of Court Administration, Texas Judicial Council shall make every effort to attain the following designated key performance target levels associated with each item of appropriation.</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;"></th> <th style="text-align: center; width: 15%;"><u>2014 2016</u></th> <th style="text-align: center; width: 15%;"><u>2015— 2017</u></th> </tr> </thead> <tbody> <tr> <td colspan="3">A. Goal: PROCESSES AND INFORMATION</td> </tr> <tr> <td colspan="3">Outcome (Results/Impact):</td> </tr> <tr> <td>Percent of Entities Reporting Case Statistics Electronically</td> <td style="text-align: center;">98%</td> <td style="text-align: center;">98%</td> </tr> <tr> <td colspan="3">B. Goal: SPECIALTY COURT PROGRAMS</td> </tr> <tr> <td colspan="3">Outcome (Results/Impact):</td> </tr> <tr> <td>Child Support Courts Case Disposition Rate</td> <td style="text-align: center;">100%</td> <td style="text-align: center;">100%</td> </tr> <tr> <td colspan="3">B.1.2. Strategy: CHILD PROTECTION COURTS PROGRAM</td> </tr> <tr> <td colspan="3">Output (Volume):</td> </tr> <tr> <td>Number of Children Who Have Received a Final Order</td> <td style="text-align: center;"><u>5,250 6,050</u></td> <td style="text-align: center;"><u>5,250 6,050</u></td> </tr> <tr> <td colspan="3">C. Goal: <u>CERTIFICATION AND COMPLIANCE</u> Y INDIVIDUALS AND BUSINESSES</td> </tr> <tr> <td colspan="3">Outcome (Results/Impact):</td> </tr> <tr> <td>Percentage of Individual Licensees with No Recent Violations</td> <td style="text-align: center;">99.8%</td> <td style="text-align: center;">99.8%</td> </tr> <tr> <td colspan="3">C.1.1. Strategy: <u>COURT REPORTERS CERT BOARD JUDICIAL BRANCH CERTIFICATION COMMISSION</u></td> </tr> <tr> <td colspan="3">Output (Volume):</td> </tr> <tr> <td>Number of New Licenses Issued to Individuals</td> <td style="text-align: center;"><u>52 737</u></td> <td style="text-align: center;"><u>52 737</u></td> </tr> <tr> <td colspan="3">D. Goal: INDIGENT DEFENSE</td> </tr> <tr> <td colspan="3">D.1.1. Strategy: TX INDIGENT DEFENSE COMM</td> </tr> <tr> <td colspan="3">Output (Volume):</td> </tr> <tr> <td>Number of Monitoring, Technical Support Visits and Training Conducted</td> <td style="text-align: center;">105</td> <td style="text-align: center;">105</td> </tr> <tr> <td>Percentage of Counties Receiving State Funds</td> <td style="text-align: center;">94%</td> <td style="text-align: center;">94%</td> </tr> </tbody> </table> <p><i>This rider has been changed to reflect approved changes to the agency's budget structure and performance measures, as well as, projected levels of performance for key measures in FY 16-17 if OCA's exceptional items are approved.</i></p>				<u>2014 2016</u>	<u>2015— 2017</u>	A. Goal: PROCESSES AND INFORMATION			Outcome (Results/Impact):			Percent of Entities Reporting Case Statistics Electronically	98%	98%	B. Goal: SPECIALTY COURT PROGRAMS			Outcome (Results/Impact):			Child Support Courts Case Disposition Rate	100%	100%	B.1.2. Strategy: CHILD PROTECTION COURTS PROGRAM			Output (Volume):			Number of Children Who Have Received a Final Order	<u>5,250 6,050</u>	<u>5,250 6,050</u>	C. Goal: <u>CERTIFICATION AND COMPLIANCE</u> Y INDIVIDUALS AND BUSINESSES			Outcome (Results/Impact):			Percentage of Individual Licensees with No Recent Violations	99.8%	99.8%	C.1.1. Strategy: <u>COURT REPORTERS CERT BOARD JUDICIAL BRANCH CERTIFICATION COMMISSION</u>			Output (Volume):			Number of New Licenses Issued to Individuals	<u>52 737</u>	<u>52 737</u>	D. Goal: INDIGENT DEFENSE			D.1.1. Strategy: TX INDIGENT DEFENSE COMM			Output (Volume):			Number of Monitoring, Technical Support Visits and Training Conducted	105	105	Percentage of Counties Receiving State Funds	94%	94%
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3.B. RIDER REVISIONS AND ADDITIONS REQUEST

2	IV-26	<p>Capital Budget. None of the funds appropriated above may be expended for capital budget items except as listed below. The amounts shown below shall be expended only for the purposes shown and are not available for expenditure for other purposes. Amounts appropriated above and identified in this provision as appropriations either for "Lease Payments to the Master Lease Purchase Program" or for items with an "(MLPP)" notation shall be expended only for the purpose of making lease-purchase payments to the Texas Public Finance Authority pursuant to the provisions of Government Code § 1232.103.</p>																																																						
		<table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;"></th> <th style="text-align: right; border-bottom: 1px solid black;"><u>2014 2016</u></th> <th style="text-align: right; border-bottom: 1px solid black;"><u>2015 2017</u></th> </tr> </thead> <tbody> <tr> <td>a. Acquisition of Information Resource Technologies</td> <td></td> <td></td> </tr> <tr> <td style="padding-left: 20px;"><u>(1) —(1)-FY 14-1516-17</u> Computer Equipment and Software</td> <td style="text-align: right;">\$<u>1,772,335</u></td> <td style="text-align: right;">\$ <u>50,000</u></td> </tr> <tr> <td></td> <td style="text-align: right;"><u>1,614,977</u></td> <td style="text-align: right;"><u>251,858</u></td> </tr> <tr> <td style="padding-left: 20px;"><u>(2) Case Management</u></td> <td style="text-align: right;"><u>11,045,032</u></td> <td style="text-align: right;"><u>3,093,232</u></td> </tr> <tr> <td style="padding-left: 20px;"><u>(3) Replace Legacy Technology</u></td> <td style="text-align: right;"><u>2,017,175</u></td> <td style="text-align: right;"><u>0</u></td> </tr> <tr> <td style="padding-left: 20px;"><u>(+)(4) CAPPs</u></td> <td style="text-align: right;"><u>432,769</u></td> <td style="text-align: right;"><u>370,669</u></td> </tr> <tr> <td style="padding-left: 20px;">Total, Capital Budget</td> <td style="text-align: right;">\$<u>1,772,335</u></td> <td style="text-align: right;">\$ <u>50,000</u></td> </tr> <tr> <td></td> <td style="text-align: right;"><u>15,109,953</u></td> <td style="text-align: right;"><u>3,715,759</u></td> </tr> <tr> <td colspan="3" style="text-align: center;"><hr style="border: 1px solid black;"/></td> </tr> <tr> <td colspan="3">Method of Financing (Capital Budget):</td> </tr> <tr> <td colspan="3"><u>General Revenue Fund</u></td> </tr> <tr> <td>General Revenue Fund</td> <td style="text-align: right;">\$<u>1,772,335</u></td> <td style="text-align: right;">\$ <u>50,000</u></td> </tr> <tr> <td></td> <td style="text-align: right;"><u>15,109,953</u></td> <td style="text-align: right;"><u>3,715,759</u></td> </tr> <tr> <td style="padding-left: 20px;">Total, Method of Financing</td> <td style="text-align: right;">\$<u>1,772,335</u></td> <td style="text-align: right;">\$ <u>50,000</u></td> </tr> <tr> <td></td> <td style="text-align: right;"><u>15,109,953</u></td> <td style="text-align: right;"><u>3,715,759</u></td> </tr> <tr> <td colspan="3" style="text-align: center;"><hr style="border: 1px solid black;"/></td> </tr> <tr> <td colspan="3"> <p><i>This rider has been changed to reflect the FY 2016-17 baseline and exceptional item capital budget request. An explanation of the requested items and impact on agency operations is included in the Information Technology Detail Project Schedule.</i></p> </td> </tr> </tbody> </table>		<u>2014 2016</u>	<u>2015 2017</u>	a. Acquisition of Information Resource Technologies			<u>(1) —(1)-FY 14-1516-17</u> Computer Equipment and Software	\$ <u>1,772,335</u>	\$ <u>50,000</u>		<u>1,614,977</u>	<u>251,858</u>	<u>(2) Case Management</u>	<u>11,045,032</u>	<u>3,093,232</u>	<u>(3) Replace Legacy Technology</u>	<u>2,017,175</u>	<u>0</u>	<u>(+)(4) CAPPs</u>	<u>432,769</u>	<u>370,669</u>	Total, Capital Budget	\$ <u>1,772,335</u>	\$ <u>50,000</u>		<u>15,109,953</u>	<u>3,715,759</u>	<hr style="border: 1px solid black;"/>			Method of Financing (Capital Budget):			<u>General Revenue Fund</u>			General Revenue Fund	\$ <u>1,772,335</u>	\$ <u>50,000</u>		<u>15,109,953</u>	<u>3,715,759</u>	Total, Method of Financing	\$ <u>1,772,335</u>	\$ <u>50,000</u>		<u>15,109,953</u>	<u>3,715,759</u>	<hr style="border: 1px solid black;"/>			<p><i>This rider has been changed to reflect the FY 2016-17 baseline and exceptional item capital budget request. An explanation of the requested items and impact on agency operations is included in the Information Technology Detail Project Schedule.</i></p>		
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3.B. RIDER REVISIONS AND ADDITIONS REQUEST

<p align="center">8</p>	<p align="center">IV-27</p>	<p>Included above in Strategy D.1.1, Texas Indigent Defense Commission, is \$950,500 <u>1,472,988</u> including 12.0 <u>17.0</u> FTEs in fiscal year 2014 <u>2016</u> and \$950,500 <u>1,472,988</u> including 12.0 <u>17.0</u> FTEs in fiscal year 2015 <u>2017</u> for the administration of the Commission. Except as otherwise provided relating to appropriations for the Office of Capital Writs, balances and amounts deposited into the General Revenue-Dedicated Fair Defense Account No. 5073 are appropriated above in Strategy D.1.1, Texas Indigent Defense Commission (TIDC). Any balances and amounts deposited in excess of \$48,449,904 <u>33,517,140</u> in fiscal year 2014 <u>2016</u> and \$30,546,228 <u>33,517,140</u> in fiscal year 2015 <u>2017</u> are appropriated to the TIDC for the same purpose. Included in these estimates are amounts collected from court costs pursuant to Code of Criminal Procedure, Art. 102.0045, Fee for Jury Reimbursement to Counties (estimated to be \$7,0500,000 in fiscal year 2014 <u>2016</u> and \$7,0500,000 in fiscal year 2015 <u>2017</u>). Any unexpended balances in the Fair Defense Account at the end of fiscal year 2014 <u>2016</u> are appropriated for fiscal year 2015 <u>2017</u> to the TIDC for the same purpose. The Texas Indigent Defense Commission shall have authority to make grants to counties from the Fair Defense Account (General Revenue-Dedicated Account No. 5073), with funds being disbursed by the Comptroller. No portion of the appropriation made by this section shall be used to offset the Office of Court Administration's administrative support provided to the Texas Indigent Defense Commission except by mutual agreement of the Texas Indigent Defense Commission and the Office of Court Administration. Any unexpended balances in appropriations out of the Fair Defense Account at the end of fiscal year 2014 <u>2016</u> are hereby appropriated for fiscal year 2015 <u>2017</u> to the Office of Court Administration, Texas Judicial Council for the same purpose.</p> <p>This rider has been changed to reflect the FY 2016-17 biennium. <i>It also reflects additional administrative amounts that would be needed if Exceptional Items 9, 10 and 11 are approved.</i></p>
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4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/4/2014**
 TIME: **1:03:23PM**

Agency code: **212**

Agency name: **Office of Court Administration, Texas Judicial Council**

CODE	DESCRIPTION	Excp 2016	Excp 2017
	Item Name: Support Statewide eFiling Implementation		
	Item Priority: 1		
	Includes Funding for the Following Strategy or Strategies: 01-01-02 Information Technology		
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	4,017,020	9,459,368
4000	GRANTS	2,000,000	0
TOTAL, OBJECT OF EXPENSE		\$6,017,020	\$9,459,368
METHOD OF FINANCING:			
1	General Revenue Fund	6,017,020	907,515
5157	Statewide Electronic Filing System	0	8,551,853
TOTAL, METHOD OF FINANCING		\$6,017,020	\$9,459,368

DESCRIPTION / JUSTIFICATION:

The Supreme Court has mandated electronic filing (E-Filing) of civil court documents in all counties from attorneys to appellate, district and county courts pursuant to a graduated schedule beginning in 2014 and concluding in 2016. The E-Filing system is an existing program created in 2003 and expanded in 2013.

The majority of this exceptional item (\$8.5 million) would simply increase appropriations from the Statewide E-Filing Fund (a GR-Dedicated Account) to equal projected revenues for FY2016-17. Revenues come from an E-Filing fee assessed upon users of the court system and are dedicated to the support of the E-Filing system, which is operated by an information technology contractor.

\$4.9 million in General Revenue would close a gap between actual fee revenue and the contract amount due. Based on current revenue projections, this is a one-time occurrence. Beginning in FY2018, revenues will be sufficient to cover 100% of the costs of the Statewide E-Filing System.

This exceptional item would also provide grant funds to counties across Texas to implement mandatory E-Filing, primarily to less populous counties who are required to fully implement E-Filing between January and July 2016. The grant funds would cover fixed costs, such as purchase of computing equipment, configuration of existing systems and/or purchase of software to facilitate a seamless interaction between the E-Filing system and local case management software. Absent this assistance, counties, local courts and court users will not fully realize the benefits and cost-savings of the E-Filing system.

NOTE: The General Revenue request in this exceptional item could be reduced to zero if the Legislature were to authorize an increase in the statewide E-Filing fee to cover the revenue shortfall and grant funding. Even with a small fee increase, the amount paid for E-Filing in Texas is much lower than the previous system.

EXTERNAL/INTERNAL FACTORS:

4.A. Exceptional Item Request Schedule
84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/4/2014**
TIME: **1:03:23PM**

Agency code: **212**

Agency name:

Office of Court Administration, Texas Judicial Council

CODE	DESCRIPTION	Excp 2016	Excp 2017
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Texas courts have struggled for over a century to process, manage and store court documents. The effort and cost involved in this endeavor is extensive. With technology these costs and effort can be reduced dramatically. At the same time, the court user experience can be improved dramatically with E-Filing. This improvement has already been seen in the appellate courts and in Texas' most populous counties. However, in Texas there are 192 counties with a population of less than 50,000. All of these counties must implement mandatory E-Filing between January and July 2016. While the E-Filing system does not require significant computing resources locally, many of these counties do not have monetary resources or information technology expertise to implement E-Filing in a way that allows the counties, courts and court users to experience the full benefits of the system.

In an effort to dramatically reduce the cost to users of the E-Filing system, the Judicial Branch worked with the 83rd Legislature to transition the payment method for E-Filing from a "toll-road model" to a one-time fee model. HB 2302 completed this transition and was estimated to generate sufficient revenue from filing fees and court costs to cover the cost of the E-Filing contract between the State and a private entity. Revenues in the first year (FY2014) have not met estimates from the fiscal note, producing an estimated shortfall of about \$4.9 million between FY2014 and 2017. Based on current revenue projections, this is a one-time occurrence. Beginning in FY2018, revenues will be sufficient to cover 100% of the costs of the Statewide E-Filing System.

4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/4/2014
 TIME: 1:03:23PM

Agency code: 212

Agency name: Office of Court Administration, Texas Judicial Council

CODE	DESCRIPTION	Excp 2016	Excp 2017
	Item Name: Support Core Services for the Judicial Branch		
	Item Priority: 2		
	Includes Funding for the Following Strategy or Strategies:		
	01-01-01 Court Administration		
	01-01-02 Information Technology		
	01-01-04 Assistance to the Administrative Judicial Regions		
 OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	678,484	678,484
1002	OTHER PERSONNEL COSTS	1,544	1,544
2005	TRAVEL	20,000	20,000
2009	OTHER OPERATING EXPENSE	206,882	122,227
	TOTAL, OBJECT OF EXPENSE	\$906,910	\$822,255
 METHOD OF FINANCING:			
1	General Revenue Fund	906,910	822,255
	TOTAL, METHOD OF FINANCING	\$906,910	\$822,255
 FULL-TIME EQUIVALENT POSITIONS (FTE):		5.00	5.00

DESCRIPTION / JUSTIFICATION:

Over the years, OCA has been given increased responsibilities for programs with a far-reaching impact on Texas courts and the public. OCA supports every court and Judicial Branch agency to some degree. Therefore, OCA must maintain its core services and administrative backbone to ensure its efforts continue to fully serve Texans. These existing core programs have been in existence at OCA since its inception in 1977.

This exceptional item would allow OCA to provide permanent merit increases to staff, as appropriate, and increase funding to the administrative judicial regions to pay their administrative staff.

This exceptional item would also add 5.0 FTEs to support core services of OCA. The Judicial Information Department is the only central repository of statistical and other data for the Judicial Branch. In response to an independent study, OCA requests 1.0 FTE to adequately address the Judicial Information workload and provide increased data analysis. OCA is statutorily responsible for disseminating best practices and conducting research for the courts. An additional 1.0 FTE researcher would double OCA's capacity to appropriately address this responsibility. OCA assists in the planning of multiple conferences and other training for the Judicial Branch without any staff capability for this purpose. OCA requests 1.0 FTE for a professional development coordinator to address these critical functions. With increased responsibilities and workload, OCA requests 1.0 FTE to provide additional administrative support to the research and court services division so that professional staff may be used more effectively. With OCA's increased support of specialty courts and other branch agencies and the related workload, OCA requests 1.0 FTE to perform accounting and budget monitoring functions.

EXTERNAL/INTERNAL FACTORS:

Agency code: 212

Agency name:

Office of Court Administration, Texas Judicial Council

CODE	DESCRIPTION	Excp 2016	Excp 2017
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OCA has completed a salary comparison analysis with similar positions in other state agencies that shows 35% of OCA employees are paid below the state average for similar positions. Despite increasing responsibilities and expectations, OCA's budget does not allow for permanent salary increases for most of its staff. In 2012, OCA was able to give permanent increases to only 10% of its staff; 17 other mid-size agencies gave permanent increases to between 14% and 71% of their employees. In FY13 and 14, OCA gave permanent increases to only 12% and 4% of its staff, respectively. Funding to the administrative judicial regions to compensate their administrative staff is lower now than it was in 2002.

The Judicial Information Department processes over 120,000 report submissions and responds to thousands of information requests each year. A recent independent workload study recommended additional staff to address the required workload. Several policymaking bodies and the Legislature utilize OCA's data to make critical decisions.

Govt Code Sec. 72.024 requires OCA to disseminate best practices to courts and clerks. The Legislature and Supreme Court consistently request that OCA conduct research on various issues. OCA has only 1.0 FTE dedicated to this purpose.

In the past year, OCA coordinated 42 conferences, trainings or major meetings for the Judicial Branch. These events take tremendous planning & logistics resources from agency employees dedicated to other functions and who are not experts at this type of planning.

OCA's accounting, budgeting, & human resources functions continue to grow with the addition of programs and specialty courts. In the last two years alone, the number of payments processed has grown by 16%, from 2,500 to almost 3,000. OCA has only one Human Resources Officer and a half-time HR Assistant to handle all employee issues, and a single budget analyst to oversee its \$71 million biennial budget (excluding indigent defense).

4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/4/2014**
 TIME: **1:03:23PM**

Agency code: **212**

Agency name:
Office of Court Administration, Texas Judicial Council

CODE	DESCRIPTION	Excp 2016	Excp 2017
	Item Name: Improve Data Quality Through Case Management Technology		
	Item Priority: 3		
	Includes Funding for the Following Strategy or Strategies: 01-01-02 Information Technology		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	88,132	88,132
1002	OTHER PERSONNEL COSTS	441	441
2003	CONSUMABLE SUPPLIES	500	500
2009	OTHER OPERATING EXPENSE	10,005,959	3,004,159
5000	CAPITAL EXPENDITURES	950,000	0
TOTAL, OBJECT OF EXPENSE		\$11,045,032	\$3,093,232
METHOD OF FINANCING:			
1	General Revenue Fund	11,045,032	3,093,232
TOTAL, METHOD OF FINANCING		\$11,045,032	\$3,093,232
FULL-TIME EQUIVALENT POSITIONS (FTE):		1.00	1.00

DESCRIPTION / JUSTIFICATION:

This exceptional item is new and will provide funding to contract with an outside entity to establish a uniform court Case Management System (CMS) that can be used by counties throughout Texas, focusing primarily on counties with a population under 20,000. It will include the ability to capture case statistics and other judicial data that can be automatically reported to various state agencies, reducing reporting errors and the potential public safety risk of those errors. The system will be fully integrated with the E-Filing system and other state reporting systems, providing for seamless interaction with state agencies.

This item will provide funding to contract with an outside entity for a new court data analysis and reporting system that will include business intelligence tools. The new data system will dramatically improve OCA's ability to analyze trends and issues in the courts; provide data to the public, Legislature, and other interested stakeholders on demand; and support better decision-making in the Judicial Branch.

This exceptional item will also provide 1.0 FTE to serve as a project manager for this large project and initiative that will have statewide impact.

On July 30, 2014, OCA received bids from seven vendors interested in providing a uniform court CMS for the State of Texas. At the time of OCA's LAR submission on August 4, 2014, OCA did not have adequate time to evaluate the proposals; therefore, the cost estimate for this exceptional item reflects the upper limit of funding needed for a uniform court CMS based on the bids received. Once the evaluation of proposals is complete and the cost of possible solutions more fully considered, the dollar amount of this exceptional item could be reduced. OCA will update the LBB and Governor's Office as additional information becomes available.

EXTERNAL/INTERNAL FACTORS:

Agency code: 212

Agency name:

Office of Court Administration, Texas Judicial Council

CODE	DESCRIPTION	Excp 2016	Excp 2017
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One of the primary challenges in the judiciary is the inability to collect uniform data directly from an automated court case management system (CMS), technology that is currently provided by various vendors chosen at the county level. The inability to collect data directly from a CMS weakens the state's ability to ensure accurate data, to report this data to other state agencies such as DPS, and to provide for robust interaction with other state-supported technology systems. The electronic filing (E-Filing) mandate has only compounded this existing problem, since many rural courts do not have a CMS. This inhibits the courts' ability to interact effectively with the public and to adequately manage their caseload, resulting in decreased customer satisfaction and increased costs to citizens.

A recent study found that less than 50% of the 136 counties with a population under 20,000 have a CMS for their district, county, or justice courts. Most small counties would be unable to procure a CMS due to the complexity and cost. In alignment with DIR's statewide technology strategic plan, OCA is seeking a cloud-based, secure CMS based upon a standardized statewide configuration. OCA anticipates that the CMS would be operational by January 2016.

OCA is the statutorily-responsible central repository for statistical data and other information for the Judicial Branch. OCA processes over 120,000 report submissions and responds to thousands of requests for information each year. The system used for this function was identified in DIR's Legacy System Study as a legacy system and is no longer able to adequately respond to the needs of the judiciary and the public.

4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/4/2014
 TIME: 1:03:23PM

Agency code: 212

Agency name:

Office of Court Administration, Texas Judicial Council

CODE	DESCRIPTION		Excp 2016	Excp 2017
	Item Name:	Strengthen Judicial Services to Families		
	Item Priority:	4		
	Includes Funding for the Following Strategy or Strategies:	02-01-01 Child Support Courts Program		
		02-01-02 Child Protection Courts Program		
OBJECTS OF EXPENSE:				
1001	SALARIES AND WAGES		2,685,121	2,685,121
1002	OTHER PERSONNEL COSTS		31,546	31,546
2005	TRAVEL		56,000	56,000
2009	OTHER OPERATING EXPENSE		212,833	202,033
TOTAL, OBJECT OF EXPENSE			\$2,985,500	\$2,974,700
METHOD OF FINANCING:				
1	General Revenue Fund		2,027,439	2,016,639
777	Interagency Contracts		958,061	958,061
TOTAL, METHOD OF FINANCING			\$2,985,500	\$2,974,700
FULL-TIME EQUIVALENT POSITIONS (FTE):			10.00	10.00

DESCRIPTION / JUSTIFICATION:

The Regional Presiding Judges have identified a need for 4 additional child protection courts (CPC) based on requests received from trial court judges and increases in the CPC caseload. This item would fund 4 new CPCs (8.0 FTEs) to handle continually growing caseloads and provide adequate support to assist the increased number of CPCs (2.0 FTEs).

This exceptional item would provide for an increase in the salary of the associate judges in the CPC and child support courts (CSC) to a level that is 90% of the state-provided district judge salary. While other state-funded judges received an increase in compensation last session, these judges did not. The depressed salary inhibits OCA's ability to recruit and retain the highest-quality judges to hear these critically important cases.

This item would provide for an increase in the salary of court coordinators/reporters who staff CPCs and CSCs. An analysis comparing the salary of these staff with court coordinators in counties across the state shows that OCA court coordinators are paid well-below the average. This inhibits the courts' ability to recruit and retain staff in these areas.

This item would provide much needed operating costs and training funds for the CSCs.

Increases in operating and other program costs have put the program at a point where it is unlikely to be feasible to continue current operations without additional funding. This item would also provide funding to retain the domestic violence resource attorney position (1.0 FTE) that is currently grant funded. The position is critically important to

Agency code: 212

Agency name:

Office of Court Administration, Texas Judicial Council

CODE	DESCRIPTION	Excp 2016	Excp 2017
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ensuring that the courts are adequately trained in domestic violence issues and have the necessary resources to address these critical cases.

The CPC program initially started with federal funds and became part of OCA in FY 2001. OCA assumed responsibility for the CSC program in FY 1993. The domestic violence resource program was initiated in FY 2010. No outside contract will be utilized.

EXTERNAL/INTERNAL FACTORS:

OCA's 20 child protection courts (CPCs) operate in 117 counties, with 15 associate judges, 8 assigned judges, and 20 court coordinators/reporters. In FY 2013, these courts held 28,824 hearings and issued 5,573 final orders. Based on the 2007 Weighted Caseload Study, OCA has identified that a reasonable annual CPC caseload is about 238 cases. The average caseload across all 20 CPCs is 328 cases. In addition to the existing workload, several clusters of counties have child protection caseloads exceeding 328, and the local trial judges are requesting that their child protection caseloads be included in a court that would be established specifically to focus on these important cases.

The salary range for OCA's CPC and child support court (CSC) associate judges is \$89,292-\$101,196. The associate judges last received a permanent merit increase in FY2000. This exceptional item would increase the salary of the associate judges to \$126,000. Family Code Secs. 201.105 and 201.205 entitle the associate judges to a salary determined by a majority vote of the presiding judges of the administrative judicial regions not to exceed 90% of the salary paid to a district judge. The presiding judges have determined the salary should be \$126,000.

The salary range for OCA's CPC and CSC coordinators is \$38,796 - \$42,516. A recent survey of county-paid court coordinators found the average salary is \$47,700. This item would increase salary ranges of the coordinators by 12%.

The CSC coordinators have not been received professional development in over 5 years.

Due to increased supply/operating costs and past budget reductions, the CSC budget will be unable to be balanced without additional operating funds.

The domestic violence resource attorney is currently funded through a grant from the Criminal Justice Division (CJD), Office of the Governor. CJD has indicated that funding for this critical position may no longer be available.

4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/4/2014**
 TIME: **1:03:23PM**

Agency code: **212**

Agency name:
Office of Court Administration, Texas Judicial Council

CODE	DESCRIPTION	Excp 2016	Excp 2017
	Item Name: Provide Judicial Branch Technology Support		
	Item Priority: 5		
	Includes Funding for the Following Strategy or Strategies: 01-01-02 Information Technology		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	469,099	469,099
1002	OTHER PERSONNEL COSTS	2,345	2,345
2003	CONSUMABLE SUPPLIES	24,031	24,031
2005	TRAVEL	53,500	53,500
2009	OTHER OPERATING EXPENSE	204,072	186,972
TOTAL, OBJECT OF EXPENSE		\$753,047	\$735,947
METHOD OF FINANCING:			
1	General Revenue Fund	753,047	735,947
TOTAL, METHOD OF FINANCING		\$753,047	\$735,947
FULL-TIME EQUIVALENT POSITIONS (FTE):		7.00	7.00

DESCRIPTION / JUSTIFICATION:

OCA provides technology for the Judicial Branch, including all Texas appellate courts, the child protection courts, the administrative judicial regions, and five state judicial agencies (including OCA). Hardware support to the child support courts is provided by the Office of the Attorney General (AG), who is a party to the cases heard. This conflict of interest is a concern to both the courts and the AG. This exceptional item seeks to address this concern and provide enhanced technology support to the other Judicial Branch judges and employees across the state.

The exceptional item would provide regional technology support staff (6.0 FTEs) for OCA's 44 child support courts, 20 child protection courts, the intermediate appellate courts, the administrative judicial regions and regional OCA staff. These FTEs would provide direct technology support outside of Austin and would complement support available at the larger courts of appeals. Without these staff, judges and employees will continue to experience extended wait times for support.

In FY14-15 OCA implemented a new IT governance structure and OCA leveraged the in-house project manager using standard project management principles to ensure on-time delivery. The success of the program has led customers to identify additional projects that would enhance staff productivity and efficiency. The exceptional item would also provide a project manager (1.0 FTE) to oversee the additional technology projects that are led by OCA.

The exceptional item would also provide for the increased direct costs seen in the Microsoft Enterprise Agreement. OCA provides licensing for all 824 users of the Judicial Branch. With each renewal, costs increase 18% to 30%. Microsoft products form the base of OCA's technology operation and it is critically important. OCA leverages a HUB through DIR's statewide contracts to ensure the lowest possible rates for renewal.

These are all existing initiatives that began in 2000.

Agency code: 212

Agency name:

Office of Court Administration, Texas Judicial Council

CODE DESCRIPTION

Excp 2016

Excp 2017

EXTERNAL/INTERNAL FACTORS:

OCA provides technology support for 824 users within the Judicial Branch. 507 of those users are outside of Austin. Technology support requests for child support judges and staff are handled by the AG's office and present a conflict of interest (the AG is a party to the cases heard). Averaging the salaries of all users, OCA estimates that a computer outage of any kind costs the state approximately \$34/hour per person impacted.

In FY14-15, OCA's only in-house project manager managed all software projects, deliverable based contracts and some ongoing operational project contracts. The role also expanded to manage the equipment refresh project including PC rollouts, network upgrades and server rollouts. As the number of projects managed by OCA increases, an additional project manager will be needed to ensure that projects continue to deliver on-time and in budget.

The cost of the Microsoft Enterprise Agreement for the Judicial Branch has increased 18.29%, from \$187,504/year to \$221,809 during the last renewal cycle. DIR's statewide contracted vendor has notified OCA to expect a substantial price increase from Microsoft for the next renewal. OCA expects the renewal to increase approximately 25% to \$278,039/year.

4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/4/2014**
 TIME: **1:03:23PM**

Agency code: **212**

Agency name: **Office of Court Administration, Texas Judicial Council**

CODE	DESCRIPTION	Excp 2016	Excp 2017
	Item Name: Replace Legacy Judicial Branch Technology		
	Item Priority: 6		
	Includes Funding for the Following Strategy or Strategies: 01-01-02 Information Technology		
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	130,013	0
5000	CAPITAL EXPENDITURES	1,887,162	0
	TOTAL, OBJECT OF EXPENSE	\$2,017,175	\$0
METHOD OF FINANCING:			
1	General Revenue Fund	2,017,175	0
	TOTAL, METHOD OF FINANCING	\$2,017,175	\$0

DESCRIPTION / JUSTIFICATION:

OCA provides technology for the Judicial Branch, including all Texas appellate courts, the child protection courts, the administrative judicial regions, and five state judicial agencies (including OCA). Ensuring the technology infrastructure of the Branch is secure and stable is critical to this mission.

This exceptional item would replace legacy security equipment that will be over six years old in FY16. These items provide firewall, intrusion prevention and spam filtering for OCA's supported users. It also funds replacement servers for both the main Austin complex and the Judicial Branch recovery site. These servers will be five to eight years old when replaced, are out of warranty, and in some cases at end of life. Networking components will also be replaced. This equipment will be between seven and nine years old at replacement and is at end of life. Continuing to operate on unsupported equipment poses a high risk to sensitive data that is stored within the judiciary and compromises the ability of the judiciary to recover in the event of a disaster.

This item would also provide funding to replace a legacy system that is being used to monitor the four judicial professions regulated by the Judicial Branch Certification Commission. The legacy system requires duplicative data entry and does not provide minimum levels of internal or external functionality. The lack of functionality inhibits the Commission's ability to offer online services to the professionals regulated by the Commission and the public-at-large. Replacing the system will accentuate the progress made by the 83rd Legislature in consolidating the regulation of the professions under a single Commission rather than multiple boards.

All of the items in this exceptional item are existing programs. The technology support to the Judicial Branch began in 2000. The technology support to the Judicial Branch Certification Commission began in 2014 (previously supported boards began in 2003).

EXTERNAL/INTERNAL FACTORS:

4.A. Exceptional Item Request Schedule
84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/4/2014**
TIME: **1:03:23PM**

Agency code: **212**

Agency name:

Office of Court Administration, Texas Judicial Council

CODE	DESCRIPTION	Excp 2016	Excp 2017
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As OCA operates the technology infrastructure for the Judicial Branch, it is critical that it is secure, stable and maintained appropriately. These pieces of critical infrastructure are too costly to fit within OCA's typical equipment refresh budget and are not replaced as often as PCs. All of the equipment will be between five and nine years old at the time of replacement, is out of warranty and no longer supported by the manufacturer. All equipment is being replaced beyond Department of Information Resources recommended replacement schedule.

Cyclical replacement of equipment reduces equipment failures and support calls; therefore, information technology staff is able to successfully maintain the computing environment and better meet the needs of the Judicial Branch.

OCA estimates that one hour of system downtime would cost the Judicial Branch \$28,000 per hour. If one of the pieces of equipment within this exceptional item were to fail permanently, the cost for downtime could easily exceed the amount of the exceptional item.

4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/4/2014**
 TIME: **1:03:23PM**

Agency code: **212**

Agency name: **Office of Court Administration, Texas Judicial Council**

CODE	DESCRIPTION	Excp 2016	Excp 2017
	Item Name: Enhance Judicial Services to the Elderly and Incapacitated		
	Item Priority: 7		
	Includes Funding for the Following Strategy or Strategies:		
	01-01-01 Court Administration		
	01-01-02 Information Technology		
 OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	397,000	397,000
1002	OTHER PERSONNEL COSTS	1,985	1,985
2003	CONSUMABLE SUPPLIES	20,598	20,598
2005	TRAVEL	53,500	53,500
2009	OTHER OPERATING EXPENSE	125,146	35,450
TOTAL, OBJECT OF EXPENSE		\$598,229	\$508,533
 METHOD OF FINANCING:			
1	General Revenue Fund	598,229	508,533
TOTAL, METHOD OF FINANCING		\$598,229	\$508,533
 FULL-TIME EQUIVALENT POSITIONS (FTE):		6.00	6.00

DESCRIPTION / JUSTIFICATION:

The number of Texans over age 65 is expected to increase by 50% by 2020. Based on this dramatic increase and the potential impact on the courts, in 2013 the Texas Judicial Council established the Elders Committee to “assess the ways in which the Texas courts interact with the elderly and identify judicial policies or initiatives that could be enacted to protect and improve the quality of life for the elderly in Texas.”

Statutory probate courts in Texas have access to a court-appointed court monitor/investigator to review guardianship filings for potential exploitation and/or neglect. However, most judges hearing guardianship cases (primarily the constitutional county courts and some statutory county courts) do not have access to these resources. The Judicial Council has identified a need for resources to monitor cases for the non-statutory probate courts hearing guardianship cases.

This exceptional item would initiate a new pilot program to place Guardianship Compliance Specialists across the state to review guardianship filings for the elderly and incapacitated to determine if guardians are following statutorily-required procedures, to review annual reports filed by the guardians, and to ensure that exploitation and/or neglect of persons under guardianship (wards) is not occurring. These 5.0 FTEs, overseen by a program manager (1.0 FTE) would review guardianship filings based on a risk analysis and provide information to the presiding judge regarding any deficiencies. Any issues of potential abuse or neglect would also be reported to the appropriate authorities.

This item includes the development of technology to monitor guardianship filings, produce “red flag” reports and ensure that staff for this function are used effectively. OCA anticipates the system will be modified based upon a system developed for another state for this same purpose.

Agency code: 212

Agency name:

Office of Court Administration, Texas Judicial Council

CODE	DESCRIPTION	Excp 2016	Excp 2017
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This is a new initiative. The funds will not be used to support a contract with an outside entity.

EXTERNAL/INTERNAL FACTORS:

Statutory probate courts exist in only ten counties. While statutory probate courts have court monitors and court investigators, very few constitutional or statutory county courts have these resources.

In FY 2013, there were 5,570 guardianship cases filed in Texas. Of these, 2,981 guardianship cases were filed in the non-statutory probate courts (either constitutional county courts or statutory county courts).

There were 18,713 active guardianship cases as of September 1, 2013, in the non-statutory probate courts.

Minnesota started a similar program and reviews every guardianship of the estate case with more than \$3,000 in the estate. Minnesota has allocated 1.0 FTE per 150 cases. If no issues are found, the case is reviewed every 4 years. Red flags or problems found in an audit will flag it for annual audit again. Their audits have found the following: 30% of cases have no issues, 43% have minor issues, 13% have missing account information or co-mingling of funds, 14% have major issues including loss or inappropriate use of funds. There is reason to believe that Texas would have similar findings, meaning numerous Texans may be the subject to loss or inappropriate use of funds without the information making its way before the judge. This project would help alleviate this situation.

Minnesota has developed the MyMNConservator software to monitor filings in guardianship cases and produce "red flags" to assist the staff in prioritizing review of the cases. Minnesota's technological solution was built upon national standards that should be able to be used in the Texas system. Minnesota has indicated their willingness to share the software with Texas.

4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/4/2014
 TIME: 1:03:23PM

Agency code: 212

Agency name:

Office of Court Administration, Texas Judicial Council

CODE	DESCRIPTION	Excp 2016	Excp 2017
	Item Name: Implement CAPPS for Article IV Courts and Agencies		
	Item Priority: 8		
	Includes Funding for the Following Strategy or Strategies: 01-01-02 Information Technology		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	186,632	186,632
1002	OTHER PERSONNEL COSTS	933	933
2003	CONSUMABLE SUPPLIES	1,000	1,000
2005	TRAVEL	80,108	80,108
2009	OTHER OPERATING EXPENSE	164,096	101,996
	TOTAL, OBJECT OF EXPENSE	\$432,769	\$370,669
METHOD OF FINANCING:			
1	General Revenue Fund	432,769	370,669
	TOTAL, METHOD OF FINANCING	\$432,769	\$370,669
FULL-TIME EQUIVALENT POSITIONS (FTE):		2.00	2.00

DESCRIPTION / JUSTIFICATION:

The Comptroller's office has identified the entire Article IV Judicial Branch (Supreme Court of Texas, Court of Criminal Appeals, 14 courts of appeals, OCA, Office of Capital Writs, Office of State Prosecuting Attorney, State Commission on Judicial Conduct, and State Law Library) for transition to the centralized accounting and payroll/personnel system (CAPPS). OCA has been working closely with the Comptroller to prepare for this transition and will be functioning as the coordinator for the Article IV courts' and judicial agencies' deployment.

This exceptional item would provide funding for 2.0 temporary FTEs to provide coordination of the CAPPS transition and assist the courts and judicial agencies in the transition. A project manager and management analyst would be essential to ensuring a smooth transition for the courts. Included in this cost are funds for travel to the various courts, as well as funds for court personnel to travel to Austin for discovery and other working sessions. Also included are costs to backfill positions to cover the regular duties for court and agency Subject Matter Experts and other staff who will be assisting in the CAPPS implementation.

This is a new initiative for the Judiciary, but an existing project for the State of Texas with the first agencies coming onto CAPPS on September 1, 2011. The funds will not be used to support a contract with an outside entity.

EXTERNAL/INTERNAL FACTORS:

All agencies and courts will eventually be converted to CAPPS. This item will allow the judiciary to implement at the same time, sharing resources to implement more efficiently and effectively than if each entity implemented on its own. OCA will coordinate this effort for all Article IV courts (16) and agencies (5).

It is anticipated that the Article IV courts and agencies will deploy the HR/Payroll module in FY 2016 and the financial module in FY 2017.

4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/4/2014
 TIME: 1:03:23PM

Agency code: 212

Agency name:

Office of Court Administration, Texas Judicial Council

CODE	DESCRIPTION	Excp 2016	Excp 2017
	Item Name: Support Statewide Regional PD Program for Cap Cases		
	Item Priority: 9		
	Includes Funding for the Following Strategy or Strategies: 04-01-01 Improve Indigent Defense Practices and Procedures		
 OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	70,000	70,000
2003	CONSUMABLE SUPPLIES	500	500
2004	UTILITIES	500	500
2005	TRAVEL	4,000	4,000
2009	OTHER OPERATING EXPENSE	2,000	2,000
4000	GRANTS	3,023,000	3,023,000
	TOTAL, OBJECT OF EXPENSE	\$3,100,000	\$3,100,000
 METHOD OF FINANCING:			
1	General Revenue Fund	3,100,000	3,100,000
	TOTAL, METHOD OF FINANCING	\$3,100,000	\$3,100,000
	FULL-TIME EQUIVALENT POSITIONS (FTE):	1.00	1.00

DESCRIPTION / JUSTIFICATION:

Founded in 2009 through a grant to Lubbock County, the RPDO serves 159 counties spanning all nine administrative judicial regions in the less populous counties in Texas. In the most serious criminal cases where the death penalty is a possibility, the state has a unique interest in ensuring that appropriate defense representation is provided consistent with constitutional standards and professional standards promulgated by the State Bar of Texas. In many parts of the state it can be difficult to find qualified attorneys, as capital case representation is one of the most complex and challenging areas of practice. Counties join the RPDO by paying membership dues. In exchange, when a capital murder case occurs, a defense team is provided by the program at no additional cost. The costs associated with a capital murder case have the potential to decimate the budgets of smaller counties. The RPDO provides a way for counties to have more budget predictability and mitigate the impact of a capital case while ensuring that these most serious cases are tried effectively the first time. This new GR would be part of a state/county cost sharing agreement to ensure consistent and qualified representation in the most serious cases. Based on the impact and critical services that the office provides across the entire state, the Commission requests GR equal to one-half of the office's operating budget to service all eligible counties, with the balance funded through membership dues of participating counties.

EXTERNAL/INTERNAL FACTORS:

Agency code: 212

Agency name:

Office of Court Administration, Texas Judicial Council

CODE	DESCRIPTION	Excp 2016	Excp 2017
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The start-up grants that have supported this program will close out in 2017. As those grants end, counties will be forced to take on the full cost of the program through higher dues. This GR investment will provide greater stability to participating counties and make it more economically viable to those counties that initially chose not to participate because of funding considerations. Texas counties are already burdened by the increased costs associated with their compliance with the Fair Defense Act. By devoting GR to support this critical service for counties, the state will take a significant step toward funding the underfunded indigent defense mandates, while at the same time better ensuring consistency and fairness in handling the state's most serious criminal cases. In addition, Hidalgo County has requested funding from the Commission to build a stand-alone defender program to handle its capital caseload. Under current RPDO policy, Hidalgo is not eligible to participate based on its population. This GR investment will create a new state/county cost-sharing model which may make it possible for the RPDO to accommodate Hidalgo County, which would provide a more cost-effective alternative to building a stand-alone program. This exceptional item will also benefit all Texas counties by freeing up GR dedicated funds that have been used to support the initiation and expansion of the program, as well as local funds that otherwise would be used to pay the full balance of the costs for the services provided by this program.

4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/4/2014**
 TIME: **1:03:23PM**

Agency code: **212**

Agency name: **Office of Court Administration, Texas Judicial Council**

CODE	DESCRIPTION	Excp 2016	Excp 2017
	Item Name: Support Multi-County Technology Grant Program		
	Item Priority: 10		
	Includes Funding for the Following Strategy or Strategies: 04-01-01 Improve Indigent Defense Practices and Procedures		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	70,000	70,000
2003	CONSUMABLE SUPPLIES	500	500
2004	UTILITIES	500	500
2005	TRAVEL	4,000	4,000
2009	OTHER OPERATING EXPENSE	2,000	2,000
4000	GRANTS	1,423,000	1,423,000
	TOTAL, OBJECT OF EXPENSE	\$1,500,000	\$1,500,000
METHOD OF FINANCING:			
1	General Revenue Fund	1,500,000	1,500,000
	TOTAL, METHOD OF FINANCING	\$1,500,000	\$1,500,000
	FULL-TIME EQUIVALENT POSITIONS (FTE):	1.00	1.00

DESCRIPTION / JUSTIFICATION:

This request supports development and expansion of the multi-county indigent defense technology grant program with the Texas Conference of Urban Counties' (Urban Counties) TechShare program. In 2011 the Commission provided a grant to Bell County to develop a cloud-based electronic process management tool that helps the county administer its indigent defense system and monitor key data regarding compliance with the requirements of state law and local rules. Benefits of the system included:

- Faster processing of requests for counsel and attorney appointments
- Central tracking of data elements needed to assess compliance with the Fair Defense Act
- Automation of the attorney appointment process
- All-electronic attorney fee voucher submission, review and payment process.

Following the successful implementation in Bell County, a number of other counties expressed interest in accessing this new functionality. The Conference of Urban Counties TechShare program took over the management and technical development of the Bell County electronic indigent defense system and, with the help of a grant from the Commission, is implementing the system in eight (8) other counties and maintaining and operating the system across all participating counties. In addition, Urban Counties TechShare is prepared to continue technical development and deployment to approximately twenty to fifty additional counties (depending on participating county size and complexity) over the course of the next biennium and continue its efforts to make the system more cost effective through economies of scale.

EXTERNAL/INTERNAL FACTORS:

4.A. Exceptional Item Request Schedule
84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/4/2014**
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Agency code: **212**

Agency name:

Office of Court Administration, Texas Judicial Council

CODE	DESCRIPTION	Excp 2016	Excp 2017
	New GR investment in the continued development and deployment of this program will provide functional enhancements, centralized operation and maintenance, and meet a need not currently being met. The purpose of these technology enhancements is to improve county compliance, transparency, efficiency and consistency in the administration of justice in Texas. Further, GR investment in this project will free up GR-dedicated funds to increase the state's ability to defray increased indigent defense costs incurred by counties since the passage of the Fair Defense Act.		

4.A. Exceptional Item Request Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/4/2014
 TIME: 1:03:23PM

Agency code: 212

Agency name:

Office of Court Administration, Texas Judicial Council

CODE	DESCRIPTION	Excp 2016	Excp 2017
	Item Name: Close the Fair Defense Act Funding Gap		
	Item Priority: 11		
	Includes Funding for the Following Strategy or Strategies: 04-01-01 Improve Indigent Defense Practices and Procedures		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	230,000	230,000
2003	CONSUMABLE SUPPLIES	2,000	2,000
2004	UTILITIES	2,000	2,000
2005	TRAVEL	12,000	12,000
2009	OTHER OPERATING EXPENSE	8,000	8,000
4000	GRANTS	98,146,000	98,146,000
	TOTAL, OBJECT OF EXPENSE	\$98,400,000	\$98,400,000
METHOD OF FINANCING:			
1	General Revenue Fund	98,400,000	98,400,000
	TOTAL, METHOD OF FINANCING	\$98,400,000	\$98,400,000
	FULL-TIME EQUIVALENT POSITIONS (FTE):	4.00	4.00

DESCRIPTION / JUSTIFICATION:

This funding would allow the Commission to increase grant funding to counties in an amount that would close the funding gap on the unfunded portion indigent defense costs counties have shouldered as a result of passage of the Fair Defense Act (FDA). The FDA provided more explicit guidance on how to comply with constitutional requirements, created the Commission (originally called the Task Force on Indigent Defense) and required each county to submit what it spends on indigent defense services as well as develop and submit a plan on how it will provide these services. As a result of heightened awareness of the constitutional and legal requirements, costs have increased upwards of 137% from \$91.4 million 2001 to \$217.1 million in 2013. Only a small fraction of this increased expense is covered through the GR dedicated funds collected and distributed through the Commission's grant programs

EXTERNAL/INTERNAL FACTORS:

While 27 states fully fund indigent defense, Texas currently provides only 14 cents on the dollar of overall indigent defense costs. Because indigent defense is not a discretionary expense, counties are forced to make up the difference and continue to bear the vast majority of the financial burden in meeting this constitutional mandate. In FY2012 \$28.4 million and in FY2013 \$31.2 million in dedicated state funds were available to counties, compared to total indigent defense costs in FY2012 \$207.5 million and FY2013 \$217.1 million. This equates to approximately 14% of costs of indigent defense services. This exceptional item request is proffered to share more equally in the funding of this government responsibility and close the funding gap of the unfunded state mandate

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

Code	Description	Excp 2016	Excp 2017
Item Name: Support Statewide eFiling Implementation			
Allocation to Strategy: 1-1-2 Information Technology			
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	4,017,020	9,459,368
4000	GRANTS	2,000,000	0
TOTAL, OBJECT OF EXPENSE		\$6,017,020	\$9,459,368
METHOD OF FINANCING:			
1	General Revenue Fund	6,017,020	907,515
5157	Statewide Electronic Filing System	0	8,551,853
TOTAL, METHOD OF FINANCING		\$6,017,020	\$9,459,368

4.B. Exceptional Items Strategy Allocation Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/4/2014
 TIME: 1:03:24PM

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

Code	Description	Excp 2016	Excp 2017
Item Name: Support Core Services for the Judicial Branch			
Allocation to Strategy: 1-1-1 Court Administration			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	456,661	456,661
1002	OTHER PERSONNEL COSTS	1,544	1,544
2005	TRAVEL	20,000	20,000
2009	OTHER OPERATING EXPENSE	90,593	5,938
TOTAL, OBJECT OF EXPENSE		\$568,798	\$484,143
METHOD OF FINANCING:			
1 General Revenue Fund		568,798	484,143
TOTAL, METHOD OF FINANCING		\$568,798	\$484,143
FULL-TIME EQUIVALENT POSITIONS (FTE):		5.0	5.0

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

Code	Description	Excp 2016	Excp 2017
Item Name: Support Core Services for the Judicial Branch			
Allocation to Strategy: 1-1-2 Information Technology			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	221,823	221,823
TOTAL, OBJECT OF EXPENSE		\$221,823	\$221,823
METHOD OF FINANCING:			
1	General Revenue Fund	221,823	221,823
TOTAL, METHOD OF FINANCING		\$221,823	\$221,823

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

Code	Description	Excp 2016	Excp 2017
Item Name: Support Core Services for the Judicial Branch			
Allocation to Strategy: 1-1-4 Assistance to the Administrative Judicial Regions			
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	116,289	116,289
TOTAL, OBJECT OF EXPENSE		\$116,289	\$116,289
METHOD OF FINANCING:			
1	General Revenue Fund	116,289	116,289
TOTAL, METHOD OF FINANCING		\$116,289	\$116,289

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

Code	Description	Excp 2016	Excp 2017
Item Name:		Improve Data Quality Through Case Management Technology	
Allocation to Strategy:		1-1-2	Information Technology
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	88,132	88,132
1002	OTHER PERSONNEL COSTS	441	441
2003	CONSUMABLE SUPPLIES	500	500
2009	OTHER OPERATING EXPENSE	10,005,959	3,004,159
5000	CAPITAL EXPENDITURES	950,000	0
TOTAL, OBJECT OF EXPENSE		\$11,045,032	\$3,093,232
METHOD OF FINANCING:			
1 General Revenue Fund		11,045,032	3,093,232
TOTAL, METHOD OF FINANCING		\$11,045,032	\$3,093,232
FULL-TIME EQUIVALENT POSITIONS (FTE):		1.0	1.0

4.B. Exceptional Items Strategy Allocation Schedule

84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/4/2014

TIME: 1:03:24PM

Agency code: **212** Agency name: **Office of Court Administration, Texas Judicial Council**

Code	Description	Excp 2016	Excp 2017
Item Name: Strengthen Judicial Services to Families			
Allocation to Strategy: 2-1-1 Child Support Courts Program			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	1,282,371	1,282,371
1002	OTHER PERSONNEL COSTS	19,236	19,236
2009	OTHER OPERATING EXPENSE	150,000	150,000
TOTAL, OBJECT OF EXPENSE		\$1,451,607	\$1,451,607
METHOD OF FINANCING:			
1	General Revenue Fund	493,546	493,546
777	Interagency Contracts	958,061	958,061
TOTAL, METHOD OF FINANCING		\$1,451,607	\$1,451,607

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

Code	Description	Excp 2016	Excp 2017
Item Name: Strengthen Judicial Services to Families			
Allocation to Strategy: 2-1-2 Child Protection Courts Program			
OUTPUT MEASURES:			
<u>1</u>	Number of Hearings	3,100.00	3,100.00
<u>2</u>	Number of Children Who Have Received a Final Order	550.00	550.00
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	1,402,750	1,402,750
1002	OTHER PERSONNEL COSTS	12,310	12,310
2005	TRAVEL	56,000	56,000
2009	OTHER OPERATING EXPENSE	62,833	52,033
TOTAL, OBJECT OF EXPENSE		\$1,533,893	\$1,523,093
METHOD OF FINANCING:			
1 General Revenue Fund		1,533,893	1,523,093
TOTAL, METHOD OF FINANCING		\$1,533,893	\$1,523,093
FULL-TIME EQUIVALENT POSITIONS (FTE):		10.0	10.0

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

Code	Description	Excp 2016	Excp 2017
Item Name: Provide Judicial Branch Technology Support			
Allocation to Strategy: 1-1-2 Information Technology			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	469,099	469,099
1002	OTHER PERSONNEL COSTS	2,345	2,345
2003	CONSUMABLE SUPPLIES	24,031	24,031
2005	TRAVEL	53,500	53,500
2009	OTHER OPERATING EXPENSE	204,072	186,972
TOTAL, OBJECT OF EXPENSE		\$753,047	\$735,947
METHOD OF FINANCING:			
1 General Revenue Fund		753,047	735,947
TOTAL, METHOD OF FINANCING		\$753,047	\$735,947
FULL-TIME EQUIVALENT POSITIONS (FTE):		7.0	7.0

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

Code	Description	Excp 2016	Excp 2017
Item Name: Replace Legacy Judicial Branch Technology			
Allocation to Strategy: 1-1-2 Information Technology			
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	130,013	0
5000	CAPITAL EXPENDITURES	1,887,162	0
TOTAL, OBJECT OF EXPENSE		\$2,017,175	\$0
METHOD OF FINANCING:			
1	General Revenue Fund	2,017,175	0
TOTAL, METHOD OF FINANCING		\$2,017,175	\$0

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

Code	Description	Excp 2016	Excp 2017
Item Name: Enhance Judicial Services to the Elderly and Incapacitated			
Allocation to Strategy: 1-1-1 Court Administration			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	397,000	397,000
1002	OTHER PERSONNEL COSTS	1,985	1,985
2003	CONSUMABLE SUPPLIES	20,598	20,598
2005	TRAVEL	53,500	53,500
2009	OTHER OPERATING EXPENSE	50,146	35,450
TOTAL, OBJECT OF EXPENSE		\$523,229	\$508,533
METHOD OF FINANCING:			
1 General Revenue Fund		523,229	508,533
TOTAL, METHOD OF FINANCING		\$523,229	\$508,533
FULL-TIME EQUIVALENT POSITIONS (FTE):		6.0	6.0

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

Code	Description	Excp 2016	Excp 2017
Item Name: Enhance Judicial Services to the Elderly and Incapacitated			
Allocation to Strategy: 1-1-2 Information Technology			
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	75,000	0
TOTAL, OBJECT OF EXPENSE		\$75,000	\$0
METHOD OF FINANCING:			
1	General Revenue Fund	75,000	0
TOTAL, METHOD OF FINANCING		\$75,000	\$0

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

Code	Description	Excp 2016	Excp 2017
Item Name: Implement CAPPS for Article IV Courts and Agencies			
Allocation to Strategy: 1-1-2 Information Technology			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	186,632	186,632
1002	OTHER PERSONNEL COSTS	933	933
2003	CONSUMABLE SUPPLIES	1,000	1,000
2005	TRAVEL	80,108	80,108
2009	OTHER OPERATING EXPENSE	164,096	101,996
TOTAL, OBJECT OF EXPENSE		\$432,769	\$370,669
METHOD OF FINANCING:			
1 General Revenue Fund		432,769	370,669
TOTAL, METHOD OF FINANCING		\$432,769	\$370,669
FULL-TIME EQUIVALENT POSITIONS (FTE):		2.0	2.0

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

Code	Description	Excp 2016	Excp 2017
Item Name:		Support Statewide Regional PD Program for Cap Cases	
Allocation to Strategy:		4-1-1	Improve Indigent Defense Practices and Procedures
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	70,000	70,000
2003	CONSUMABLE SUPPLIES	500	500
2004	UTILITIES	500	500
2005	TRAVEL	4,000	4,000
2009	OTHER OPERATING EXPENSE	2,000	2,000
4000	GRANTS	3,023,000	3,023,000
TOTAL, OBJECT OF EXPENSE		\$3,100,000	\$3,100,000
METHOD OF FINANCING:			
1 General Revenue Fund		3,100,000	3,100,000
TOTAL, METHOD OF FINANCING		\$3,100,000	\$3,100,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		1.0	1.0

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

Code	Description	Excp 2016	Excp 2017
Item Name:		Support Multi-County Technology Grant Program	
Allocation to Strategy:		4-1-1	Improve Indigent Defense Practices and Procedures
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	70,000	70,000
2003	CONSUMABLE SUPPLIES	500	500
2004	UTILITIES	500	500
2005	TRAVEL	4,000	4,000
2009	OTHER OPERATING EXPENSE	2,000	2,000
4000	GRANTS	1,423,000	1,423,000
TOTAL, OBJECT OF EXPENSE		\$1,500,000	\$1,500,000
METHOD OF FINANCING:			
1 General Revenue Fund		1,500,000	1,500,000
TOTAL, METHOD OF FINANCING		\$1,500,000	\$1,500,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		1.0	1.0

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

Code	Description	Excp 2016	Excp 2017
Item Name: Close the Fair Defense Act Funding Gap			
Allocation to Strategy: 4-1-1 Improve Indigent Defense Practices and Procedures			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	230,000	230,000
2003	CONSUMABLE SUPPLIES	2,000	2,000
2004	UTILITIES	2,000	2,000
2005	TRAVEL	12,000	12,000
2009	OTHER OPERATING EXPENSE	8,000	8,000
4000	GRANTS	98,146,000	98,146,000
TOTAL, OBJECT OF EXPENSE		\$98,400,000	\$98,400,000
METHOD OF FINANCING:			
1 General Revenue Fund		98,400,000	98,400,000
TOTAL, METHOD OF FINANCING		\$98,400,000	\$98,400,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		4.0	4.0

4.C. Exceptional Items Strategy Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/4/2014
TIME: 1:03:27PM

Agency Code: **212** Agency name: **Office of Court Administration, Texas Judicial Council**

GOAL: 1 Improve Processes and Report Information Statewide Goal/Benchmark: 0 - 0

OBJECTIVE: 1 Improve Judicial Processes and Report Information Service Categories:

STRATEGY: 1 Court Administration Service: 01 Income: NA Age: NA

CODE DESCRIPTION	Exp 2016	Exp 2017
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	853,661	853,661
1002 OTHER PERSONNEL COSTS	3,529	3,529
2003 CONSUMABLE SUPPLIES	20,598	20,598
2005 TRAVEL	73,500	73,500
2009 OTHER OPERATING EXPENSE	140,739	41,388
Total, Objects of Expense	\$1,092,027	\$992,676

METHOD OF FINANCING:		
1 General Revenue Fund	1,092,027	992,676
Total, Method of Finance	\$1,092,027	\$992,676

FULL-TIME EQUIVALENT POSITIONS (FTE):	11.0	11.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Support Core Services for the Judicial Branch
 Enhance Judicial Services to the Elderly and Incapacitated

4.C. Exceptional Items Strategy Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/4/2014
TIME: 1:03:27PM

Agency Code: **212** Agency name: **Office of Court Administration, Texas Judicial Council**

GOAL: 1 Improve Processes and Report Information Statewide Goal/Benchmark: 0 - 0

OBJECTIVE: 1 Improve Judicial Processes and Report Information Service Categories:

STRATEGY: 2 Information Technology Service: 01 Income: NA Age: NA

CODE DESCRIPTION	Exp 2016	Exp 2017
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	965,686	965,686
1002 OTHER PERSONNEL COSTS	3,719	3,719
2003 CONSUMABLE SUPPLIES	25,531	25,531
2005 TRAVEL	133,608	133,608
2009 OTHER OPERATING EXPENSE	14,596,160	12,752,495
4000 GRANTS	2,000,000	0
5000 CAPITAL EXPENDITURES	2,837,162	0
Total, Objects of Expense	\$20,561,866	\$13,881,039

METHOD OF FINANCING:

1 General Revenue Fund	20,561,866	5,329,186
5157 Statewide Electronic Filing System	0	8,551,853
Total, Method of Finance	\$20,561,866	\$13,881,039

FULL-TIME EQUIVALENT POSITIONS (FTE): 10.0 10.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

- Support Statewide eFiling Implementation
- Support Core Services for the Judicial Branch
- Improve Data Quality Through Case Management Technology
- Provide Judicial Branch Technology Support
- Replace Legacy Judicial Branch Technology
- Enhance Judicial Services to the Elderly and Incapacitated
- Implement CAPPs for Article IV Courts and Agencies

4.C. Exceptional Items Strategy Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/4/2014
TIME: 1:03:27PM

Agency Code: **212** Agency name: **Office of Court Administration, Texas Judicial Council**

GOAL: 1 Improve Processes and Report Information Statewide Goal/Benchmark: 0 - 0

OBJECTIVE: 1 Improve Judicial Processes and Report Information Service Categories:

STRATEGY: 4 Assistance to the Administrative Judicial Regions Service: 07 Income: NA Age: NA

CODE DESCRIPTION	Excp 2016	Excp 2017
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OBJECTS OF EXPENSE:

2009 OTHER OPERATING EXPENSE	116,289	116,289
Total, Objects of Expense	116,289	116,289

METHOD OF FINANCING:

1 General Revenue Fund	116,289	116,289
Total, Method of Finance	116,289	116,289

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Support Core Services for the Judicial Branch

4.C. Exceptional Items Strategy Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/4/2014
TIME: 1:03:27PM

Agency Code: **212** Agency name: **Office of Court Administration, Texas Judicial Council**

GOAL: 2 Complete Specialty Court Program Cases Statewide Goal/Benchmark: 0 - 0

OBJECTIVE: 1 Complete Specialty Court Program Cases Service Categories:

STRATEGY: 1 Child Support Courts Program Service: 01 Income: NA Age: NA

CODE DESCRIPTION	Excp 2016	Excp 2017
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	1,282,371	1,282,371
1002 OTHER PERSONNEL COSTS	19,236	19,236
2009 OTHER OPERATING EXPENSE	150,000	150,000
Total, Objects of Expense	\$1,451,607	\$1,451,607

METHOD OF FINANCING:

1 General Revenue Fund	493,546	493,546
777 Interagency Contracts	958,061	958,061
Total, Method of Finance	\$1,451,607	\$1,451,607

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Strengthen Judicial Services to Families

4.C. Exceptional Items Strategy Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/4/2014
TIME: 1:03:27PM

Agency Code: **212** Agency name: **Office of Court Administration, Texas Judicial Council**

GOAL: 2 Complete Specialty Court Program Cases Statewide Goal/Benchmark: 0 - 0

OBJECTIVE: 1 Complete Specialty Court Program Cases Service Categories:

STRATEGY: 2 Child Protection Courts Program Service: 01 Income: NA Age: NA

CODE DESCRIPTION	Excp 2016	Excp 2017
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OUTPUT MEASURES:

<u>1</u> Number of Hearings	3,100.00	3,100.00
<u>2</u> Number of Children Who Have Received a Final Order	550.00	550.00

OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	1,402,750	1,402,750
1002 OTHER PERSONNEL COSTS	12,310	12,310
2005 TRAVEL	56,000	56,000
2009 OTHER OPERATING EXPENSE	62,833	52,033

Total, Objects of Expense

	\$1,533,893	\$1,523,093
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METHOD OF FINANCING:

1 General Revenue Fund	1,533,893	1,523,093
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Total, Method of Finance

	\$1,533,893	\$1,523,093
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FULL-TIME EQUIVALENT POSITIONS (FTE):

	10.0	10.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Strengthen Judicial Services to Families

4.C. Exceptional Items Strategy Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/4/2014
TIME: 1:03:27PM

Agency Code: **212** Agency name: **Office of Court Administration, Texas Judicial Council**

GOAL: 4 Improve Indigent Defense Practices and Procedures Statewide Goal/Benchmark: 0 - 0

OBJECTIVE: 1 Improve Indigent Defense Practices and Procedures Service Categories:

STRATEGY: 1 Improve Indigent Defense Practices and Procedures Service: 07 Income: NA Age: NA

CODE DESCRIPTION	Exp 2016	Exp 2017
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	370,000	370,000
2003 CONSUMABLE SUPPLIES	3,000	3,000
2004 UTILITIES	3,000	3,000
2005 TRAVEL	20,000	20,000
2009 OTHER OPERATING EXPENSE	12,000	12,000
4000 GRANTS	102,592,000	102,592,000
Total, Objects of Expense	\$103,000,000	\$103,000,000

METHOD OF FINANCING:		
1 General Revenue Fund	103,000,000	103,000,000
Total, Method of Finance	\$103,000,000	\$103,000,000

FULL-TIME EQUIVALENT POSITIONS (FTE): 6.0 6.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Support Statewide Regional PD Program for Cap Cases
 Support Multi-County Technology Grant Program
 Close the Fair Defense Act Funding Gap

5.A. Capital Budget Project Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/4/2014**
 TIME : **1:03:29PM**

Agency code: **212**

Agency name: **Office of Court Administration, Texas Judicial Council**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE			Est 2014	Bud 2015	BL 2016	BL 2017
5003 Repair or Rehabilitation of Buildings and Facilities						
<i>3/3 Building Remodel to Accommodate New FTEs</i>						
OBJECTS OF EXPENSE						
<u>Capital</u>						
General	2009	OTHER OPERATING EXPENSE	\$196,692	\$0	\$0	\$0
Capital Subtotal OOE, Project			3	\$196,692	\$0	\$0
Subtotal OOE, Project			3	\$196,692	\$0	\$0
TYPE OF FINANCING						
<u>Capital</u>						
General	CA	1 General Revenue Fund	\$196,692	\$0	\$0	\$0
Capital Subtotal TOF, Project			3	\$196,692	\$0	\$0
Subtotal TOF, Project			3	\$196,692	\$0	\$0
Capital Subtotal, Category			5003	\$196,692	\$0	\$0
Informational Subtotal, Category			5003			
Total, Category			5003	\$196,692	\$0	\$0
5005 Acquisition of Information Resource Technologies						
<i>1/1 FY14-15 Computer Equipment and Software</i>						
OBJECTS OF EXPENSE						
<u>Capital</u>						
General	2001	PROFESSIONAL FEES AND SERVICES	\$55,500	\$0	\$0	\$0
General	2007	RENT - MACHINE AND OTHER	\$486	\$0	\$0	\$0
General	2009	OTHER OPERATING EXPENSE	\$1,085,987	\$173,687	\$0	\$0
General	5000	CAPITAL EXPENDITURES	\$148,170	\$206,313	\$0	\$0

5.A. Capital Budget Project Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/4/2014**
 TIME : **1:03:29PM**

Agency code: **212**

Agency name: **Office of Court Administration, Texas Judicial Council**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE			Est 2014	Bud 2015	BL 2016	BL 2017
	Capital Subtotal OOE, Project	1	\$1,290,143	\$380,000	\$0	\$0
	<u>Informational</u>					
General	1001 SALARIES AND WAGES		\$35,967	\$11,989	\$0	\$0
General	2005 TRAVEL		\$4,232	\$0	\$0	\$0
	Informational Subtotal OOE, Project	1	\$40,199	\$11,989	\$0	\$0
	Subtotal OOE, Project	1	\$1,330,342	\$391,989	\$0	\$0
	TYPE OF FINANCING					
	<u>Capital</u>					
General	CA 1 General Revenue Fund		\$1,290,143	\$380,000	\$0	\$0
	Capital Subtotal TOF, Project	1	\$1,290,143	\$380,000	\$0	\$0
	<u>Informational</u>					
General	CA 1 General Revenue Fund		\$40,199	\$11,989	\$0	\$0
	Informational Subtotal TOF, Project	1	\$40,199	\$11,989	\$0	\$0
	Subtotal TOF, Project	1	\$1,330,342	\$391,989	\$0	\$0
	<i>2/2 Statewide E-Filing System</i>					
	OBJECTS OF EXPENSE					
	<u>Capital</u>					
General	2009 OTHER OPERATING EXPENSE		\$10,767,147	\$17,719,000	\$0	\$0
	Capital Subtotal OOE, Project	2	\$10,767,147	\$17,719,000	\$0	\$0
	<u>Informational</u>					
General	1001 SALARIES AND WAGES		\$32,913	\$32,913	\$0	\$0

5.A. Capital Budget Project Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/4/2014
 TIME : 1:03:29PM

Agency code: 212

Agency name: Office of Court Administration, Texas Judicial Council

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE			Est 2014	Bud 2015	BL 2016	BL 2017
Informational	Subtotal OOE, Project	2	\$32,913	\$32,913	\$0	\$0
Subtotal OOE, Project	2		\$10,800,060	\$17,751,913	\$0	\$0
TYPE OF FINANCING						
<u>Capital</u>						
General	CA 5157 Statewide Electronic Filing System		\$10,767,147	\$17,719,000	\$0	\$0
Capital	Subtotal TOF, Project	2	\$10,767,147	\$17,719,000	\$0	\$0
<u>Informational</u>						
General	CA 1 General Revenue Fund		\$32,913	\$32,913	\$0	\$0
Informational	Subtotal TOF, Project	2	\$32,913	\$32,913	\$0	\$0
Subtotal TOF, Project	2		\$10,800,060	\$17,751,913	\$0	\$0
<i>4/4 FY16-17 Computer Equipment and Software</i>						
OBJECTS OF EXPENSE						
<u>Capital</u>						
General	2001 PROFESSIONAL FEES AND SERVICES		\$0	\$0	\$224,400	\$224,400
General	2009 OTHER OPERATING EXPENSE		\$0	\$0	\$914,208	\$27,458
General	5000 CAPITAL EXPENDITURES		\$0	\$0	\$476,369	\$0
Capital	Subtotal OOE, Project	4	\$0	\$0	\$1,614,977	\$251,858
<u>Informational</u>						
General	1001 SALARIES AND WAGES		\$0	\$0	\$35,967	\$11,989
General	2005 TRAVEL		\$0	\$0	\$7,500	\$2,500
Informational	Subtotal OOE, Project	4	\$0	\$0	\$43,467	\$14,489

5.A. Capital Budget Project Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/4/2014
 TIME : 1:03:29PM

Agency code: 212

Agency name: Office of Court Administration, Texas Judicial Council

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE				Est 2014	Bud 2015	BL 2016	BL 2017
Subtotal OOE, Project 4				\$0	\$0	\$1,658,444	\$266,347
TYPE OF FINANCING							
<u>Capital</u>							
General	CA	1	General Revenue Fund	\$0	\$0	\$1,614,977	\$251,858
Capital Subtotal TOF, Project 4				\$0	\$0	\$1,614,977	\$251,858
<u>Informational</u>							
General	CA	1	General Revenue Fund	\$0	\$0	\$43,467	\$14,489
Informational Subtotal TOF, Project 4				\$0	\$0	\$43,467	\$14,489
Subtotal TOF, Project 4				\$0	\$0	\$1,658,444	\$266,347
<i>5/5 Improve Data Quality through Case Management Technology</i>							
OBJECTS OF EXPENSE							
<u>Capital</u>							
General	1001	SALARIES AND WAGES		\$0	\$0	\$0	\$0
General	1002	OTHER PERSONNEL COSTS		\$0	\$0	\$0	\$0
General	2003	CONSUMABLE SUPPLIES		\$0	\$0	\$0	\$0
General	2009	OTHER OPERATING EXPENSE		\$0	\$0	\$0	\$0
General	5000	CAPITAL EXPENDITURES		\$0	\$0	\$0	\$0
Capital Subtotal OOE, Project 5				\$0	\$0	\$0	\$0
Subtotal OOE, Project 5				\$0	\$0	\$0	\$0
TYPE OF FINANCING							
<u>Capital</u>							
General	CA	1	General Revenue Fund	\$0	\$0	\$0	\$0

5.A. Capital Budget Project Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/4/2014**
 TIME : **1:03:29PM**

Agency code: **212**

Agency name: **Office of Court Administration, Texas Judicial Council**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

Est 2014

Bud 2015

BL 2016

BL 2017

Capital Subtotal TOF, Project 5

\$0

\$0

\$0

\$0

Subtotal TOF, Project 5

\$0

\$0

\$0

\$0

6/6 Replace Legacy Judicial Branch Technology

OBJECTS OF EXPENSE

Capital

General 2009 OTHER OPERATING EXPENSE

\$0

\$0

\$0

\$0

General 5000 CAPITAL EXPENDITURES

\$0

\$0

\$0

\$0

Capital Subtotal OOE, Project 6

\$0

\$0

\$0

\$0

Subtotal OOE, Project 6

\$0

\$0

\$0

\$0

TYPE OF FINANCING

Capital

General CA 1 General Revenue Fund

\$0

\$0

\$0

\$0

Capital Subtotal TOF, Project 6

\$0

\$0

\$0

\$0

Subtotal TOF, Project 6

\$0

\$0

\$0

\$0

Capital Subtotal, Category 5005

\$12,057,290

\$18,099,000

\$1,614,977

\$251,858

Informational Subtotal, Category 5005

\$73,112

\$44,902

\$43,467

\$14,489

Total, Category 5005

\$12,130,402

\$18,143,902

\$1,658,444

\$266,347

8000 Centralized Accounting and Payroll/Personnel System(CAPPS)

7/7 Implement CAPPS for Article IV Courts and Agencies

OBJECTS OF EXPENSE

Capital

5.A. Capital Budget Project Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/4/2014**
 TIME : **1:03:29PM**

Agency code: **212**

Agency name: **Office of Court Administration, Texas Judicial Council**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE			Est 2014	Bud 2015	BL 2016	BL 2017
General	1001	SALARIES AND WAGES	\$0	\$0	\$0	\$0
General	1002	OTHER PERSONNEL COSTS	\$0	\$0	\$0	\$0
General	2003	CONSUMABLE SUPPLIES	\$0	\$0	\$0	\$0
General	2005	TRAVEL	\$0	\$0	\$0	\$0
General	2009	OTHER OPERATING EXPENSE	\$0	\$0	\$0	\$0
Capital Subtotal OOE, Project			7	\$0	\$0	\$0
Subtotal OOE, Project			7	\$0	\$0	\$0
TYPE OF FINANCING						
<u>Capital</u>						
General	CA	1 General Revenue Fund	\$0	\$0	\$0	\$0
Capital Subtotal TOF, Project			7	\$0	\$0	\$0
Subtotal TOF, Project			7	\$0	\$0	\$0
Capital Subtotal, Category			8000	\$0	\$0	\$0
Informational Subtotal, Category			8000			
Total, Category			8000	\$0	\$0	\$0
AGENCY TOTAL -CAPITAL			\$12,253,982	\$18,099,000	\$1,614,977	\$251,858
AGENCY TOTAL -INFORMATIONAL			\$73,112	\$44,902	\$43,467	\$14,489
AGENCY TOTAL			\$12,327,094	\$18,143,902	\$1,658,444	\$266,347

5.A. Capital Budget Project Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/4/2014**
 TIME : **1:03:29PM**

Agency code: **212**

Agency name: **Office of Court Administration, Texas Judicial Council**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

Est 2014

Bud 2015

BL 2016

BL 2017

METHOD OF FINANCING:

Capital

General	1	General Revenue Fund	\$1,486,835	\$380,000	\$1,614,977	\$251,858
General	5157	Statewide Electronic Filing System	\$10,767,147	\$17,719,000	\$0	\$0

Total, Method of Financing-Capital

\$12,253,982

\$18,099,000

\$1,614,977

\$251,858

Informational

General	1	General Revenue Fund	\$73,112	\$44,902	\$43,467	\$14,489
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Total, Method of Financing-Informational

\$73,112

\$44,902

\$43,467

\$14,489

Total, Method of Financing

\$12,327,094

\$18,143,902

\$1,658,444

\$266,347

TYPE OF FINANCING:

Capital

General	CA	CURRENT APPROPRIATIONS	\$12,253,982	\$18,099,000	\$1,614,977	\$251,858
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Total, Type of Financing-Capital

\$12,253,982

\$18,099,000

\$1,614,977

\$251,858

Informational

General	CA	CURRENT APPROPRIATIONS	\$73,112	\$44,902	\$43,467	\$14,489
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Total, Type of Financing-Informational

\$73,112

\$44,902

\$43,467

\$14,489

Total, Type of Financing

\$12,327,094

\$18,143,902

\$1,658,444

\$266,347

5.B. Capital Budget Project Information
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/4/2014
 TIME: 3:51:12PM

Agency Code:	212	Agency name:	Office of Court Administration, Texas Judicial C
Category Number:	5005	Category Name:	ACQUISITN INFO RES TECH.
Project number:	4	Project Name:	FY16-17 Computer Equip and Software

PROJECT DESCRIPTION

General Information

This project is to replace equipment in the information technology infrastructure for the Supreme Court of Texas, Court of Criminal Appeals, 14 intermediate Courts of Appeals, Office of Capital Writs, OCA, Office of State Prosecuting Attorney, State Commission on Judicial Conduct, and State Law Library.

Number of Units / Average Unit Cost	N/A		
Estimated Completion Date	08/31/2017		
Additional Capital Expenditure Amounts Required		2018	2019
		0	0
Type of Financing	CA	CURRENT APPROPRIATIONS	
Projected Useful Life	Varies, depends on equipment life cycle		
Estimated/Actual Project Cost	\$1,866,835		
Length of Financing/ Lease Period	N/A		

ESTIMATED/ACTUAL DEBT OBLIGATION PAYMENTS

	2016	2017	2018	2019	Total over project life
	0	0	0	0	0

REVENUE GENERATION / COST SAVINGS

<u>REVENUE COST FLAG</u>	<u>MOF CODE</u>	<u>AVERAGE AMOUNT</u>

Explanation: The hardware to be replaced in this project is the same hardware that was to be replaced in the FY12-13 biennium, but was not completed due to lack of funding. This project will also provide break-fix services to any failed equipment that will be out of warranty during the FY16-17 biennium.

Project Location: Equipment will be installed at the Appellate Courts located throughout Texas, as well as the other judicial agencies that are supported by OCA.

Beneficiaries: Supreme Court, Court of Criminal Appeals, 14 intermediate Courts of Appeals, Office of Capital Writs, OCA, Office of State Prosecuting Attorney, State Commission on Judicial Conduct, and State Law Library.

Frequency of Use and External Factors Affecting Use:

The courts and judicial entities need computer equipment that functions properly to perform job duties efficiently.

5.B. Capital Budget Project Information
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/4/2014
 TIME: 3:51:12PM

Agency Code:	212	Agency name:	Office of Court Administration, Texas Judicial C
Category Number:	5005	Category Name:	ACQUISITN INFO RES TECH.
Project number:	5	Project Name:	Case Management

PROJECT DESCRIPTION

General Information

This project will establish a uniform court case management system that can be used by counties throughout Texas, focusing primarily on counties with a population under 20,000. It will include the ability to capture case statistics and other judicial data that can be automatically reported to various state agencies, reducing reporting errors and the potential public safety risk of those errors. The system will be fully integrated with the E-Filing system and other state reporting systems, providing for seamless interaction with state agencies.

Number of Units / Average Unit Cost	N/A		
Estimated Completion Date	08/31/2017		
Additional Capital Expenditure Amounts Required		2018	2019
		0	0
Type of Financing	CA	CURRENT APPROPRIATIONS	
Projected Useful Life	10 years		
Estimated/Actual Project Cost	\$14,138,264		
Length of Financing/ Lease Period	N/A		

ESTIMATED/ACTUAL DEBT OBLIGATION PAYMENTS

	2016	2017	2018	2019	Total over project life
	0	0	0	0	0

<u>REVENUE GENERATION / COST SAVINGS</u>		
<u>REVENUE COST FLAG</u>	<u>MOF CODE</u>	<u>AVERAGE AMOUNT</u>

Explanation: The project will also develop a new court data analysis and reporting system that will include business intelligence tools. The new data system will dramatically improve OCA's ability to analyze trends and issues in the courts; provide data to the public, Legislature, and other interested stakeholders on demand; and support better decision-making in the Judicial Branch.

Project Location: OCA will work with the vendor to assist counties in need of a case management system. FY16-17 Goal – 40 counties participating

Beneficiaries: OCA and other state agencies, Public, Legislature, and other interested stakeholders

Frequency of Use and External Factors Affecting Use:

Daily/Not having an automated case management system involves more manual task by county officials. This includes manual docketing, scheduling, cashiering and other coordination tasks that are easily automated.

5.B. Capital Budget Project Information
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/4/2014
 TIME: 3:51:12PM

Agency Code:	212	Agency name:	Office of Court Administration, Texas Judicial C
Category Number:	5005	Category Name:	ACQUISITN INFO RES TECH.
Project number:	6	Project Name:	Replace Legacy Technology

PROJECT DESCRIPTION

General Information

This project would replace legacy security equipment that will be over six years old in FY16. These items provide firewall, intrusion prevention and spam filtering for OCA's supported users. This item also funds replacement servers for both the main Austin complex and the Judicial Branch recovery site. These servers will be five to eight years old when replaced and are out of warranty and in some cases at end of life. Networking components will also be replaced. This equipment will be between seven and nine years old at replacement and is at end of life. Continuing to operate on unsupported equipment poses a high risk to sensitive data that is stored within the judiciary and compromises the ability of the judiciary to recover in the event of a disaster.

Number of Units / Average Unit Cost	N/A			
Estimated Completion Date	08/31/2017			
Additional Capital Expenditure Amounts Required		2018		2019
		0		0
Type of Financing	CA	CURRENT APPROPRIATIONS		
Projected Useful Life	Varies depending on Equipment life cycle			
Estimated/Actual Project Cost	\$2,017,175			
Length of Financing/ Lease Period	N/A			

<u>ESTIMATED/ACTUAL DEBT OBLIGATION PAYMENTS</u>					Total over project life
2016	2017	2018	2019		
0	0	0	0		0

<u>REVENUE GENERATION / COST SAVINGS</u>		
<u>REVENUE COST FLAG</u>	<u>MOF CODE</u>	<u>AVERAGE AMOUNT</u>

Explanation: In reviewing OCA's equipment inventory along with work completed as part of the Department of Information Resource's Legacy Software Study, it was determined that a significant number of networking and server equipment was outside DIR's recommended life-cycle. This includes server hardware and network security devices that are between 5 and 8 years old (DIR recommends servers and networking equipment be replaced in a 5 year cycle).

Project Location: OCA HQ, 14 appellate courts, and other judicial agencies supported by OCA

Beneficiaries: Supreme Court, Court of Criminal Appeals, 14 intermediate Courts of Appeals, Office of Capital Writs, OCA, Office of State Prosecuting Attorney, State Commission on Judicial Conduct, and State Law Library.

Frequency of Use and External Factors Affecting Use:

The courts and judicial entities need computer equipment that functions properly to perform job duties efficiently.

5.B. Capital Budget Project Information
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/4/2014
 TIME: 3:51:12PM

Agency Code:	212	Agency name:	Office of Court Administration, Texas Judicial C
Category Number:	8000	Category Name:	CAPPS Statewide ERP System
Project number:	7	Project Name:	CAPPS for Art IV Courts & Agencies

PROJECT DESCRIPTION

General Information

This project implements all Article IV Judicial Branch (Supreme Court of Texas, Court of Criminal Appeals, 14 courts of appeals, Office of Capital Writs, OCA, Office of State Prosecuting Attorney, State Commission on Judicial Conduct, and State Law Library) agencies to the centralized accounting and payroll/personnel system (CAPPS).

Number of Units / Average Unit Cost N/A

Estimated Completion Date 0831/2017

Additional Capital Expenditure Amounts Required	2018	2019
	0	0

Type of Financing CA CURRENT APPROPRIATIONS

Projected Useful Life 10 years

Estimated/Actual Project Cost \$803,438

Length of Financing/ Lease Period N/A

ESTIMATED/ACTUAL DEBT OBLIGATION PAYMENTS

2016	2017	2018	2019	Total over project life
0	0	0	0	0

REVENUE GENERATION / COST SAVINGS

<u>REVENUE COST FLAG</u>	<u>MOF CODE</u>	<u>AVERAGE AMOUNT</u>

Explanation: All agencies and courts will eventually be converted to CAPPS. This item will allow the judiciary to implement at the same time, sharing resources to implement more efficiently and effectively than if each entity implemented on its own. OCA will coordinate this effort for all Article IV courts (16) and agencies (4).

Project Location: Supreme Court, Court of Criminal Appeals, 14 courts of appeals, Office of Capital Writs, OCA, Office of State Prosecuting Attorney, State Commission on Judicial Conduct, and State Law Library

Beneficiaries: Employees of OCA and Judicial Branch agencies

Frequency of Use and External Factors Affecting Use:

Daily

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

Category Code/Name

Project Sequence/Project Id/Name

Goal/Obj/Str	Strategy Name	Est 2014	Bud 2015	BL 2016	BL 2017
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5003 Repair or Rehabilitation of Buildings and Facilities

3/3 Building Remodel

GENERAL BUDGET

Capital	1-1-2	INFORMATION TECHNOLOGY	196,692	0	\$0	\$0
TOTAL, PROJECT			\$196,692	\$0	\$0	\$0

5005 Acquisition of Information Resource Technologies

1/1 FY14-15 Computer Equip and Software

GENERAL BUDGET

Capital	1-1-2	INFORMATION TECHNOLOGY	1,290,143	380,000	0	0
Informational	1-1-2	INFORMATION TECHNOLOGY	40,199	11,989	0	0
TOTAL, PROJECT			\$1,330,342	\$391,989	\$0	\$0

2/2 Statewide E-Filing System

GENERAL BUDGET

Capital	1-1-2	INFORMATION TECHNOLOGY	10,767,147	17,719,000	0	0
Informational	1-1-2	INFORMATION TECHNOLOGY	32,913	32,913	0	0
TOTAL, PROJECT			\$10,800,060	\$17,751,913	\$0	\$0

4/4 FY16-17 Computer Equip and Software

GENERAL BUDGET

Capital	1-1-2	INFORMATION TECHNOLOGY	0	0	1,614,977	251,858
Informational	1-1-2	INFORMATION TECHNOLOGY	0	0	43,467	14,489

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

Category Code/Name

Project Sequence/Project Id/Name

Goal/Obj/Str	Strategy Name	Est 2014	Bud 2015	BL 2016	BL 2017
	TOTAL, PROJECT	\$0	\$0	\$1,658,444	\$266,347
5/5 Case Management					
GENERAL BUDGET					
Capital	1-1-2 INFORMATION TECHNOLOGY	0	0	\$0	\$0
	TOTAL, PROJECT	\$0	\$0	\$0	\$0
6/6 Replace Legacy Technology					
GENERAL BUDGET					
Capital	1-1-2 INFORMATION TECHNOLOGY	0	0	0	0
	TOTAL, PROJECT	\$0	\$0	\$0	\$0
8000 Centralized Accounting and Payroll/Personnel System(CAPPS)					
7/7 CAPPS for Art IV Courts & Agencies					
GENERAL BUDGET					
Capital	1-1-2 INFORMATION TECHNOLOGY	0	0	0	0
	TOTAL, PROJECT	\$0	\$0	\$0	\$0
	TOTAL CAPITAL, ALL PROJECTS	\$12,253,982	\$18,099,000	\$1,614,977	\$251,858
	TOTAL INFORMATIONAL, ALL PROJECTS	\$73,112	\$44,902	\$43,467	\$14,489
	TOTAL, ALL PROJECTS	\$12,327,094	\$18,143,902	\$1,658,444	\$266,347

212 Office of Court Administration, Texas Judicial Council

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2014	Bud 2015	BL 2016	BL 2017
5003 Repair or Rehabilitation of Buildings and Facilities					
3 Building Remodel					
OOE					
Capital					
1-1-2 INFORMATION TECHNOLOGY					
<u>General Budget</u>					
2009	OTHER OPERATING EXPENSE	196,692	0	0	0
TOTAL, OOE's		\$196,692	\$0	0	0
MOF					
GENERAL REVENUE FUNDS					
Capital					
1-1-2 INFORMATION TECHNOLOGY					
<u>General Budget</u>					
1	General Revenue Fund	196,692	0	0	0
TOTAL, GENERAL REVENUE FUNDS		\$196,692	\$0	0	0
TOTAL, MOFs		\$196,692	\$0	0	0

5005 Acquisition of Information Resource Technologies

212 Office of Court Administration, Texas Judicial Council

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2014	Bud 2015	BL 2016	BL 2017
1 FY14-15 Computer Equip and Software					
OOE					
Capital					
1-1-2 INFORMATION TECHNOLOGY					
<u>General Budget</u>					
2001	PROFESSIONAL FEES AND SERVICES	55,500	0	0	0
2007	RENT - MACHINE AND OTHER	486	0	0	0
2009	OTHER OPERATING EXPENSE	1,085,987	173,687	0	0
5000	CAPITAL EXPENDITURES	148,170	206,313	0	0
Informational					
1-1-2 INFORMATION TECHNOLOGY					
<u>General Budget</u>					
1001	SALARIES AND WAGES	35,967	11,989	0	0
2005	TRAVEL	4,232	0	0	0
TOTAL, OOE's		\$1,330,342	\$391,989	0	0
MOF					
GENERAL REVENUE FUNDS					
Capital					
1-1-2 INFORMATION TECHNOLOGY					
<u>General Budget</u>					
1	General Revenue Fund	1,290,143	380,000	0	0
Informational					
1-1-2 INFORMATION TECHNOLOGY					

212 Office of Court Administration, Texas Judicial Council

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2014	Bud 2015	BL 2016	BL 2017
1 FY14-15 Computer Equip and Software					
<u>General Budget</u>					
1	General Revenue Fund	40,199	11,989	0	0
	TOTAL, GENERAL REVENUE FUNDS	\$1,330,342	\$391,989	0	0
	TOTAL, MOFs	\$1,330,342	\$391,989	0	0

212 Office of Court Administration, Texas Judicial Council

Category Code/Name		Est 2014	Bud 2015	BL 2016	BL 2017
Project Sequence/Name					
Goal/Obj/Str	Strategy Name				
2 Statewide E-Filing System					
OOE					
Capital					
1-1-2 INFORMATION TECHNOLOGY					
<u>General Budget</u>					
2009	OTHER OPERATING EXPENSE	10,767,147	17,719,000	0	0
Informational					
1-1-2 INFORMATION TECHNOLOGY					
<u>General Budget</u>					
1001	SALARIES AND WAGES	32,913	32,913	0	0
TOTAL, OOE's		\$10,800,060	\$17,751,913	0	0
MOF					
GENERAL REVENUE FUNDS					
Informational					
1-1-2 INFORMATION TECHNOLOGY					
<u>General Budget</u>					
1	General Revenue Fund	32,913	32,913	0	0
TOTAL, GENERAL REVENUE FUNDS		\$32,913	\$32,913	0	0
GR DEDICATED					
Capital					
1-1-2 INFORMATION TECHNOLOGY					
<u>General Budget</u>					
5157	Statewide Electronic Filing System	10,767,147	17,719,000	0	0
TOTAL, GR DEDICATED		\$10,767,147	\$17,719,000	0	0
TOTAL, MOF's		\$10,800,060	\$17,751,913	0	0

212 Office of Court Administration, Texas Judicial Council

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2014	Bud 2015	BL 2016	BL 2017
4 FY16-17 Computer Equip and Software					
OOE					
Capital					
1-1-2 INFORMATION TECHNOLOGY					
<u>General Budget</u>					
2001	PROFESSIONAL FEES AND SERVICES	0	0	224,400	224,400
2009	OTHER OPERATING EXPENSE	0	0	914,208	27,458
5000	CAPITAL EXPENDITURES	0	0	476,369	0
Informational					
1-1-2 INFORMATION TECHNOLOGY					
<u>General Budget</u>					
1001	SALARIES AND WAGES	0	0	35,967	11,989
2005	TRAVEL	0	0	7,500	2,500
TOTAL, OOE's		\$0	\$0	1,658,444	266,347
MOF					
GENERAL REVENUE FUNDS					
Capital					
1-1-2 INFORMATION TECHNOLOGY					
<u>General Budget</u>					
1	General Revenue Fund	0	0	1,614,977	251,858
Informational					
1-1-2 INFORMATION TECHNOLOGY					
<u>General Budget</u>					
1	General Revenue Fund	0	0	43,467	14,489

212 Office of Court Administration, Texas Judicial Council

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2014	Bud 2015	BL 2016	BL 2017
4 FY16-17 Computer Equip and Software					
	TOTAL, GENERAL REVENUE FUNDS	\$0	\$0	\$1,658,444	\$266,347
	TOTAL, MOFs	\$0	\$0	\$1,658,444	\$266,347
5 Case Management					
OOE					
Capital					
1-1-2 INFORMATION TECHNOLOGY					
<u>General Budget</u>					
1001	SALARIES AND WAGES	0	0	0	0
1002	OTHER PERSONNEL COSTS	0	0	0	0
2003	CONSUMABLE SUPPLIES	0	0	0	0
2009	OTHER OPERATING EXPENSE	0	0	0	0
5000	CAPITAL EXPENDITURES	0	0	0	0
	TOTAL, OOE's	\$0	\$0	0	0
MOF					
GENERAL REVENUE FUNDS					
Capital					
1-1-2 INFORMATION TECHNOLOGY					
<u>General Budget</u>					
1	General Revenue Fund	0	0	0	0
	TOTAL, GENERAL REVENUE FUNDS	\$0	\$0	0	0
	TOTAL, MOFs	\$0	\$0	0	0

212 Office of Court Administration, Texas Judicial Council

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2014	Bud 2015	BL 2016	BL 2017
6 Replace Legacy Technology					
OOE					
Capital					
1-1-2 INFORMATION TECHNOLOGY					
<u>General Budget</u>					
2009	OTHER OPERATING EXPENSE	0	0	0	0
5000	CAPITAL EXPENDITURES	0	0	0	0
TOTAL, OOE's		\$0	\$0	0	0
MOF					
GENERAL REVENUE FUNDS					
Capital					
1-1-2 INFORMATION TECHNOLOGY					
<u>General Budget</u>					
1	General Revenue Fund	0	0	0	0
TOTAL, GENERAL REVENUE FUNDS		\$0	\$0	0	0
TOTAL, MOFs		\$0	\$0	0	0

8000 Centralized Accounting and Payroll/Personnel System(CAPPS)

212 Office of Court Administration, Texas Judicial Council

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2014	Bud 2015	BL 2016	BL 2017
7 CAPPS for Art IV Courts & Agencies					
OOE					
Capital					
1-1-2 INFORMATION TECHNOLOGY					
<u>General Budget</u>					
1001	SALARIES AND WAGES	0	0	0	0
1002	OTHER PERSONNEL COSTS	0	0	0	0
2003	CONSUMABLE SUPPLIES	0	0	0	0
2005	TRAVEL	0	0	0	0
2009	OTHER OPERATING EXPENSE	0	0	0	0
TOTAL, OOE's		\$0	\$0	0	0
MOF					
GENERAL REVENUE FUNDS					
Capital					
1-1-2 INFORMATION TECHNOLOGY					
<u>General Budget</u>					
1	General Revenue Fund	0	0	0	0
TOTAL, GENERAL REVENUE FUNDS		\$0	\$0	0	0
TOTAL, MOFs		\$0	\$0	0	0

212 Office of Court Administration, Texas Judicial Council

	Est 2014	Bud 2015	BL 2016	BL 2017
CAPITAL				
<u>General Budget</u>				
GENERAL REVENUE FUNDS	\$1,486,835	\$380,000	1,614,977	251,858
GR DEDICATED	\$10,767,147	\$17,719,000	0	0
TOTAL, GENERAL BUDGET	12,253,982	18,099,000	1,614,977	251,858
INFORMATIONAL				
<u>General Budget</u>				
GENERAL REVENUE FUNDS	\$73,112	\$44,902	43,467	14,489
TOTAL, GENERAL BUDGET	73,112	44,902	43,467	14,489
TOTAL, ALL PROJECTS	\$12,327,094	\$18,143,902	1,658,444	266,347

212 Office of Court Administration, Texas Judicial Council

Category Code / Category Name <i>Project Number / Name</i>	OOE / TOF / MOF CODE	Excp 2016	Excp 2017
5005 Acquisition of Information Resource Technologies			
<u>5 Case Management</u>			
Objects of Expense			
1001 SALARIES AND WAGES		88,132	88,132
1002 OTHER PERSONNEL COSTS		441	441
2003 CONSUMABLE SUPPLIES		500	500
2009 OTHER OPERATING EXPENSE		10,005,959	3,004,159
5000 CAPITAL EXPENDITURES		950,000	0
Subtotal OOE, Project	5	11,045,032	3,093,232
Type of Financing			
CA 1 General Revenue Fund		11,045,032	3,093,232
Subtotal TOF, Project	5	11,045,032	3,093,232
<u>6 Replace Legacy Technology</u>			
Objects of Expense			
2009 OTHER OPERATING EXPENSE		130,013	0
5000 CAPITAL EXPENDITURES		1,887,162	0
Subtotal OOE, Project	6	2,017,175	0
Type of Financing			
CA 1 General Revenue Fund		2,017,175	0
Subtotal TOF, Project	6	2,017,175	0
Subtotal Category	5005	13,062,207	3,093,232
8000 Centralized Accounting and Payroll/Personnel System(CAPPS)			
<u>7 CAPPS for Art IV Courts & Agencies</u>			
Objects of Expense			
1001 SALARIES AND WAGES		186,632	186,632

212 Office of Court Administration, Texas Judicial Council

Category Code / Category Name <i>Project Number / Name</i>		Excp 2016	Excp 2017
OOE / TOF / MOF CODE			
1002 OTHER PERSONNEL COSTS		933	933
2003 CONSUMABLE SUPPLIES		1,000	1,000
2005 TRAVEL		80,108	80,108
2009 OTHER OPERATING EXPENSE		164,096	101,996
Subtotal OOE, Project	7	432,769	370,669
Type of Financing			
CA	1 General Revenue Fund		
		432,769	370,669
Subtotal TOF, Project	7	432,769	370,669
Subtotal Category	8000	432,769	370,669
AGENCY TOTAL			
		13,494,976	3,463,901
METHOD OF FINANCING:			
	1 General Revenue Fund	13,494,976	3,463,901
Total, Method of Financing		13,494,976	3,463,901
TYPE OF FINANCING:			
CA	CURRENT APPROPRIATIONS	13,494,976	3,463,901
Total, Type of Financing		13,494,976	3,463,901

212 Office of Court Administration, Texas Judicial Council

Category Code/Name

Project Number/Name

Goal/Obj/Str	Strategy Name	Excp 2016	Excp 2017
5005 Acquisition of Information Resource Technologies			
5	Case Management		
1 1 2	INFORMATION TECHNOLOGY	88,132	88,132
1 1 2	INFORMATION TECHNOLOGY	441	441
1 1 2	INFORMATION TECHNOLOGY	500	500
1 1 2	INFORMATION TECHNOLOGY	10,005,959	3,004,159
1 1 2	INFORMATION TECHNOLOGY	950,000	0
TOTAL, PROJECT		11,045,032	3,093,232
6	Replace Legacy Technology		
1 1 2	INFORMATION TECHNOLOGY	130,013	0
1 1 2	INFORMATION TECHNOLOGY	1,887,162	0
TOTAL, PROJECT		2,017,175	0
8000 Centralized Accounting and Payroll/Personnel System(CAPPS)			
7	CAPPS for Art IV Courts & Agencies		
1 1 2	INFORMATION TECHNOLOGY	186,632	186,632
1 1 2	INFORMATION TECHNOLOGY	933	933
1 1 2	INFORMATION TECHNOLOGY	1,000	1,000
1 1 2	INFORMATION TECHNOLOGY	80,108	80,108
1 1 2	INFORMATION TECHNOLOGY	164,096	101,996
TOTAL, PROJECT		432,769	370,669
TOTAL, ALL PROJECTS		13,494,976	3,463,901

6.A. Historically Underutilized Business Supporting Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2014
 Time: 4:52:42PM

Agency Code: 212 Agency: Office of Court Administration, Texas Judicial Council

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year 2012 - 2013 HUB Expenditure Information

Statewide HUB Goals	Procurement Category	% Goal	HUB Expenditures FY 2012			Total Expenditures FY 2012		HUB Expenditures FY 2013			Total Expenditures FY 2013
			% Actual	Diff	Actual \$	% Goal	% Actual	Diff	Actual \$		
32.7%	Special Trade Construction	32.7 %	0.0%	-32.7%	\$0	\$2,027	32.7 %	100.0%	67.3%	\$2,009	\$2,009
24.6%	Other Services	24.6 %	35.6%	11.0%	\$540,201	\$1,516,819	24.6 %	34.2%	9.6%	\$351,597	\$1,028,559
21.0%	Commodities	21.0 %	38.6%	17.6%	\$278,151	\$721,022	21.0 %	58.3%	37.3%	\$117,806	\$202,146
	Total Expenditures		36.5%		\$818,352	\$2,239,868		38.2%		\$471,412	\$1,232,714

B. Assessment of Fiscal Year 2012 - 2013 Efforts to Meet HUB Procurement Goals

Attainment:

The agency attained or exceeded 66% of the applicable agency HUB procurement goals in fiscal years 2012 and 2013.

Applicability:

The "Heavy Construction", "Building Construction", and "Professional Services" categories are not applicable to agency operations in either fiscal year 2012 or 2013, since the agency did not have strategies or programs related to these categories.

Factors Affecting Attainment:

In fiscal year 2012, OCA did not attain any purchasing in the "Special Trade" category. In fiscal year 2013, OCA purchase is a one-time purchase. Agency normally does not have purchases in this category.

"Good-Faith" Efforts:

Each year OCA exceeds the State goal for "Commodity" purchases. OCA will continue to make a good faith effort to utilize HUBs by following the guidelines established under 34 TAC, Sec. 20.13(d) through the competitive bid process, promoting HUB subcontracting opportunities, and participating in HUB forums.

6.C. Federal Funds Supporting Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2014 4:51:29PM

		212 Office of Court Administration, Texas Judicial Council				
CFDA NUMBER/ STRATEGY		Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
16.013.000	DOJ:Violence Against Women Trng&Imp					
1 - 1 - 1	COURT ADMINISTRATION	46,812	0	0	0	0
	TOTAL, ALL STRATEGIES	\$46,812	\$0	\$0	\$0	\$0
	ADDL FED FNDS FOR EMPL BENEFITS	10,429	0	0	0	0
	TOTAL, FEDERAL FUNDS	\$57,241	\$0	\$0	\$0	\$0
	ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0	\$0	\$0
16.813.000	DOJ:NICS Mntl Hlth Rcrd Imprvmt Pj					
1 - 1 - 1	COURT ADMINISTRATION	103,372	136,018	81,600	6,634	0
	TOTAL, ALL STRATEGIES	\$103,372	\$136,018	\$81,600	\$6,634	\$0
	ADDL FED FNDS FOR EMPL BENEFITS	21,092	16,724	11,814	985	0
	TOTAL, FEDERAL FUNDS	\$124,464	\$152,742	\$93,414	\$7,619	\$0
	ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0	\$0	\$0

CFDA NUMBER/ STRATEGY	212 Office of Court Administration, Texas Judicial Council	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
<u>SUMMARY LISTING OF FEDERAL PROGRAM AMOUNTS</u>						
16.013.000	DOJ:Violence Against Women Trng&Imp	46,812	0	0	0	0
16.813.000	DOJ:NICS Mntl Hlth Rcrd Imprvmt Pj	103,372	136,018	81,600	6,634	0
TOTAL, ALL STRATEGIES		\$150,184	\$136,018	\$81,600	\$6,634	\$0
TOTAL , ADDL FED FUNDS FOR EMPL BENEFITS		31,521	16,724	11,814	985	0
TOTAL, FEDERAL FUNDS		\$181,705	\$152,742	\$93,414	\$7,619	\$0
TOTAL, ADDL GR FOR EMPL BENEFITS		\$0	\$0	\$0	\$0	\$0

SUMMARY OF SPECIAL CONCERNS/ISSUES

Assumptions and Methodology:

Federal funding is based on three awards which are not anticipated to continue throughout FY2016-17. The majority of federal funds are for two mental health record improvement projects. The first project ended in March 2014 and the purpose was to conduct a physical review of case files and docket sheets, and identify relevant records in district and county court archives. The second project is to conduct a review and analysis of the protective order reporting process in Texas and increase the number of relevant records made available to the National Instant Criminal Background Check System. The third award ended September 2013 and the purpose was to implement remote-site interpretation services for translation of Spanish and other languages as needed for court hearings in civil domestic violence cases.

Potential Loss:

The agency does not foresee a potential loss of federal funds for these projects.

6.E. Estimated Revenue Collections Supporting Schedule
84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **212** Agency name: **Office of Court Administration, Texas Judicial Council**

FUND/ACCOUNT	Act 2013	Exp 2014	Exp 2015	Bud 2016	Est 2017
<u>1</u> General Revenue Fund					
Beginning Balance (Unencumbered):	\$496,706	\$720,864	\$700,414	\$746,921	\$671,206
Estimated Revenue:					
3175 Professional Fees	622,714	629,225	678,140	582,480	697,978
3719 Fees/Copies or Filing of Records	0	40	0	0	0
3725 State Grants Pass-thru Revenue	0	21,659	0	0	0
3727 Fees - Administrative Services	10,538	12,880	0	0	0
3765 Supplies/Equipment/Services	12,000	10,559	7,000	7,000	7,000
3770 Administrative Penalties	0	2,500	0	0	0
3802 Reimbursements-Third Party	95,197	182,476	59,491	52,836	52,976
Subtotal: Actual/Estimated Revenue	740,449	859,339	744,631	642,316	757,954
Total Available	\$1,237,155	\$1,580,203	\$1,445,045	\$1,389,237	\$1,429,160
DEDUCTIONS:					
Expend/Budget/Request-Baseline	(442,334)	(774,209)	(584,902)	(604,809)	(607,230)
Transfer-Employee Benefits	(73,957)	(105,580)	(113,222)	(113,222)	(113,222)
Total, Deductions	\$(516,291)	\$(879,789)	\$(698,124)	\$(718,031)	\$(720,452)
Ending Fund/Account Balance	\$720,864	\$700,414	\$746,921	\$671,206	\$708,708

REVENUE ASSUMPTIONS:

Estimated amounts are based on the assumption that demand for services will continue at the current level. Because the certification programs have renewals on 2-year and 3-year cycles, there are cyclical variations in revenue between years. Fee collections will increase in FY 2015 due to the transfer of Certified Court Interpreters from the Texas Department of Licensing and Regulation to the Judicial Branch Certification Commission (JBCC), whose programs will be administered by OCA, effective 9/1/2014. Object 3727, Fees - Administrative Services, will be zero in FY15 and beyond because this amount was collected for Continuing Education providers under the Court Reporter Certification Board (CRCB) rules that will no longer be in effect when the JBCC replaces CRCB and the other certification boards supported by OCA.

CONTACT PERSON:

Glenna Bowman

6.E. Estimated Revenue Collections Supporting Schedule
84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **212** Agency name: **Office of Court Administration, Texas Judicial Council**

FUND/ACCOUNT	Act 2013	Exp 2014	Exp 2015	Bud 2016	Est 2017
<u>5073</u> Fair Defense					
Beginning Balance (Unencumbered):	\$18,153,540	\$24,591,640	\$5,938,099	\$4,938,099	\$3,753,867
Estimated Revenue:					
3195 Additional Legal Services Fee	2,326,557	2,387,957	2,200,000	2,200,000	2,200,000
3704 Court Costs	32,177,372	29,921,049	29,500,000	29,500,000	29,500,000
3858 Bail Bond Surety Fees	2,127,927	2,074,565	2,000,000	2,000,000	2,000,000
Subtotal: Actual/Estimated Revenue	36,631,856	34,383,571	33,700,000	33,700,000	33,700,000
Total Available	\$54,785,396	\$58,975,211	\$39,638,099	\$38,638,099	\$37,453,867
DEDUCTIONS:					
Expended/Budgeted/Requested - Baseline TIDC	(28,875,297)	(51,742,772)	(33,291,508)	(33,517,140)	(33,517,140)
Expended/Budgeted/Requested - Baseline OCW	(1,033,147)	(995,096)	(1,091,772)	(1,057,386)	(1,057,386)
Transfer - Employee Benefits - TIDC	(150,312)	(157,276)	(157,276)	(159,000)	(159,000)
Transfer - Employee Benefits - OCW	(135,000)	(141,968)	(159,444)	(150,706)	(150,706)
Total, Deductions	\$(30,193,756)	\$(53,037,112)	\$(34,700,000)	\$(34,884,232)	\$(34,884,232)
Ending Fund/Account Balance	\$24,591,640	\$5,938,099	\$4,938,099	\$3,753,867	\$2,569,635

REVENUE ASSUMPTIONS:

Based on historical trends, funding from fees should remain constant and continue to provide for this program. This fund is also shared with the agency, Office of Capital Writs.

CONTACT PERSON:

Sharon Whitfield

6.E. Estimated Revenue Collections Supporting Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **212** Agency name: **Office of Court Administration, Texas Judicial Council**

FUND/ACCOUNT	Act 2013	Exp 2014	Exp 2015	Bud 2016	Est 2017
5157 Statewide Electronic Filing System					
Beginning Balance (Unencumbered):	\$0	\$0	\$0	\$0	\$0
Estimated Revenue:					
3704 Court Costs	0	366,083	602,446	629,646	629,646
3711 Judicial Fees	0	10,401,064	17,116,554	17,889,354	17,889,354
Subtotal: Actual/Estimated Revenue	0	10,767,147	17,719,000	18,519,000	18,519,000
Total Available	\$0	\$10,767,147	\$17,719,000	\$18,519,000	\$18,519,000
DEDUCTIONS:					
Payments to Vendor, Baseline Request	0	(10,767,147)	(17,719,000)	(18,519,000)	(9,967,147)
Payments to Vendor, Exceptional Item 1	0	0	0	0	(8,551,853)
Total, Deductions	\$0	\$(10,767,147)	\$(17,719,000)	\$(18,519,000)	\$(18,519,000)
Ending Fund/Account Balance	\$0	\$0	\$0	\$0	\$0

REVENUE ASSUMPTIONS:

Revenues were low in FY 2014 due to confusion over the implementation date of the new Statewide E-Filing Fee. Further, the original revenue estimate in the fiscal note for HB 2302 was based on the Basic Civil Legal Services (BCLS) fee. Based on new data provided by the State Comptroller's Office, revenues from the BCLS fee are down 10-15% from the original estimate (which was based on FY2012 data); therefore, it is reasonable to assume that, without any change in other factors, revenues for the Statewide E-Filing Fee would also be down from the original estimate by the same percentage.

CONTACT PERSON:

Glenna Bowman

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/4/2014
Time: 12:59:00PM

Agency code: 212 Agency name: **Office of Court Administration, Texas Judicial Council**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2016	2017	Biennial Total	2016	2017	Biennial Total	
1 A.1.1. Court Administration - 5%							
Category: Programs - Service Reductions (FTEs-Layoffs)							
Item Comment: A 5% reduction to this strategy would require that OCA layoff staff. 1.0 FTE would be cut from the Collection Improvement (CIP) program, which would result in less support to assist counties and cities in implementing the program. This program has been overwhelmingly successful, resulting in additional state revenue that would otherwise go uncollected. 1.0 FTE would be cut from the CIP Audit program, and subsequently there would be fewer audits and visits conducted. Regular audits have improved compliance because there is a routine presence in counties and cities to ensure that local governments are following the mandated rules for the collection program and maximizing collections of state and local revenues. In addition to the FTE reductions, OCA would need to cut funding from its Language Access Program to provide interpretation services in languages other than Spanish. The OCA language access program has been very successful since its origination in January 2014. Courts across Texas used the remote interpreting services to conduct almost 250 hearings in the first half of 2014.							
Strategy: 1-1-1 Court Administration							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$148,682	\$148,683	\$297,365	
General Revenue Funds Total	\$0	\$0	\$0	\$148,682	\$148,683	\$297,365	
Item Total	\$0	\$0	\$0	\$148,682	\$148,683	\$297,365	
FTE Reductions (From FY 2016 and FY 2017 Base Request)				2.0	2.0		

2 A.1.2. Information Technology - 5%

Category: Programs - Service Reductions (FTEs-Layoffs)

Item Comment: A 5% reduction would result in the loss of two FTEs in the Information Services division. OCA's Service Desk, which is responsible for handling service incidents from our more than 700 users, would be cut in half. The functions would be greatly reduced and mostly transferred to the server team. In turn, the server team would need to significantly lower expected service levels. For example, a Priority 3 service incident, which is the most common that is currently resolved within two days would take on average 8 days.

Statewide E-Filing

A 5% reduction would require OCA to lower payments to its contracted vendor for statewide E-Filing. The funding for this initiative comes from court costs and fees deposited to the Statewide E-Filing Fund (5157), a dedicated account in the General Revenue Fund. The money in this fund cannot be used for purposes other than statewide E-Filing, and all of the estimated revenues are needed to pay the current contract amounts to the vendor.

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/4/2014
Time: 12:59:00PM

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2016	2017	Biennial Total	2016	2017	Biennial Total	
Strategy: 1-1-2 Information Technology							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$188,620	\$188,620	\$377,240	
General Revenue Funds Total	\$0	\$0	\$0	\$188,620	\$188,620	\$377,240	
<u>Gr Dedicated</u>							
5157 Statewide Electronic Filing System	\$0	\$0	\$0	\$712,153	\$712,154	\$1,424,307	
Gr Dedicated Total	\$0	\$0	\$0	\$712,153	\$712,154	\$1,424,307	
Item Total	\$0	\$0	\$0	\$900,773	\$900,774	\$1,801,547	

FTE Reductions (From FY 2016 and FY 2017 Base Request)

3 A.1.3. Docket Equalization - 5%

Category: Programs - Service Reductions (Other)

Item Comment: The current appropriation for this program is 50% lower than it was in FY2002. If this appropriation is reduced by 5%, there may not be adequate funds to cover the travel costs associated with transferred cases.

Strategy: 1-1-3 Equalization of the Courts of Appeals Dockets

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$844	\$844	\$1,688	
General Revenue Funds Total	\$0	\$0	\$0	\$844	\$844	\$1,688	
Item Total	\$0	\$0	\$0	\$844	\$844	\$1,688	

FTE Reductions (From FY 2016 and FY 2017 Base Request)

4 A.1.4. Assistance to Administrative Judicial Regions - 5%

Category: Programs - Service Reductions (Other)

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/4/2014
Time: 12:59:00PM

Agency code: 212 Agency name: **Office of Court Administration, Texas Judicial Council**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET																																
	2016	2017	Biennial Total	2016	2017	Biennial Total																																	
<p>Item Comment: The current general revenue appropriation for the Assistance to Administrative Judicial Regions program is 25% lower than it was in FY2002. The amount is already so low that it does not cover even half of the salary of an administrative assistant for the regional presiding judge. Previous legislative reductions in the AAJR program have already shifted additional costs to be absorbed by the counties. A 5% reduction would mean even fewer resources are available for this statewide function.</p> <p>Strategy: 1-1-4 Assistance to the Administrative Judicial Regions</p> <p><u>General Revenue Funds</u></p> <table border="1"> <tr> <td>1 General Revenue Fund</td> <td>\$0</td> <td>\$0</td> <td>\$0</td> <td>\$7,685</td> <td>\$7,686</td> <td>\$15,371</td> <td></td> </tr> <tr> <td>General Revenue Funds Total</td> <td>\$0</td> <td>\$0</td> <td>\$0</td> <td>\$7,685</td> <td>\$7,686</td> <td>\$15,371</td> <td></td> </tr> <tr> <td>Item Total</td> <td>\$0</td> <td>\$0</td> <td>\$0</td> <td>\$7,685</td> <td>\$7,686</td> <td>\$15,371</td> <td></td> </tr> </table> <p>FTE Reductions (From FY 2016 and FY 2017 Base Request)</p>								1 General Revenue Fund	\$0	\$0	\$0	\$7,685	\$7,686	\$15,371		General Revenue Funds Total	\$0	\$0	\$0	\$7,685	\$7,686	\$15,371		Item Total	\$0	\$0	\$0	\$7,685	\$7,686	\$15,371									
1 General Revenue Fund	\$0	\$0	\$0	\$7,685	\$7,686	\$15,371																																	
General Revenue Funds Total	\$0	\$0	\$0	\$7,685	\$7,686	\$15,371																																	
Item Total	\$0	\$0	\$0	\$7,685	\$7,686	\$15,371																																	
<p>5 B.1.1. Child Support Courts - 5%</p> <p>Category: Programs - Service Reductions (FTEs-Layoffs)</p> <p>Item Comment: This reduction would require the elimination of 2.5 child support courts, staffed by 5.0 FTEs. The child support courts handle over 170,000 cases per year. Depending on which courts are closed, this reduction could result in almost 9,900 child support cases not being resolved within statutorily mandated, expedited timeframes. Additionally, this program uses general revenue to match federal funding; therefore, for each dollar of general revenue that is cut from this budget, the program loses two dollars in federal funding.</p> <p>Strategy: 2-1-1 Child Support Courts Program</p> <p><u>General Revenue Funds</u></p> <table border="1"> <tr> <td>1 General Revenue Fund</td> <td>\$0</td> <td>\$0</td> <td>\$0</td> <td>\$117,056</td> <td>\$117,056</td> <td>\$234,112</td> <td></td> </tr> <tr> <td>General Revenue Funds Total</td> <td>\$0</td> <td>\$0</td> <td>\$0</td> <td>\$117,056</td> <td>\$117,056</td> <td>\$234,112</td> <td></td> </tr> <tr> <td>Item Total</td> <td>\$0</td> <td>\$0</td> <td>\$0</td> <td>\$117,056</td> <td>\$117,056</td> <td>\$234,112</td> <td></td> </tr> </table> <p>FTE Reductions (From FY 2016 and FY 2017 Base Request)</p> <table border="1"> <tr> <td></td> <td>5.0</td> <td>5.0</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </table>								1 General Revenue Fund	\$0	\$0	\$0	\$117,056	\$117,056	\$234,112		General Revenue Funds Total	\$0	\$0	\$0	\$117,056	\$117,056	\$234,112		Item Total	\$0	\$0	\$0	\$117,056	\$117,056	\$234,112			5.0	5.0					
1 General Revenue Fund	\$0	\$0	\$0	\$117,056	\$117,056	\$234,112																																	
General Revenue Funds Total	\$0	\$0	\$0	\$117,056	\$117,056	\$234,112																																	
Item Total	\$0	\$0	\$0	\$117,056	\$117,056	\$234,112																																	
	5.0	5.0																																					

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/4/2014
Time: 12:59:00PM

Agency code: 212 Agency name: **Office of Court Administration, Texas Judicial Council**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2016	2017	Biennial Total	2016	2017	Biennial Total	
6 B.1.2. Child Protection Courts - 5%							
Category: Programs - Service Reductions (FTEs-Layoffs)							
Item Comment: A reduction in the CPC program would require the elimination of one child protection court, staffed by 2.0 FTEs. This would reduce the number of CPC hearings by 1,550 per year. Moreover, about 275 children per year would not be served by OCA's child protection courts in FY2016-17.							
Strategy: 2-1-2 Child Protection Courts Program							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$157,685	\$157,686	\$315,371	
General Revenue Funds Total	\$0	\$0	\$0	\$157,685	\$157,686	\$315,371	
Item Total	\$0	\$0	\$0	\$157,685	\$157,686	\$315,371	
FTE Reductions (From FY 2016 and FY 2017 Base Request)				2.0	2.0		
7 C.1.1. Judicial Branch Certification Commission - 5%							
Category: Programs - Service Reductions (FTEs-Layoffs)							
Item Comment: A 5% reduction to these programs would result in a reduction of 1.0 FTE. This reduction in staff would result in a delay in processing applications for licensing, renewal or registration and complaint resolution.							
Strategy: 3-1-1 Judicial Branch Certification Commission							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$26,063	\$26,064	\$52,127	
General Revenue Funds Total	\$0	\$0	\$0	\$26,063	\$26,064	\$52,127	
Item Total	\$0	\$0	\$0	\$26,063	\$26,064	\$52,127	
FTE Reductions (From FY 2016 and FY 2017 Base Request)				1.0	1.0		
8 C.1.2. TEXAS.GOV - 5%							

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/4/2014
Time: 12:59:00PM

Agency code: 212 Agency name: **Office of Court Administration, Texas Judicial Council**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2016	2017	Biennial Total	2016	2017	Biennial Total	

Category: Programs - Service Reductions (Other)

Item Comment: In accordance with Art. IV, OCA Appn, Strategy C.1.2. TEXAS.GOV, and Art. IX, Sec. 9.05 of the General Appropriations Act, this strategy is estimated and nontransferable. Therefore, whatever revenues are collected for this function are appropriated to the agency to pass through to the provider. If the agency is required to make cuts to this strategy, the amounts will have to be made up from other strategies that already have limited resources.

Strategy: 3-1-2 Texas.Gov. Estimated and Nontransferable

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$514	\$629	\$1,143
General Revenue Funds Total	\$0	\$0	\$0	\$514	\$629	\$1,143
Item Total	\$0	\$0	\$0	\$514	\$629	\$1,143

FTE Reductions (From FY 2016 and FY 2017 Base Request)

9 D.1.1. Texas Indigent Defense Commission - 5%

Category: Programs - Grant/Loan/Pass-through Reductions

Item Comment: A reduction to this strategy would cut existing funding to an already underfunded program. Indigent defense expenditures are Constitutionally required services. Because this is not a discretionary program, any cuts would pass costs on directly to county governments and taxpayers. (Note that the approved baseline request on which this schedule is based reflected a one-time spike in funding in the last biennium as a result of the legislature's restoration of our unexpended balance authority and estimated appropriation authority regarding funds accumulated in the GR-dedicated Fair Defense Account. Actual baseline request for FY 16/17 is \$67 million, of which 10% reduction would be \$6.7 million.)

Strategy: 4-1-1 Improve Indigent Defense Practices and Procedures

Gr Dedicated

5073 Fair Defense	\$4,250,651	\$4,250,650	\$8,501,301	\$2,125,326	\$2,125,325	\$4,250,651
Gr Dedicated Total	\$4,250,651	\$4,250,650	\$8,501,301	\$2,125,326	\$2,125,325	\$4,250,651
Item Total	\$4,250,651	\$4,250,650	\$8,501,301	\$2,125,326	\$2,125,325	\$4,250,651

FTE Reductions (From FY 2016 and FY 2017 Base Request)

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/4/2014
Time: 12:59:00PM

Agency code: 212 Agency name: **Office of Court Administration, Texas Judicial Council**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2016	2017	Biennial Total	2016	2017	Biennial Total	

10 A.1.1. Court Administration - Additional 5%

Category: Programs - Service Reductions (FTEs-Layoffs)

Item Comment: This reduction would require cutting 2.0 FTEs over and above the FTEs identified in the first 5% reduction for Court Administration. These FTEs would come from other critical functions that provide staff services necessary for the support of judicial entities. These positions would have to be identified later, as most essential duties other than those enumerated in the first 5% are performed primarily by a single FTE.

Strategy: 1-1-1 Court Administration

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$148,682	\$148,682	\$297,364
General Revenue Funds Total	\$0	\$0	\$0	\$148,682	\$148,682	\$297,364
Item Total	\$0	\$0	\$0	\$148,682	\$148,682	\$297,364

FTE Reductions (From FY 2016 and FY 2017 Base Request) **2.0** **2.0**

11 A.1.2. Information Technology - Additional 5%

Category: Programs - Service Reductions (FTEs-Layoffs)

Item Comment: A second 5% reduction would require an additional two FTEs be cut, for a total cut of four FTEs. The two additional FTE cuts would eliminate our business analyst/quality assurance positions from the applications support team. The functions would be greatly reduced and transferred to the remaining application support team. This change would degrade the quality of custom case management for OCA supported courts by significantly increasing the time between release cycles of software.

A second 5% reduction would require OCA to further lower payments to its contracted vendor for statewide E-Filing. The funding for this initiative comes from court costs and fees deposited to the Statewide E-Filing Fund (5157), a dedicated account in the General Revenue Fund. The money in this fund cannot be used for purposes other than statewide E-Filing, and all of the estimated revenues are needed to pay the current contract amounts to the vendor.

Strategy: 1-1-2 Information Technology

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$188,620	\$188,620	\$377,240
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6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/4/2014
Time: 12:59:00PM

Agency code: 212 Agency name: **Office of Court Administration, Texas Judicial Council**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2016	2017	Biennial Total	2016	2017	Biennial Total	
General Revenue Funds Total	\$0	\$0	\$0	\$188,620	\$188,620	\$377,240	
<u>Gr Dedicated</u>							
5157 Statewide Electronic Filing System	\$0	\$0	\$0	\$712,154	\$712,153	\$1,424,307	
Gr Dedicated Total	\$0	\$0	\$0	\$712,154	\$712,153	\$1,424,307	
Item Total	\$0	\$0	\$0	\$900,774	\$900,773	\$1,801,547	

FTE Reductions (From FY 2016 and FY 2017 Base Request)

12 A.1.3. Docket Equalization - Additional 5%

Category: Programs - Service Reductions (Other)

Item Comment: The current appropriation for this program is 50% lower than it was in FY2002. If this appropriation is reduced by 5%, there may not be adequate funds to cover the travel costs associated with transferred cases.

Strategy: 1-1-3 Equalization of the Courts of Appeals Dockets

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$844	\$844	\$1,688	
General Revenue Funds Total	\$0	\$0	\$0	\$844	\$844	\$1,688	
Item Total	\$0	\$0	\$0	\$844	\$844	\$1,688	

FTE Reductions (From FY 2016 and FY 2017 Base Request)

13 A.1.4. Assistance to Administrative Judicial Regions - Additional 5%

Category: Programs - Service Reductions (Other)

Item Comment: The current general revenue appropriation for the Assistance to Administrative Judicial Regions program is 25% lower than it was in FY2002. The amount is already so low that it does not cover even half of the salary of an administrative assistant for the regional presiding judge. Previous legislative reductions in the AAJR program have already shifted additional costs to be absorbed by the counties. An additional 5% reduction would mean even fewer resources are available for this statewide function.

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/4/2014
Time: 12:59:00PM

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2016	2017	Biennial Total	2016	2017	Biennial Total	
Strategy: 1-1-4 Assistance to the Administrative Judicial Regions							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$7,686	\$7,686	\$15,372	
General Revenue Funds Total	\$0	\$0	\$0	\$7,686	\$7,686	\$15,372	
Item Total	\$0	\$0	\$0	\$7,686	\$7,686	\$15,372	
FTE Reductions (From FY 2016 and FY 2017 Base Request)							
14 B.1.1. Child Support Courts - Additional 5%							
Category: Programs - Service Reductions (FTEs-Layoffs)							
Item Comment: An additional 5% reduction in the CSC program would require the elimination of another 2.5 child support courts, staffed by 5.0 FTEs. Depending on which courts are closed, this reduction could result in another 9,900 child support cases not being resolved within statutorily mandated, expedited timeframes. The impact of general revenue reductions on this program are higher because general revenue is used to match federal funding; therefore, for each dollar of general revenue that is cut from this budget, the program loses two dollars in federal funding.							
Strategy: 2-1-1 Child Support Courts Program							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$117,056	\$117,056	\$234,112	
General Revenue Funds Total	\$0	\$0	\$0	\$117,056	\$117,056	\$234,112	
Item Total	\$0	\$0	\$0	\$117,056	\$117,056	\$234,112	
FTE Reductions (From FY 2016 and FY 2017 Base Request)							
				5.0	5.0		

15 B.1.2. Child Protection Courts - Additional 5%

Category: Programs - Service Reductions (FTEs-Layoffs)

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

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Date: 8/4/2014
Time: 12:59:00PM

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2016	2017	Biennial Total	2016	2017	Biennial Total	

Item Comment: In accordance with Art. IV, OCA Appn, Strategy C.1.2. TEXAS.GOV, and Art. IX, Sec. 9.05 of the General Appropriations Act, this strategy is estimated and nontransferable. Therefore, whatever revenues are collected for this function are appropriated to the agency to pass through to the provider. If the agency is required to make cuts to this strategy, the amounts will have to be made up from other strategies that already have limited resources.

Strategy: 3-1-2 Texas.Gov. Estimated and Nontransferable

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$514	\$629	\$1,143	
General Revenue Funds Total	\$0	\$0	\$0	\$514	\$629	\$1,143	
Item Total	\$0	\$0	\$0	\$514	\$629	\$1,143	

FTE Reductions (From FY 2016 and FY 2017 Base Request)

18 D.1.1. Texas Indigent Defense Commission - Additional 5%

Category: Programs - Grant/Loan/Pass-through Reductions

Item Comment: A reduction to this strategy would cut existing funding to an already underfunded program. Indigent defense expenditures are Constitutionally required services. Because this is not a discretionary program, any cuts would pass costs on directly to county governments and taxpayers. (Note that the approved baseline request on which this schedule is based reflected a one-time spike in funding in the last biennium as a result of the legislature's restoration of our unexpended balance authority and estimated appropriation authority regarding funds accumulated in the GR-dedicated Fair Defense Account. Actual baseline request for FY 16/17 is \$67 million, of which 10% reduction would be \$6.7 million.)

Strategy: 4-1-1 Improve Indigent Defense Practices and Procedures

Gr Dedicated

5073 Fair Defense	\$0	\$0	\$0	\$2,125,325	\$2,125,325	\$4,250,650	
Gr Dedicated Total	\$0	\$0	\$0	\$2,125,325	\$2,125,325	\$4,250,650	
Item Total	\$0	\$0	\$0	\$2,125,325	\$2,125,325	\$4,250,650	

FTE Reductions (From FY 2016 and FY 2017 Base Request)

AGENCY TOTALS

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/4/2014
Time: 12:59:00PM

Agency code: 212 Agency name: **Office of Court Administration, Texas Judicial Council**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2016	2017	Biennial Total	2016	2017	Biennial Total	
General Revenue Total				\$1,294,516	\$1,294,751	\$2,589,267	\$2,589,267
GR Dedicated Total	\$4,250,651	\$4,250,650	\$8,501,301	\$5,674,958	\$5,674,957	\$11,349,915	\$11,349,915
Agency Grand Total	\$4,250,651	\$4,250,650	\$8,501,301	\$6,969,474	\$6,969,708	\$13,939,182	
Difference, Options Total Less Target							
Agency FTE Reductions (From FY 2016 and FY 2017 Base Request)				20.0	20.0		

7.A. Indirect Administrative and Support Costs

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212 Office of Court Administration, Texas Judicial Council

Strategy		Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
1-1-1	Court Administration					
OBJECTS OF EXPENSE:						
1001	SALARIES AND WAGES	\$893,366	\$ 957,868	\$ 1,444,364	\$ 1,444,364	\$ 1,444,364
1002	OTHER PERSONNEL COSTS	56,459	41,014	42,562	42,562	42,562
2001	PROFESSIONAL FEES AND SERVICES	1,278	1,970	1,070	1,070	1,070
2003	CONSUMABLE SUPPLIES	7,998	8,621	7,600	7,600	7,600
2004	UTILITIES	2,011	1,525	3,374	3,374	3,374
2005	TRAVEL	18,549	18,451	21,250	21,250	21,250
2006	RENT - BUILDING	1,147	1,987	240	240	240
2007	RENT - MACHINE AND OTHER	5,433	5,506	6,400	6,400	6,400
2009	OTHER OPERATING EXPENSE	63,545	55,771	59,000	59,000	59,000
Total, Objects of Expense		\$1,049,786	\$1,092,713	\$1,585,860	\$1,585,860	\$1,585,860
METHOD OF FINANCING:						
1	General Revenue Fund	841,115	875,977	1,365,831	1,365,831	1,365,831
777	Interagency Contracts	208,671	216,736	220,029	220,029	220,029
Total, Method of Financing		\$1,049,786	\$1,092,713	\$1,585,860	\$1,585,860	\$1,585,860
FULL TIME EQUIVALENT POSITIONS		16.4	17.4	17.4	17.4	17.4

7.A. Indirect Administrative and Support Costs

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Automated Budget and Evaluation System of Texas (ABEST)

212 Office of Court Administration, Texas Judicial Council

Strategy		Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
1-1-2	Information Technology					
OBJECTS OF EXPENSE:						
1001	SALARIES AND WAGES	\$356,827	\$ 312,238	\$ 348,091	\$ 348,091	\$ 348,091
1002	OTHER PERSONNEL COSTS	26,249	22,969	9,882	10,334	10,539
2001	PROFESSIONAL FEES AND SERVICES	745	652	690	690	690
2003	CONSUMABLE SUPPLIES	257	225	225	1,200	225
2004	UTILITIES	1,333	1,166	1,363	1,371	1,371
2005	TRAVEL	10,472	9,163	5,400	5,400	5,400
2006	RENT - BUILDING	137	120	120	120	120
2007	RENT - MACHINE AND OTHER	5,944	5,201	5,200	5,200	5,200
2009	OTHER OPERATING EXPENSE	129,953	113,714	133,374	121,571	116,794
Total, Objects of Expense		\$531,917	\$465,448	\$504,345	\$493,977	\$488,430
METHOD OF FINANCING:						
1	General Revenue Fund	531,917	465,448	504,345	493,977	488,430
Total, Method of Financing		\$531,917	\$465,448	\$504,345	\$493,977	\$488,430
FULL TIME EQUIVALENT POSITIONS		4.8	4.8	4.8	4.8	4.8

7.A. Indirect Administrative and Support Costs

8/4/2014 1:03:37PM

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Automated Budget and Evaluation System of Texas (ABEST)

212 Office of Court Administration, Texas Judicial Council

	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
GRAND TOTALS					
Objects of Expense					
1001 SALARIES AND WAGES	\$1,250,193	\$1,270,106	\$1,792,455	\$1,792,455	\$1,792,455
1002 OTHER PERSONNEL COSTS	\$82,708	\$63,983	\$52,444	\$52,896	\$53,101
2001 PROFESSIONAL FEES AND SERVICES	\$2,023	\$2,622	\$1,760	\$1,760	\$1,760
2003 CONSUMABLE SUPPLIES	\$8,255	\$8,846	\$7,825	\$8,800	\$7,825
2004 UTILITIES	\$3,344	\$2,691	\$4,737	\$4,745	\$4,745
2005 TRAVEL	\$29,021	\$27,614	\$26,650	\$26,650	\$26,650
2006 RENT - BUILDING	\$1,284	\$2,107	\$360	\$360	\$360
2007 RENT - MACHINE AND OTHER	\$11,377	\$10,707	\$11,600	\$11,600	\$11,600
2009 OTHER OPERATING EXPENSE	\$193,498	\$169,485	\$192,374	\$180,571	\$175,794
Total, Objects of Expense	\$1,581,703	\$1,558,161	\$2,090,205	\$2,079,837	\$2,074,290
Method of Financing					
1 General Revenue Fund	\$1,373,032	\$1,341,425	\$1,870,176	\$1,859,808	\$1,854,261
777 Interagency Contracts	\$208,671	\$216,736	\$220,029	\$220,029	\$220,029
Total, Method of Financing	\$1,581,703	\$1,558,161	\$2,090,205	\$2,079,837	\$2,074,290
Full-Time-Equivalent Positions (FTE)	21.2	22.2	22.2	22.2	22.2

Agency code: 212

Agency name: Office of Court Administration, Texas Judicial Council

Strategy	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
4-1-1 Improve Indigent Defense Practices and Procedures					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$664,855	\$684,274	\$745,288	\$803,288	\$803,288
1002 OTHER PERSONNEL COSTS	37,656	31,017	17,726	18,016	18,016
2001 PROFESSIONAL FEES AND SERVICES	7,622	9,028	240	240	240
2003 CONSUMABLE SUPPLIES	5,012	2,000	3,000	3,000	3,000
2004 UTILITIES	2,791	5,119	5,000	5,000	5,000
2005 TRAVEL	28,220	31,094	33,000	33,000	33,000
2006 RENT - BUILDING	870	120	120	120	120
2007 RENT - MACHINE AND OTHER	1,474	2,400	2,400	2,400	2,400
2009 OTHER OPERATING EXPENSE	185,646	178,640	158,214	199,924	199,924
Total, Objects of Expense	\$934,146	\$943,692	\$964,988	\$1,064,988	\$1,064,988
METHOD OF FINANCING:					
5073 Fair Defense	934,146	943,692	964,988	1,064,988	1,064,988
Total, Method of Financing	\$934,146	\$943,692	\$964,988	\$1,064,988	\$1,064,988
FULL-TIME-EQUIVALENT POSITIONS (FTE):	10.1	10.3	11.0	11.0	11.0

Agency code: 212

Agency name: Office of Court Administration, Texas Judicial Council

	Exp 2013	Est 2014	Bud 2015	BL 2016	BL 2017
GRAND TOTALS					
Objects of Expense					
1001 SALARIES AND WAGES	\$664,855	\$684,274	\$745,288	\$803,288	\$803,288
1002 OTHER PERSONNEL COSTS	\$37,656	\$31,017	\$17,726	\$18,016	\$18,016
2001 PROFESSIONAL FEES AND SERVICES	\$7,622	\$9,028	\$240	\$240	\$240
2003 CONSUMABLE SUPPLIES	\$5,012	\$2,000	\$3,000	\$3,000	\$3,000
2004 UTILITIES	\$2,791	\$5,119	\$5,000	\$5,000	\$5,000
2005 TRAVEL	\$28,220	\$31,094	\$33,000	\$33,000	\$33,000
2006 RENT - BUILDING	\$870	\$120	\$120	\$120	\$120
2007 RENT - MACHINE AND OTHER	\$1,474	\$2,400	\$2,400	\$2,400	\$2,400
2009 OTHER OPERATING EXPENSE	\$185,646	\$178,640	\$158,214	\$199,924	\$199,924
Total, Objects of Expense	\$934,146	\$943,692	\$964,988	\$1,064,988	\$1,064,988
Method of Financing					
5073 Fair Defense	\$934,146	\$943,692	\$964,988	\$1,064,988	\$1,064,988
Total, Method of Financing	\$934,146	\$943,692	\$964,988	\$1,064,988	\$1,064,988
Full-Time-Equivalent Positions (FTE)	10.1	10.3	11.0	11.0	11.0



**Our
MISSION**

To Provide Resources and Information for the Efficient Administration of the Judicial Branch of Texas.

**Office of Court Administration
Workload, Staffing and
Resource Review of the
Judicial Information Section**

Final Report

June 5, 2014

As Prepared by
Jansen & Gregorczyk
Certified Public Accountants

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**Jansen & Gregorczyk
Certified Public Accountants**

Telephone
(512) 468-2020

P. O. Box 601
Kyle, TX 78640

June 5, 2014

Mr. David Slayton
Administrative Director
Office of Court Administration

The following report provides the results and recommendations noted during the workload, staffing and resource review of the Judicial Information (JI) Section of the Office of Court Administration.

Jansen & Gregorczyk, CPAs

Jansen & Gregorczyk
Certified Public Accountants

EXECUTIVE SUMMARY

Review Purpose

The purpose of the review was to evaluate the workload, staffing and resource needs of the Judicial Information Section to ensure the accuracy, completeness and timely processing of judicial data and other information reported to OCA by courts across the State of Texas, as well as to respond timely, thoroughly and accurately to information requests from the Legislature, the public and other interested parties.

Review Results

- Based on this analysis of available time and required task time, current staffing and resources available for the Judicial Information Section are only marginally sufficient to perform 16 identified tasks that are the responsibility of the Section.
- To complete functions not currently being performed the Section needs a minimum of one additional Information Specialist. If one additional Administrative Assistant position was added to the Section, administrative duties currently performed by all staff in the Section could be more appropriately assigned to this position.
- The primary determination regarding the JI Section workload and associated staffing needs is the level of resources OCA believes should be allocated to improving the quality of data submitted to OCA by various courts. There are a number of policy decisions that need to be made by the Administrative Director regarding the level of resources that should be devoted to reporting problems by courts and data quality issues.
- The staff responsibilities within the Section are assigned to equitably distribute the workload to the most appropriate staff.

- JI Section staff are adequately cross-trained to accomplish most of the Section's responsibilities.
- The JI Section has adequate technology resources to effectively and efficiently accomplish their responsibilities, but there are a number of courses that Judicial Information staff could attend that would enable them to increase their productivity.
- Information Services support functions provided to Judicial Information cannot be re-directed or more effectively used to reduce the workload of the Judicial Information Section in accurately, thoroughly and timely compiling judicial information submitted to OCA.
- OCA has established an effective staffing structure for responding to information requests from the Legislature, media, interested third parties and the public, but the actual procedures and division of responsibility for responding to information requests by the Director of Public Affairs and Special Counsel, Research Specialist, Manager of Judicial Information and the IT Support staff need to be agreed upon and documented.
- The Administrative Director should review subtasks in several Judicial Information projects to determine if those subtasks could be redirected to other staff in the office. Redirecting some subtasks could free up significant time for Judicial Information staff.
- A modification should be made to the Administrative Assistant in Accounting schedule allocation to designate a set day each week to work on Judicial Information items. Judicial Information could use her more effectively. In order to do this, Judicial Information needs to line up specific, recurring types of projects that she can work on the days she is designated to work for them.

Key Recommendations

- The Administrative Director should consider each of the four policy questions identified in the report and base future personnel and resource decisions on how each policy question is answered.
- The results of this study identify the need for additional staff in this area. OCA should consider requesting additional funding for one or two additional positions for Judicial Information and possibly dedicated programming resources to help resolve known and suspected reporting problems by courts and data quality issues.
- If additional personnel resources cannot be obtained for the Judicial Information Section, then a policy decision or policy decisions should be made regarding suspected data reporting problems that will not be addressed due to lack of resources.
- A position classification review should be performed on the JI Analyst position to determine if the position would be more appropriately classified the same as the Research Specialist III.
- If training funds are available, Judicial Information staff should attend training on the use of In Design software, Advanced Excel and Using Excel and Access to Analyze Data.
- The Director of Research and Court Services, Information Services Director and the Director of Public Affairs and Special Counsel should develop written guidelines for responding to information requests so all staff will have a clear understanding of their responsibilities and the processes they are to follow.
- The Administrative Assistant in Accounting should be assigned to work for Judicial Information on a designated day each week for 4 to 4.5 hours.

REVIEW PURPOSE & SCOPE

The purpose of the review was to evaluate the workload, staffing and resource needs of the Judicial Information Section to ensure the accuracy, completeness and timely processing of judicial data and other information reported to OCA by courts across the State of Texas, as well as to respond timely, thoroughly and accurately to information requests from the Legislature, the public and other interested parties. The processing of data and other information includes the preparation of the Annual Statistical Report for the Judiciary of Texas and Texas Judicial System Directory.

The scope of review work considered issues related to the quality of data or other information reported to the OCA, but did not include services to audit or test the data reported to OCA.

Specific review objectives were developed and coordinated with OCA management. These objectives and the results of review work are presented in the next section, "Review Results and Recommendations."

REVIEW RESULTS AND RECOMMENDATIONS

The results and recommendations of the review are presented in this section for each of the six review objectives that were established and coordinated with OCA management.

Objective 1: *Determine if the Judicial Information Section is adequately staffed to accurately, thoroughly and timely: process judicial data reported to OCA by courts across the state; respond to information requests from all sources; and complete all judicial reports and projects required by statute and the courts.*

The Office of Court Administration (OCA) is legislatively directed to collect and report on several key data elements that position OCA as the central repository of information on the judiciary in the State of Texas. In this role, OCA processes over 120,000 reports annually through the Judicial Information (JI) Program. The JI Program operates with four staff members: the JI Manager, the JI Analyst, the JI Research Specialist and the JI Specialist. To determine the adequacy of staffing for performing the work of the JI Section, the annual hours of available time was calculated and compared against estimates of the amount of time to complete all required projects and tasks. Assuming there are 2,080 working hours in a year (52 weeks x 5 days/week x 8 hours/day) the available hours available after considering leave time are as follows:

<u>Staff Member</u>	<u>Work Hours</u>	<u>Leave Accrual</u>	<u>Holidays & Other Closures</u>	<u>Hours Available</u>
Ji Manager	2,080	(-228)	(-128)	1,724
Ji Analyst	2,080	(-216)	(-128)	1,736
Ji Research Specialist	2,080	(-252)	(-128)	1,700
Ji Specialist	2,080	(-204)	(-128)	1,748
Total	8,320	(-900)	(-512)	6,908

The amount for holidays is based on 15 holidays and an estimate of one day of closure due to inclement weather for a total of 16 days (times 8 hours equals 128 hours). The leave accrual assumes that all leave accrued will be taken during the year. During FY 2013, the three staff members in Judicial Information used 90% of their accrued leave, but since they are eligible to use all accrued leave, the total leave accrual was used for purposes of determining the total hours available for work.

Sixteen specific types of projects, tasks or daily functions were identified and analyzed based on available information. The methodology used to estimate the time required to perform these 16 functions on an annual basis is shown in Exhibit 1. Specifically identified tasks and annual time estimates for completion of each task are as follows:

	<u>Hours Required</u>
1. Annual Statistical Report for the Texas Judiciary	483
2. Judicial Directory	141
3. National Center for State Courts- Court Statistics Project	30

4.	National Center for State Courts- State Court Organization Update	35
5.	Report on Judicial Salaries and Turnover- (every other year 40 hours)	20
6.	Judicial Compensation Commission- (every other year 120 hours)	60
7.	Monthly Court of Appeals Reports	120
8.	Manager supervisory, planning and management functions	432
9.	Manager research and responding to information requests	259
10.	Manager database management functions	173
11.	Incoming telephone calls	519
12.	Outgoing telephone calls	444
13.	Incoming out outgoing faxes	314
14.	Staff training	64
15.	Incoming and outgoing emails	1,806
16.	Technical assistance and reporting problems resolution	<u>2,141</u>
	Total for these Identified Functions	7,041

Based on this analysis of available time and required task time, current staffing and resources available for the Judicial Information Section are only marginally sufficient to perform the 16 identified tasks that are the responsibility of the Section. This also assumes that each employee is productive each available hour of the day and throughout the year. Realistically, this does not happen with day-to-day interruptions that occur, as well as other tasks that do not fit into one of the 16 areas identified above, such as entry of directory updates, maintenance and entry of judge profile information, researching and implementing legislative changes, and updating reporting instructions, which were not included in the analysis above. Given the many other types of tasks and problems that arise on a daily basis, the Judicial Information Section is not adequately staffed with four FTEs.

The primary factors driving the resource needs of the Judicial Information Section are reporting problems by courts and data quality issues. Known or suspected data quality problems with the Court Activity Reporting and Directory (CARD) system and other reports submitted to the Judicial Information Section that have been identified by the JI Manager and the JI Analyst are:

CARD Issues for All Types of Court Reports:

1. Reports containing all zeroes. One example is in Falls County. The person who was submitting the district court reports tried to submit electronically but couldn't find the file. She then clicked on add new monthly report and simply went to the bottom of the form and clicked on submit. This went back to May 2012 and was only just discovered as a result of as a result of an inquiry from the Legislative Budget Board.
2. Most courts/clerks are not reviewing the reports they have submitted to check for accuracy, problems that result from mapping, where the file that was uploaded does not match the paper document that they print from their case management system, etc.
3. Calculation errors in reports generated from clerk's/court's case management system (each type of disposition doesn't add up to the number reported on Total Dispositions; cases counted in Reactivated line but no cases had been reported as Inactive; incorrect calculations for active and inactive cases pending at end of month).

If 1 out of 50 reports (2%) have at least one of these types of problems then, a total of 571 reports (28,548 reports annually times 2%) have problems and if each problem report could be resolved in 15 minutes, then the time to identify, correspond with and resolve these type of reporting problems would be 143 hours annually (571 times 15 minutes divided by 60 minutes).

CARD Issues for County and District Reports:

1. Juvenile Section – because many vendors do not include this in their software many clerks' offices struggle with reporting anything, and if they do it's very suspect. Also when OCA made the change in September 2010, the form changed drastically. So in many cases everything is being "lumped" into a catch all category of all other offenses rather than in the correct individual categories.
2. Criminal Section – supplemental information is often times missing or rather than including only convictions; it contains information for all dispositions.
3. Statutory Courts – there is an additional activity section that relates to the Misdemeanor and Felony Sections. If the court uploads the report and only has jurisdiction in Misdemeanors, the Felony Section must also be submitted because that is where that information is contained in the XML specifications. Vendors sometimes do not include it, so the information is left off.
4. Probate Section – information on this report is widely not reported correctly or isn't reported at all. One example is that the report should show the total number of active guardianships each month, regardless if they are 1 week, 1 year, or 10 years old and still open. Another problem is poor reporting of annual and final accounts of guardianship of person reports.
5. Juvenile Section – Like the problem in #2 with the Criminal Section, too many cases are being reported in the Disposition portion of the Juvenile Section; it should contain only cases in which the juvenile was "convicted."

A high percentage of district and county courts are estimated to have reporting problems in one of these six sections of their monthly reports. Assuming that 50% of these courts have data reporting errors in two of these sections, then the number monthly reports with problems is 5,330 (10,660 monthly reports annually times 50%). Since there are estimated to be two types of reporting problems within these reports the time to identify, correspond with and resolve these type of reporting problems would be 2,665 hours annually (5,330 times 30 minutes divided by 60 minutes).

Municipal and Justice of the Peace (JP) Reports:

1. JP – changes to the Civil Section in September 2013 have not been implemented correctly in many instances. Reported numbers are suspect.
2. Juvenile Section – Courts commonly report these incorrectly, counting them only in one place on the report, rather than in both the Juvenile and in the Criminal sections as required.
3. Additional Activity Section – several areas that should be looked into:
 - a. Magistrate Warnings and Arrest Warrants – either nothing ever reported or the exact same numbers in both places.
 - b. Jail Credit, Community Service, and Waived for Indigency – should not be reported until all fines, court costs and fees are paid, but staff suspect that many are reporting

when the credit is given. Also, when reported these figures tend to recur incorrectly due to unchecked errors in the case management system.

- c. Uncontested Dispositions – most clerks are not reporting a case until the payment plan has been paid in full which is incorrect. Unlike b. above, they should be reported at the time the payment plan is set up.
4. Some municipal courts reported juvenile activity prior to the reporting change in 2011, but are not reporting now.
5. Dollar figures reported in lines where number of cases is to be reported.
6. Large percentages of cases reported under All Other Dispositions.
7. No cases reported in the Guilty/Nolo Contendere line.
8. Large number of cases reported in the Convictions-By Court line (bench trials, which are relatively rare).
9. No filings but large number of dispositions reported; alternatively, large number of filings but no dispositions reported.
10. Inaccurate reporting of driver's safety, deferred adjudication and convictions.
11. Double reporting of dispositions.

If 1 out of 20 (5%) courts had reporting errors in one of these 11 areas, then in total as many as 55% (11 types of problems and 5% probability that a court has at least one of these types of problems, then it is possible that at 55% of these monthly reports have one or more of the 11 types of suspected problems identified. Since there are 20,928 monthly reports submitted by municipal and JP courts in a year 11,510 (55%) of the total reports may have one or more of these 11 types of problems (20,928 times 50%). Again assuming that a problem report could be resolved in 15 minutes then the time to identify, correspond with and resolve these type of reporting problems would be 2,878 hours annually (11,510 times 15 minutes divided by 60 minutes).

Other Types of Reports:

Appointments and Fees

1. Regular follow up on missing reports is not being done.
2. Review of data has never been done.
3. Analysis of data has never been done.
4. Reports of \$0 are being allowed in database through XML submissions.

Security Incidents

1. Contacting courts about reporting requirement has not been done since 2009/10.

For merely identifying, corresponding with and resolving missing and incorrect reports for these areas, this analysis used an estimate of 40 hours per quarter for Appointments and Fees and 40 hours per quarter for Security Incidents. This annualized time would be 320 hours. This would not be sufficient time to perform detailed review or analysis of the data; it would merely be an estimate of the time required to bring reporting issues to the courts' attention so they are more likely to report this required information.

In summary, to address these types of reporting problems the identified tasks and annual time estimates for completion of each task are:

Hours

	<u>Required</u>
1. Work with all courts on problem reports for 3 problem issues:	143
2. Work with county/district courts on problem reports for 6 problem issues:	2,665
3. Work with municipal/JP courts on problem reports for 11 problem issues:	2,878
4. Work with courts regarding fees/appointments/security incident reporting:	<u>320</u>
Total hours required for functions not currently performed:	6,006

Based on average available annual hours (1,728) of the four staff members in Judicial Information Section, to perform these functions not currently performed the Section would need approximate 3.5 additional FTEs.

Taking into consideration current functions and responsibilities and tasks that are not currently being completed, the Judicial Information Section needs a minimum of one additional FTE and this FTE should probably be another Information Specialist. If one additional Administrative Assistant position was added to the Section, administrative duties currently performed by all staff in the Section could be more appropriately assigned to this position.

The primary determination regarding the Judicial Information Section workload and associated staffing needs is the level of resources OCA believes should be allocated to improving the quality of data submitted to OCA by various courts. There are a number of policy decisions that need to be made by the Administrative Director regarding the level of resources that should be devoted to reporting problems by courts and data quality issues. Some of these policy issues that will drive resource considerations are:

1. Should Judicial Information staff pro-actively be working to identify and correct data reporting problems? Currently staffing levels are only adequate to react to and correct data reporting problems such as missing reports, reports with entire sections missing, and courts contacting Judicial Information for help in resolving specific data reporting problems.
2. Should Judicial Information staff only work on data reporting problems for those courts that will have the most impact on information reported in the Annual Statistical Report for the Texas Judiciary? Currently, staff may work extensively with one small court to correct data that will have little or no impact on the Annual Report.
3. Should Judicial Information work more with the courts on training that might improve reporting by the courts rather than focusing on cleaning up data that is incorrectly reported? This would require new resources and funds for training, travel, etc. that are currently not available for more proactive efforts to improve reporting by courts.
4. Should there be dedicated programming resources available to Judicial Information to help improve productivity, resolve reporting problems and enable the Judicial Information staff to work more proactively rather than reactively to improve data quality? Currently many CARD enhancement requests and program fixes can only be worked when existing programming resources are prioritized over all other projects within and outside of OCA.

Recommendation 1: *The Administrative Director should consider each of the four policy questions identified and base future personnel and resource decisions on how each policy question is answered.*

Recommendation 2: *OCA should use the results of this study as a basis for requesting additional funding for one or two additional positions for Judicial Information and possibly dedicated programming resources to help resolve known and suspected reporting problems by courts and data quality issues.*

Recommendation 3: *If additional personnel resources cannot be obtained for the Judicial Information Section, then a policy decision or policy decisions should be made regarding suspected data reporting problems that will not be addressed due to lack of resources.*

Objective 2: *Determine if the staff responsibilities within the Judicial Information Section are assigned to equitably distribute the workload to the most appropriate staff and if staff are adequately cross-trained to accomplish the Section's responsibilities.*

The staff responsibilities within the Judicial Information Section are assigned to equitably distribute the workload to the most appropriate staff, and staff are adequately cross-trained to accomplish most of the Section's responsibilities. Since the JI Research Specialist was hired in September 2013, the JI Manager has been training the new staff member and delegating functions that she has been performing. The new Research Specialist position currently compiles and analyzes data and writes content for the annual statistical report, Report on Judicial Salaries and Turnover and other reports and projects completed by JI; compiles and analyzes data for other reports prepared by the division; contacts and works with clerks and courts on correcting data problems; answers information requests; answers reporting questions; and assists with updating information for the judicial directory. The Manager has already shifted responsibility for working with the courts on data reporting problems to her three staff members. All calls for assistance and all correspondence related to data reporting issues are handled by one of the three staff members.

Most routine tasks such as working with the courts on data reporting problems can be performed by any staff member; although they tend to specialize in certain types of courts. Most big projects can be completed by at least two staff members, but the JI Manager has identified six tasks that only she can currently complete including:

- Answering and doing research for complex reporting questions
- Query development, use of SQL to access data from CARD, researching problems with stored procedures/Crystal Reports, etc. in CARD
- Maintaining tables in CARD, including establishing new and modifying existing districts, court/county/AJR relationships
- Layout and production of "published" annual statistical report
- Analyses of data for Judicial Compensation Commission, including inflation factors, impact of judicial salary increases on total salaries for district and county attorneys and county court at law judges
- Supreme Court equalization calculations

The Manager hopes to continue to cross-train the other staff members so that they can eventually perform each of these six tasks.

One issue that was noted is that the Judicial Information Analyst is classified as a Program Specialist II, Salary Classification B18, while the Judicial Information Research Specialist is classified as a Research Specialist III, Salary Classification B19. The JI Analyst performs all of the job tasks of a Research Specialist III and currently performs more complex data analysis and research projects than the JI Research Specialist. Even when the JI Research Specialist is fully trained, she will not be performing any different functions than the JI Analyst. It appears that the JI Analyst position should be re-classified to the same level as the JI Research Specialist.

Recommendation 4: *A position classification review should be performed on the JI Analyst position to determine if the position would be more appropriately classified the same as the Research Specialist III.*

Objective 3: *Determine if the Judicial Information Section has adequate technology resources and training for accomplishing the Section's responsibilities effectively and efficiently.*

The Judicial Information Section has adequate technology resources to effectively and efficiently accomplish their responsibilities. Another printer was identified as a need of the Section but a second printer was provided in the office of the JI Manager during the review.

There are a number of courses that Judicial Information staff could attend that would enable them to increase their productivity. All three Judicial Information staff members attended Level One training in Crystal Reports in October 2013. Additional training in Crystal Reports would also be helpful for at least the Judicial Information Research Specialist and the Judicial Information Analyst so that they can run queries from the CARD system. In addition, training on running queries in Microsoft Access is needed for the Judicial Information Research Specialist and Judicial Information Specialist so that they can run queries for information in the Microsoft Access databases such as the Judicial Information Directory. The State Auditor's Office has a class scheduled in July 2014 on Using Excel and Access to Analyze Data. Although the class is intended for accountants and auditors, the subject matter to be covered in the course would be ideal for the JI Manager, the JI Research Specialist and the JI Analyst. Training on Using Excel and Access to Analyze Data would enable the Section to more effectively identify reporting problems and might allow the Section to focus their resources on identifying and correcting data quality issues that will have the most overall impact on information compiled and reported by OCA.

Professional development for OCA was identified as a priority during the FY 2014-15 biennium. In November 2013, the CFO and HR Director requested input from OCA employees on their training needs. The JI Manager and JI Research Specialist both identified In Design software training as a need. In Design software is used to develop the Annual Report. Advanced Excel training was identified as a training need for all three staff members in Judicial Information. Attendance of the staff members identified at software training on In Design and Advanced Excel should enable staff to increase their productivity since Excel is used extensively by all staff and In Design is used to prepare the Annual Report.

Recommendation 5: *If training funds are available, Judicial Information staff should attend training on the use of In Design software, Advanced Excel and Using Excel and Access to Analyze Data. If funds are not available to send the recommended staff to all these classes, then each staff member should attend the class or classes that will be most beneficial to their job functions.*

Objective 4: *Determine if the Information Services (IS) support for the CARD system could be structured to reduce the workload of the Judicial Information Section in accurately, thoroughly and timely compiling judicial information submitted by the courts.*

Information Services support functions provided to Judicial Information cannot be re-directed or more effectively used to reduce the workload of the Judicial Information Section in accurately, thoroughly and timely compiling judicial information submitted.

The programming staff recently released an update to the CARD system that addressed problems categorized as “Critical” in the CARD system. Due to several other high priority programming needs for the judiciary, no programming resources are scheduled to be immediately devoted to CARD changes, even though requests remain that are deemed “Major” and “Minor.” Anything remaining on the BugNet list will have to be addressed in the next release cycle. If something is broken or is critical to the operation of the CARD system, the Applications Manager would staff someone to work on it, but otherwise changes requested by the JI Manager will be added to the BugNet list and worked on in the next release cycle.

The current BugNet list of requested changes to CARD was reviewed with the JI Manager. Seven requested projects on the list were identified as changes that would produce time savings for Judicial Information, but five of those project requests are in the “Initial Review” stage and it appears unlikely that they will be worked on in the current release cycle changes. Of the changes that have been made or will be made in the next release cycle, some will result in time savings for Judicial Information, but others will result in more effective ways to identify data reporting issues that may actually increase the workload of Judicial Information in responding to the identified data reporting issues. Overall, while changes and enhancements to the CARD system will help with data reporting problems the changes are not likely to reduce the workload of the Judicial Information Section.

The addition of a second staff member in the IT Support section of Information Services would enable that section to provide more software support, rather than primarily focusing on hardware and technical issues. It is unclear if this will benefit the Judicial Information Section by reducing calls and requests for assistance they receive. Requests for password resets and XML file transfer problems have sometimes been handled by Judicial Information staff in the past, but it appears that these issues are not as prevalent or are now being handled more by IT Support. Ensuring that IT Support staff always assign the correct login IDs for reporting statutory and constitutional county court activity has been an issue, but instructions have been provided to IT Support staff for performing this function. Some additional training of IT Support and Front Desk staff was conducted at the end of April. Other than these functions, no other areas were identified where IT

Support staff could be more effectively utilized to reduce the workload of the Judicial Information staff.

Recommendation 6: *The Manager of Judicial Information should continue to submit requests for changes to the CARD system to be added to the BugNet list even though there may be no immediate resources to address identified problems and requested enhancements. The “Comments” section of the request should be used to identify how the request would assist Judicial Information in improving the data quality in the CARD system or how it would result in saving staff time in identifying and resolving data quality issues. This would enable OCA management to know what types of problems have been identified and how programming resources should be prioritized in addressing the problems.*

Recommendation 7: *The Manager of Judicial Information and her staff should refer calls regarding password resets and technical problems with uploads of data to CARD to the IT Support staff rather than attempting to address these issues. This will help re-train those clerks and judges to know who to call when technical issues arise rather than automatically calling the Judicial Information staff. In addition, any calls misrouted to Judicial Information that should have been directed to IT Support should be brought to the attention of the Human Resources Director to determine if the guidelines or training of the Front Desk staff need to be addressed.*

Objective 5: *Determine if OCA has established an effective staffing structure and procedures for accurately, thoroughly and timely responding to information requests from the Legislature, media, interested third parties and the public.*

OCA has established an effective staffing structure for responding to information requests from the Legislature, media, interested third parties and the public, but the actual procedures and division of responsibility for responding to information requests by the Director of Public Affairs and Special Counsel, Research Specialist, Manager of Judicial Information and the IT Support staff need to be agreed upon and documented.

A series of emails regarding the responsibility for responding to requests for information dating back to November 12, 2013 attempted to clarify how requests for information would be routed and which sections would be responsible for which types of requests. On March 19, 2014, an email was sent from David Slayton to Mary Cowherd, Casey Kennedy and Megan LaVoie asking the three of them to meet and write something up that delineates the process better. According to Mary Cowherd, they did meet, but nothing was written up. Verbal discussions with Mary Cowherd, Megan LaVoie, Amanda Stites and Angela Garcia indicate that all Legislative and media requests will be coordinated through Megan LaVoie. The role of the IT Support group needs clarification. There is a need to follow through with the request to develop written guidelines for responding to information requests so all staff will have a clear understanding of their responsibilities and the processes they are to follow.

The Director of Public Affairs and Special Counsel estimates that she gets five to six calls per week requesting some type of information. This will obviously pick up as the Legislative Session approaches and during the Session. She estimates that, of the requests she receives, she usually

can respond to all but one or two directly without any input from Judicial Information. If the Director of Public Affairs is responding to simple requests for information from the media and Legislature on 4 out of 5 requests that she estimates she receives weekly, then there may be an hour or two per week of time savings to the JI Manager. However, it may actually take additional time to respond to some requests that the JI Manager fulfills due to the coordination component. Currently, based on an assumption of one to two hours of cost savings per week, then the new Director of Public Affairs and Special Counsel position may have increased the time available to the Judicial Information Manager by 2.5% to 5%. During the next Legislative Session, if the Director of Public Affairs and Special Counsel is able to respond to 80% of requests for information that she receives, the time savings to the Judicial Information Manager could be higher, perhaps as much as four hours per week or 10% of her time. These time savings estimates are not supported by any actual documentation since staff do not log or track the number of requests for information or the time required in responding to those requests. Overall, it does not appear that the addition of the position of Director of Public Affairs and Special Counsel has resulted in a significant time savings for the JI Manager in responding to requests for information.

Recommendation 8: *The Director of Research and Court Services, Information Services Director and the Director of Public Affairs and Special Counsel should develop written guidelines for responding to information requests so all staff will have a clear understanding of their responsibilities and the processes they are to follow.*

Objective 6: *Determine if other personnel resources within OCA could be more effectively utilized to enable the Judicial Information Section to accomplish the Section's responsibilities.*

There are other personnel resources within OCA that could be more effectively utilized to enable the Judicial Information Section to accomplish the Section's responsibilities. The Administrative Assistant in Accounting is budgeted to work for Judicial Information 18 hours per month, but she is averaging only eight hours per month working for the Research and Court Services Division and some of this time is not specifically on Judicial Information projects. If this position was designated to work for Judicial Information on a set day each week such as Monday or Tuesday, Judicial Information could use her more effectively. In order to do this, Judicial Information needs to line up specific, recurring types of projects that she can work on the days she is designated to work for them.

The Human Resources (HR) Assistants could probably also be better utilized by Judicial Information in performing administrative functions, but this will require the Judicial Information Manager to identify projects and work with the Human Resources Director to schedule those projects in advance. Some of the same types of projects that Julie Flanders is assigned could be done by the HR Assistants with adequate planning and scheduling.

Use of the Research Specialist in the Research and Court Services Division to assist the Judicial Information Section with large projects such as the Annual Report is not a viable option. She has a steady level of workload, and it is not feasible to pull her off to work on projects for Judicial Information, even though she has assisted them in the past when they were short-staffed.

There are several subtasks listed in the Annual Report and Judicial Directory task lists that might be able to be performed by the staff assigned to communications for OCA (Director of Public Affairs and Special Counsel and Executive Assistant to the Director). Diverting these subtasks from Judicial Information could result in a significant reduction in workload for the JI Program. The Administrative Director should review the subtasks for these major projects to determine if the subtasks can be appropriately diverted to the communications staff.

Other than the use of the Accounting Administrative Assistant or the two HR assistants, there are no other staff within OCA that could be used to assist Judicial Information in completing their projects and day-to-day functions.

One other issue regarding support services functions for Judicial Information was noted. Judicial Information indicates that misrouted calls are sometimes a problem. The only documentation to support this comment was a one week period in October 2013 when the Section logged all incoming and outgoing telephone calls. Five calls in that one week period were logged as misrouted calls. The Human Resources Director and the Front Desk staff do not believe that misrouted calls are a problem, and if they are OCA staff are not notifying them about misrouted calls.

Recommendation 9: *The Accounting Administrative Assistant should be assigned to work for Judicial Information on a designated day each week for 4 to 4.5 hours. Judicial Information should identify specific types of tasks that can be performed by this person and those tasks should be scheduled for her to complete on her designated work day for Judicial Information each week. This would enable Judicial Information to use her for the 18 hours she is budgeted each month to work for the section.*

Recommendation 10: *The Judicial Information Manager should work with the Human Resources Director to identify and schedule administrative support functions such as data entry, preparing correspondence or making telephone calls related to late or missing reports that can be performed by the HR assistants and they should be more effectively utilized to relieve the professional staff in Judicial Information of some administrative tasks they currently perform.*

Recommendation 11: *The Administrative Director should review the subtasks for the Annual Report and Judicial Directory to determine if some of the subtasks can be appropriately diverted to the communications staff.*

Recommendation 12: *The Judicial Information Manager should log misrouted calls for several months that she and her staff receive and at the end of each month she should provide this information to the Human Resources Director. If a sufficient number of calls are misrouted, additional training may be needed or the guidelines used by the Front Desk staff to route calls may need to be revised.*

EXHIBIT 1: TIME ESTIMATE METHODOLOGY AND ASSUMPTIONS

A number of different sources were reviewed and various assumptions were made in determining the time estimates for the 16 tasks identified for the Judicial Information Section as outlined in Objective 1. The assumptions and methodology used to develop time estimates are discussed below.

Task 1: Annual Statistical Report for the Texas Judiciary- 483 hours

Angela Garcia prepared a document with all of the detailed tasks required to complete the annual report. For each task Angela identified the minimum and maximum amount of time that is required. Sixty one (61) different tasks were identified. If every task was completed in the minimum amount of time the Annual Report preparation would require 403 hours; if the maximum amount of time was used to complete every task would be 563 hours. Assuming the average of these two, the Annual Report would normally require 483 hours to complete. The actual tasks and time estimates are as follows:

ANNUAL REPORT TASKS

Task No.	Task	Estimated Time for Completion - Minimum	Estimated Time for Completion - Maximum
1	Update geographical jurisdiction document with new courts	0.00	2.00
2	Update AJR maps with new courts	0.00	8.00
4	Data checks on court activity data prior to mailout	80.00	?
5	Prepare security incidents annual report	5.00	8.00
6	Update cover letters	3.00	3.00
7	Create graphs on judicial system appropriations; update annual report section	2.00	3.00
8	Update judicial system structure and function text for published AR	1.00	3.00
9	Clean up of directory data, run Judge Profile report	1.00	2.00
10	Update CARD system with new courts and judges (if known)	0.00	1.00

11	Update court structure chart	0.25	0.75
12	Create list of elected and appointed judges for judges section	1.00	2.00
13	Make copies of letters		
14	Update capital case jury charges section in annual report	0.25	0.25
15	Update vexatious litigants section in annual report	0.25	0.25
16	Update TX salaries in chart on pg. 17	0.25	1.00
17	Compile COA data	16.00	24.00
18	Write COA section	8.00	16.00
19	Lay out COA section	3.00	3.00
20	Run and print verification data---district and county	3.00	6.00
21	Compile Supreme Court data	8.00	16.00
22	Compile CCA data	8.00	16.00
23	Write and lay out SC section	4.00	6.00
24	Write and lay out CCA section	6.00	8.00
25	Send demographic survey of appellate court legal staff to all appellate courts	0.06	0.06
26	Send request to PJs for assignment of judges data	0.06	0.06
27	Mailout---district and county	8.00	12.00
28	Run and print verification data---JP and muni	3.00	5.00
29	Mailout---JP and muni	10.00	16.00
30	Compile results of appellate demographic survey	1.00	1.00
31	Obtain supplemental compensation data from Comptroller's Office, format, prepare calculations	0.75	1.00
32	Obtain updated judicial salary info from National Center (if available) or research other largest 5 states, update chart on pg. 18	1.00	5.00
33	Update judicial turnover section of annual report	8.00	16.00
34	Review district data	15.00	25.00
35	Review county data	15.00	25.00

36	Prepare security incident summary for published annual report, layout	1.50	2.00
37	Compile assignment of judges data	1.00	2.00
38	Prepare all constitutional county court data	2.00	4.00
39	Prepare all statutory county court data	4.00	6.00
40	Prepare all district data	12.00	16.00
41	Prepare juvenile data	1.00	2.00
42	Prepare family data	1.00	2.00
43	Prepare probate and guardianship data	0.50	1.00
44	Prepare MH data	0.50	1.00
45	Prepare data for district & county trends section	2.00	4.00
46	Write constitutional county court section, lay out	9.00	18.00
47	Write statutory county court section, lay out	10.00	20.00
48	Write district section, lay out	12.00	22.00
49	Write district and county trends section, lay out	4.00	6.00
50	Write juvenile section, layout	7.00	11.00
51	Write family section, lay out	7.00	11.00
52	Write probate and guardianship section, lay out	6.00	10.00
53	Write MH section, lay out	5.00	9.00
54	Review JP and muni data	22.00	35.00
55	Review JP and muni data	22.00	35.00
56	Write JP section, lay out	9.00	18.00
57	Write muni section, lay out	9.00	18.00
58	Complete section documenting missing reports	4.00	8.00
59	Edit, review whole document	38.00	64.00
60	Get letter of transmittal from David, lay out	0.25	0.25
61	Send all documents to Websupport to post, check postings	1.50	2.00
Total Hours		403	563

Task 2: Judicial Directory- 141 hours

Angela Garcia also prepared a document with all of the detailed tasks required to complete the published Judicial Directory. However, this document did not take into account the amount of time needed to enter the large number of updates to directory information, which are worked on by all members of the section, with some assistance from the Accounting Administrative Assistant. If every task was completed in the minimum amount of time the Judicial Directory preparation would require 103 hours; if the maximum amount of time was used to complete every task would be 179 hours. Assuming the average of these two, the Judicial Director would normally require 141 hours to complete. The actual tasks and time estimates are as follows:

JUDICIAL DIRECTORY PUBLICATION TASKS

Task No.	Task	Estimated Time for Completion - Minimum	Estimated Time for Completion - Maximum
1	Update letters and reports for district and county courts	1.00	2.00
2	Update letters and reports for justice & municipal courts	1.00	2.00
3	Enter new courts of appeals justices into data management system	0.00	1.00
4	Enter new district judges into data management system	0.00	8.00
5	Send directory verification to municipal courts	12.00	16.00
6	Send directory verification to justice courts	12.00	16.00
7	Send directory verification to district clerks, district judges	14.00	22.00
8	Send directory verification to county clerks, county judges	14.00	22.00
9	Obtain visiting judge info from AJRs	0.25	0.25
10	Professional Assocs. and Training Ctrs	6.00	6.00
11	Supreme Court	0.25	0.50
12	Court of Criminal Appeals	0.13	0.75
13	Court of Appeals	8.00	16.00
14	Presiding Judges and Assistants	0.50	1.00
15	Criminal District Judges by County	0.13	0.13
16	District and County Clerks	0.13	0.13
17	District and County Attorneys	0.13	0.13
18	Senior and Former Judges	8.00	12.00
19	District Judges by Judicial District	0.25	0.50
20	Trial Judges	0.13	0.13

21	Municipal Courts by City	2.00	4.00
22	Trial Court Judges & Personnel by Cty	8.00	16.00
23	LAJs	1.00	2.00
24	Judge Profile	1.00	3.00
25	Appellate Judges	0.13	0.13
26	Court of Appeals	0.25	0.25
27	Municipal courts of record	0.25	0.25
28	Follow up on 20 most populous counties/cities to check whether response from JPs/municipals; if not, verify whether or not there are any changes	1.00	3.00
29	Follow up on 20 most populous counties to check whether response from district clerk, county clerk received; if not, verify whether or not there are any changes	1.00	3.00
30	Send new judge letters & profile sheets to new COA justices	0.30	0.80
31	Send new judge letters & profile sheets to new district judges	0.50	1.00
32	Alternative Distpute Resolution Ctrs	1.00	2.00
33	Send new judge letters & profile sheets as info on new judges is received	1.00	2.00
34	Send new clerk letters as info on new district and county clerks is received	0.30	0.60
35	Review documents	6.00	12.00
36	Court Structure as of March 1	0.25	0.75
37	Send documents to post to web, review postings	1	1.5
Total		102.85	178.8

- Task 3: National Center for State Courts- Court Statistics Project- 30 hours**
- Task 4: National Center for State Courts- State Court Organization Update- 35 hours**
- Task 5: Report on Judicial Salaries and Turnover- (every other year 40 hours)- 20 hours**
- Task 6: Judicial Compensation Commission- (every other year 120 hours)- 60 hours**
- Task 7: Monthly Court of Appeals Report- (10 hours per month)- 120 hours**

These estimated task times were provided by Angela Garcia.

Task 8: Manager supervisory, planning and management functions- 432 hours

As the supervisor Angela must plan the Section's work, direct staff and provide instructions on specific projects, complete performance evaluations and other supervisory functions, meet with OCA management and perform other task expected of any supervisor. A best estimate for these tasks is 25% of the Manager's available time is spent on supervisory and management functions, or 432 hours per year (1,727 available hours times 25%).

Task 9: Manager research and responding to information requests- 259 hours

Angela Garcia estimates that she spends an average of two hours per day or 25% of her available time responding to internal and external requests for information. Some of this time is already factored into the analysis of time spent on telephone calls, faxes and emails, so the 25% was lowered to 15% for purposes of determining her time spent actually researching and compiling information requests. This accounts for 259 hours of her available time (1,727 available hours times 15%).

Task 10: Manager database management functions- 173 hours

The third project that requires a significant amount of the JI Manager's time is database management functions, which include resolving problems, developing BugNet requests, performing data queries, etc. Angela Garcia estimates that at least 10% of her time is devoted to this function. This is estimated to take 173 hours annually (1,727 available hours times 10%).

Task 11: Incoming telephone calls- 519 hours

Three sources were used to analyze incoming telephone calls. There are no good sources to track incoming calls over an extended period of time. DIR can only provide reports back a couple of weeks related to incoming calls and to obtain information about the number and duration of each incoming call you must extract the information from detailed daily call information. The information from these reports that was available was compiled and analyzed. Based on incoming calls for a two week period in March 2014, the Section had a total of 267 calls over 11 work days, with an average of 4.9 minutes per call. Assuming that the number of calls was approximately the same the other work days in March, the estimated number of incoming calls for the month would be 534 (267 X 2). When the Judicial Information Section logged all incoming calls for a one-week period in October 2013, the section received 132 incoming calls. Assuming four weeks in October 2013, the number of incoming calls would be 528 (132 X 4). This number of incoming calls is similar to the estimated for March (534 calls) so an estimated of 530 calls per month on average appears reasonable. Using 530 incoming calls per month the Section would have an estimate of 6,360 calls at 4.9 minutes per call for a total time spent on incoming calls of 519 hours per year.

Task 12: Outgoing telephone calls- 444 hours

There is accurate data on the number and duration of outgoing calls from the DIR monthly invoices. For the period September 2012 through January 2014, the Section averaged 24.7 calls per day with a duration of 4.4 minutes per call. Taking state holidays into consideration, in a year there are 245 work days or 20.4 workdays per month. Therefore, in a typical month the number of outgoing calls would be 504 (24.7 calls per day x 20.4 workdays per month). Using 504 outgoing calls per month the Section would have an estimate of 6,048 calls at 4.4 minutes per call for a total time spent on outgoing calls of 444 hours per year.

Task 13: Incoming out outgoing faxes- 314 hours

Two sources of data on incoming and outgoing faxes were available. The Information Services Division Director provided a report on the total number of faxes sent and received by Judicial Information from March 19, 2013 through March 19, 2014. The total faxes received was 2,560 and the total sent was 5,409. A second source of information was the monthly FaxSatisfaction spreadsheets showing automated faxes sent for calendar year 2013. For calendar year 2013 this data indicates that Judicial Information sent 6,313 faxes. Using the average of these two yearly totals for faxes sent, Judicial Information is estimated to send 5,861 faxes per year. The difficult in converting this to time spent on faxes is that it is simply not possible to determine what actions were necessary to compile the information needed to send out a fax or to take the action necessary to respond when a fax is received. Outgoing faxes are often done in batches for different types of recurring reporting issues. Therefore, they can be done quickly. Assuming one minute per outgoing fax, the amount of annual time spent on outgoing faxes would be 105 hours (6,313 faxes x 1 minute per fax divided by 60 minutes). Incoming faxes take more time to process. Assuming 4.9 minutes to handle an incoming fax, which is the same as an incoming telephone call the annual time spent on processing incoming faxes would be 209 hours (2,560 incoming faxes x 4.9 minutes per fax divided by 60 minutes).

Task 14: Staff training- 64 hours

If each staff member attended two days of training each year, this would be a total of 64 hours (4 staff times 16 hours each). This is a reasonable, but conservative estimate of time staff would spend on training each year.

Task15: Incoming and outgoing emails- 1,806 hours

One source was available for analyzing incoming and outgoing emails. The Information Services Division Director provided a report on the total number of emails sent and received by Judicial Information from February 18, 2014 through March 16, 2014. This information for the one month period indicated that 2,411 emails were received and 600 were sent. Annualizing these figures, Judicial Information would receive 28,932 emails and would send 7,200. Studies show that employees spend an average of 2.6 hours per day writing and responding to emails and the average time spent writing an email is 4 minutes. Assuming 245 workdays per year and 2.6 hours per day writing and responding to emails, then Judicial Information would spend 2,548 hours per year

processing emails. Based on the annualized volume of emails (36,132 total emails), this equates to 152,880 minutes per year spent on emails or an average of 4.23 minutes per email. Another study found that the average time spent writing an email was 4 minutes, so the average of 4.23 minutes per email for Judicial Information may be somewhat high. Using a conservative estimate of 3 minutes per email, Judicial Information is estimated to spend 1,806 hours per year on emails (36,132 times 3 minutes each divided by 60 minutes).

Task 16: Technical assistance and reporting problems resolution- 2,141 hours

Angela Garcia compiled a listing of the type of courts reporting to OCA and the number of reports each type of courts submits (or is supposed to submit) each month as follows:

District Court reports-	268
County Court at Law reports-	158
County Court reports-	209
Justice Court reports-	817
Municipal Court reports-	<u>927</u>
Total Monthly reports	2,379

There are five different types of courts reporting through CARD that would be expected to submit a total of 2,379 reports each month for a total of 28,548 reports per year. This does not include Appointments and Fees reports or Court of Appeals reports. One report may have five sections that are prepared and entered or uploaded by different personnel in a clerk’s office each month.

Angela Garcia estimates that the average amount of time to respond to a reporting issue is 15 minutes with some taking only a minute or two and some taking many hours. Judicial Information has some statistics on reports with problems as follows:

Late Letters Sent in calendar year 2013	1,605 (quarterly average equals 401)
Out-of balance reports in FY 2014	780 (quarterly average equals 195)
Suspect Revenue Amounts in JP And Municipal Courts	1,940 (quarterly average equals 485)
Reports with Large Docket Adjustments	<u>1,093</u> (quarterly average equals 273)
Total	5,418

Just based on these four types of specific problems, 19% (5,418 divided by 28,548) of the reports in CARD each year are incorrect and require follow-up by Judicial Information. In reality, one reporting problem may span many months or even years so this number is very conservative. If all other reporting issues on CARD reports are considered, the number of monthly reports that require action by Judicial Information could easily be 30% and if all types or potential problems were identified the percentage could be 50%. If reporting issues average 15 minutes to resolve (then the time spent on technical assistance for 30% of all reports with probable errors would be 2,141 hours (28,545 reports x.30 with errors x 15 minutes divided by 60 minutes).