

LEGISLATIVE APPROPRIATIONS REQUEST for FISCAL YEARS

2018 and 2019

SUBMITTED TO THE
OFFICE OF THE GOVERNOR, BUDGET DIVISION,
AND THE LEGISLATIVE BUDGET BOARD



SUBMITTED August 5, 2016

Revised September 13, 2016

OFFICE OF COURT ADMINISTRATION, TEXAS JUDICIAL COUNCIL

DAVID SLAYTON

ADMINISTRATIVE DIRECTOR

FY 2018-19 LEGISLATIVE APPROPRIATIONS REQUEST
Office of Court Administration, Texas Judicial Council

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ADMINISTRATOR'S STATEMENT

PURPOSE OF THE OFFICE OF COURT ADMINISTRATION

The Office of Court Administration (OCA) is an agency of the state in the Judicial Branch that provides resources and information for the efficient administration of the Judicial Branch of Texas. The agency operates under the direction of the Supreme Court of Texas and the Chief Justice.

OCA operates in conjunction with the Texas Judicial Council, which is the policy-making body for the Judicial Branch. The Council was created by the 41st Legislature to continuously study and report on the organization, rules, procedures and practice, work accomplished, results and uniformity of the discretionary powers of the state courts and methods for their improvement.

OCA provides resources to the Judicial Branch of Texas. These resources include the following:

- For trial courts - technical assistance, training, and research on court administration; technology solutions for electronic filing and judicial case management solutions; language access services; and funding and standards for indigent defense services;
- For appellate courts, specialty courts, and judicial branch agencies - information technology solutions and fiscal consultation;
- For judicial branch regulatory boards and policymaking bodies - staffing and support; and
- For child protection and child support courts and the regional presiding judges - staffing and administration.

OCA provides information about the judicial branch to the public, the legislative and executive branches, state and federal agencies, local governments, private associations and public interest groups, and members of the bar, among others. These persons and organizations rely on OCA for information about the judicial branch, including statistics and analysis of court information and case activity, descriptions of the court system structure and jurisdiction, and results of comparative policy studies and other research affecting courts and the judiciary.

OCA provides staff support to a wide array of judicial branch boards, including: Texas Judicial Council, Judicial Committee on Information Technology, Council of Chief Justices, Conference of Regional Presiding Judges, State Board of Regional Judges for Title IV-D Account, Judicial Districts Board, Judicial Compensation Commission, Texas Indigent Defense Commission, Judicial Branch Certification Commission, and the Timothy Cole Exoneration Review Commission.

OVERVIEW OF ADJUSTMENTS MADE TO 2018-19 BASELINE REQUESTS

Pursuant to the guidance from state leadership, OCA has reduced the FY 2018-2019 baseline request to 96 percent of the FY 2016-2017 levels for General Revenue (GR) and GR-Dedicated Accounts. OCA conducted a thorough review of expenditures across programs to identify places that could be cut while minimizing the impact on OCA's internal and external customers. While it was impossible to fully mitigate the impact on

customers due to the reduction impacting major budget programs within the agency, OCA believes that this plan is the most responsible use of limited state funds. The following is an overview of the adjustments made by program:

1. INFORMATION TECHNOLOGY

A total of \$2.8 million has been cut from the information technology program, comprised of \$1.6 million in capital technology expenditures, \$0.8 million in electronic filing funds, and \$0.4 million in funding for the CAPPs project. As the provider of technology solutions to the Judicial Branch, the reduction of the capital technology budget will limit OCA's ability to acquire technology solutions to better serve the users of the Judicial Branch. The reduction in electronic filing funds will limit OCA's ability to provide grants to counties to implement electronic filing or to utilize the funds to support other statewide technology projects. As the designated agency responsible for overseeing the implementation of CAPPs within the Article IV agencies, the reduction in funding for the CAPPs project is sustainable, provided the OCA will be able to retain a portion of the funding in order to fully support implementation and integration of the CAPPs project in the courts and agencies.

2. INDIGENT DEFENSE

A total of \$5.2 million has been cut from the indigent defense program. Because only 12 percent of indigent defense costs statewide is currently funded by the state, and since legislation was passed increasing indigent defense standards, counties have borne the brunt of increased spending. Further cuts to indigent defense will reduce the amounts available in grants to counties. Since provision of indigent defense services is a constitutionally required responsibility, counties will be required to increase expenditures to make up the difference. Revenue the Commission receives to fund the GR-dedicated Fair Defense Account are decreasing. There was a 7.5% decline in court costs revenue received between FY13 and FY15. From FY15 to FY16, there was another 2% decline. Another 2% decline is anticipated in FY17. Consequently, the Commission has reduced its budget request for FY18/FY19 to reflect a 2% decrease per year in GR-dedicated funding. Without the necessary funding, the Commission cannot support a higher budget request.

3. ADMINISTRATIVE ASSISTANCE TO THE JUDICIAL REGIONS

The full appropriation of \$0.3 million in General Revenue to the administrative assistance to the judicial regions program has been cut. This reduction will require the administrative judicial regions to adjust their budgets to absorb the \$17,300 cut per region or increase the allocation to counties to make up the difference.

4. DOCKET EQUALIZATION

A total of \$23,750 has been cut from the docket equalization program. While this program budget was cut to match recent historical expenditures, the cut will limit the ability of the courts of appeals to travel to hear cases transferred to them under the docket equalization policy of the state if costs exceed the amount appropriated.

SIGNIFICANT CHANGES IN POLICY

- In May 2016, the United States Department of Labor published new regulations regarding the salary level test of the Fair Labor Standards Act for purposes of determining exempt status for employees. The salary level test amount was raised from \$23,660 annually to \$47,476 annually effective December 1, 2016. Because of this impending change, 82 FTEs at OCA will be subject to non-exempt status and potentially eligible for overtime compensation. This could have a potentially significant impact on the OCA budget, especially the child support and child protection strategies, where half of the staff will become non-exempt employees under the regulation.

SIGNIFICANT CHANGES IN PROVISION OF SERVICES

- While electronic filing (E-Filing) of court documents has been available in Texas since the late 1990s, the service was only available in 54 counties and 90% of the filings were still processed in paper form. Prior to 2014, DIR operated the E-Filing system as part of the Texas.gov service. In November 2012, OCA signed a contract for a new E-Filing system operated within the Judicial Branch. OCA implemented the first county on the new E-Filing system in June 2013. All E-Filing has occurred through the system since November 2013. As of July 1, 2016, E-Filing is available in 254 counties and all of the appellate courts. It is mandatory for attorneys in civil, family and probate cases in the appellate, district and county courts in the state. Criminal E-filing in the district and county courts will become mandatory pursuant to a rolling schedule beginning in July 2017.
- The number of child protection cases increased almost 10% from FY11 to FY15, including a 6% increase between FY14 and FY15. Filings for FY16 are projected to increase by an additional 7%. This increase in cases continues to stress the ability of the courts to adequately process the caseload appropriately. In response to the increased caseload, OCA implemented four new child protection courts in the state during FY16. Those courts serve the areas in and around Tom Green County, Potter County, McLennan County, and Hays County.
- The number of active guardianships has increased by 35% from FY12 to FY15, including increasing by 6% between FY14 and FY15. In response to the increase in active guardianships and information indicating a lack of compliance monitoring, OCA implemented the Guardianship Compliance Pilot Project in FY16. As of August 1, 2016, the project has reviewed compliance in Anderson, Bexar, Comal, Guadalupe, and Hays and Webb Counties. A report on the pilot project is forthcoming, but preliminary information points to a need for better compliance monitoring in the guardianship cases. Lack of compliance with statutory requirements and appropriate oversight by courts has been shown to result in neglect, abuse, or exploitation of the elderly and incapacitated.

SIGNIFICANT EXTERNALITIES

- On June 30, 2016, the Court of Criminal Appeals issued an order mandating criminal E-Filing pursuant to a rolling schedule beginning in July 2017 and ending with the final counties in January 2020.
- The number of Texans over the age of 65 increased by 24% between 2010 and 2015, to more than 3.2 million Texans. The population over 65 is expected to increase another 25% between 2015 and 2020, and to nearly double in size by 2030. Expectations are that the number of guardianships and dollar amounts in estates under guardianship will increase as well.
- National attention has recently been drawn to the practices used by courts and counties in collecting criminal court costs, fines and fees. Concerns exist that some courts and counties are jailing defendants who have an inability to pay the costs, fines and fees without exploring alternative options for satisfying the judgment, a practice that is unconstitutional. Several lawsuits challenging the court practices have been filed around the country, including several in Texas federal courts. A similar challenge has been filed regarding bail practices in Harris County.
- The increase in the number of unaccompanied alien children at the Texas border may have a direct and significant impact on the Texas judiciary. While this LAR does not include any requests specific to this issue due to remaining uncertainties at this time, OCA is monitoring the situation and will provide updated information to the LBB, GOBD and Legislature when appropriate.

OVERVIEW OF OCA's FY 2017-18 LEGISLATIVE APPROPRIATIONS REQUEST

In addition to amounts submitted in OCA's baseline request, OCA respectfully requests the following exceptional items:

OCA EXCEPTIONAL ITEM FUNDING REQUESTS

1. SUPPORT CORE SERVICES FOR THE JUDICIAL BRANCH

Over the years, OCA has been given increased responsibilities for programs with a far-reaching impact on Texas courts and the public. OCA supports every court and judicial branch agency to some degree. Therefore, OCA must maintain its core services and administrative backbone to ensure its efforts continue to fully serve Texans. This task is made challenging by the agency's difficulty in attracting and retaining the employee talent needed.

This exceptional item would allow OCA to provide targeted permanent merit increases to key staff, as appropriate. In addition, this exceptional item would allow OCA to fund a new position dedicated to leading the state in court security best practices and emergency preparedness. As a result of increasing danger to our court staff, the Texas Judicial Council and Supreme Court support the creation of a resource dedicated to keeping our courtrooms and court staff safe.

2. ENHANCE JUDICIAL SERVICES TO THE ELDERLY AND INCAPACITATED

The number of Texans over age 65 is expected to double in size by 2030 to almost 6 million. Based upon this dramatic increase and the potential impact on the courts and after study of the issue by the Texas Judicial Council, OCA created the Guardianship Compliance Pilot Project to assist courts with reviewing and auditing guardianship filings for the elderly and incapacitated. The goals are to determine if guardians are following statutorily-required procedures, to review annual accounting reports filed by guardians, and ensure that exploitation and/or neglect of persons under guardianship (wards) is not occurring.

Statutory probate courts in Texas have access to a court-appointed court monitor/investigator to review guardianship filings for potential exploitation and/or neglect. However, most judges hearing guardianship cases (primarily the constitutional county courts and some statutory county courts) do not have access to these resources. The Judicial Council has identified a need for resources to monitor cases for the non-statutory probate courts hearing guardianship cases.

The pilot, initiated in November 2015, has provided sufficient information to suggest a need to expand the pilot project statewide. With almost 53,000 active guardianships in the state, 20,000 of which are in courts without sufficient resources to review guardianship reports, and an estimated \$2.5 billion in assets under court and guardian control, there is a high risk of exploitation and neglect. Preliminary findings in six counties where the pilot has operated have revealed significant issues in guardians complying with statutory requirements and indications of financial exploitation.

This exceptional item will allow OCA to expand the pilot project to a statewide program to assist the courts in this function by adding 25 guardianship compliance specialists, 2 managers to oversee and assist in the project, and an additional 9 related operational staff to implement the project.

3. STRENGTHEN JUDICIAL SERVICES TO FAMILIES

OCA operates the 24 child protection courts that handle a large percentage of the child protection cases filed in the state. These specialized judges work to ensure the safety and stability of children impacted by child abuse and neglect. The Regional Presiding Judges have identified a need for four additional child protection courts (CPC) based on requests received from trial court judges and increases in the child protection caseload. This item would fund four new CPCs (8.0 FTEs) to handle continually growing caseloads.

OCA provides technology for the judicial branch, including all appellate courts, the child protection courts, and five state judicial agencies (including OCA). Hardware and general software support to the child support courts (CSC) is provided by the Office of the Attorney General (OAG), who is a party to the cases heard by the CSCs. This potential conflict of interest is a concern to both the courts and the OAG. This exceptional item seeks to address this concern and provide enhanced technology support to the other judicial branch judges and employees across the state. The exceptional item would provide regional technology support staff (7.0 FTEs) for OCA's 44 child support courts, 24 child protection courts, the intermediate appellate courts, the administrative judicial regions and regional OCA staff. These FTEs would provide direct

technology support outside of Austin and would complement support available at the larger courts of appeals. Without these staff, judges and employees will continue to experience extended wait times for support.

TEXAS INDIGENT DEFENSE COMMISSION (TIDC) EXCEPTIONAL ITEM REQUESTS

Pursuant to Section 79.033, Govt Code, TIDC is submitting an LAR separate and apart from OCA. While the Commission remains administratively attached to the Office of Court Administration (OCA) and funding is provided within the OCA appropriation pattern, the legislature directed the Commission to submit its LAR separate from OCA. The following are exceptional item requests contained in the TIDC LAR:

1. RESTORATION OF 4 PERCENT REDUCTION IN FUNDING

The Commission respectfully requests restoration of the 4% reduction because the program is underfunded at current levels. Indigent defense representation is not a discretionary expense, but rather a requirement by the U.S. and Texas Constitutions and an important part of operating a fair criminal justice system. State financial assistance to counties for indigent defense has driven much needed improvements in access to counsel. The reduction in state grants to counties to support indigent defense will need to be absorbed by Texas Counties, who will be forced to make up the difference.

Texas counties are already struggling to fund approximately 88% of indigent defense costs and these costs continue to rise at a rate of approximately \$10 million per year. An additional cut of \$2.87 million will further hamper the ability of county governments to operate effective indigent defense systems that are an essential element of a fair adversarial justice system. Using FY15 data, a 4% cut of \$2.87 million equates to over 13,000 appointed misdemeanor cases or over 4,000 appointed felony cases. This impacts counties adversely and will increase the risk of noncompliance with constitutional requirements and state law due to mounting budget pressures on local governments.

2. SUPPORT 50/50 STATE-COUNTY FUNDING FOR STATEWIDE REGIONAL PUBLIC DEFENDER OFFICE FOR CAPITAL CASES

In the most serious criminal cases where the death penalty is a possibility, the State has a unique interest in ensuring that appropriate defense representation is provided consistent with Constitutional standards and professional standards promulgated by the State Bar of Texas. In many parts of the state it can be difficult to find attorneys qualified to handle death penalty cases, as this type of representation is one of the most complex, time consuming, and challenging areas of defense practice.

The Regional Public Defender Office for Capital Cases (RPDO) is operated by Lubbock County and now serves 179 counties spanning all nine administrative judicial regions. Under current policy most counties are eligible to participate by paying membership dues. In exchange for paying dues, when a member county has a capital murder case, a qualified defense team is provided by the program at no additional cost. The costs associated with a capital murder case have the potential to decimate the budgets of smaller counties. Member dues are determined by county population and capital case frequency. The Lubbock RPDO provides a way for counties to have greater budget predictability and mitigate the dramatic impact a capital case can have and help ensure that these most serious cases are tried effectively the first time. Based on the statewide impact and critical services that the office provides across the entire State, the Commission requests General Revenue equal to one-

half of the office's operating budget, with the balance funded through membership dues of participating counties. In the FY16/17 biennium, \$2.6 million in GR was appropriated for the RPDO, which is approximately 24% of the program cost. An additional appropriation of \$2.9 million will provide for a sustainable 50/50 cost sharing arrangement with participating counties and ensure that the program remains affordable and accessible to all eligible counties throughout Texas that wish to participate.

3. SUPPORT STATEWIDE FUNDING FOR EARLY IDENTIFICATION AND REPRESENTATION OF DEFENDANTS WITH MENTAL ILLNESS

The Commission requests \$10 Million in General Revenue over the biennium to provide early identification and specialized representation for defendants with mental illness and incentivize statewide implementation of Articles 16.22 and 17.032, Code of Criminal Procedure. Over the FY14/15 biennium, specialized mental health public defender programs in seven counties disposed of approximately 12,400 cases at a cost of \$10 million. Additional state funding of \$10 million over the biennium would provide targeted grants to enhance existing defender programs and establish specialized defenders in counties currently without these programs. Articles 16.22 and 17.032, CCP, provide for the early identification and release on a personal recognizance bond of arrestees with mental illness if an evaluation and treatment plan is in place. Targeted defender programs to assist with implementation of Articles 16.22 and 17.032 statewide would provide access to specialized counsel and mental health professionals shortly after arrest, resulting in fewer jail days and earlier case resolution for arrestees.

According to research from the Meadows Foundation, Texas spends over \$650 million in local justice system costs each year due to inadequately treated mental illness and substance abuse disorders. These costs are disproportionately allocated to "super-utilizers" cycling through the system largely because of unaddressed mental health needs. In Texas, there are 22,000 people in poverty who suffer from mental illness and repeatedly use jails, ERs, crisis services, EMS, and hospitals. Another 14,000 are more deeply involved in the criminal justice system. Specialized mental health indigent defense programs can improve defendant outcomes and reduce recidivism by providing assistance that may help stabilize people and connect them with support that may address some of the causes of the behaviors that have placed them in the criminal justice system. By providing representation at the very earliest stage in the case, these programs can identify and divert eligible non-violent defendants from jail to appropriate treatment programs and community based services that focus on long-term stabilization.

4. PROVIDE LOCAL PROPERTY TAX RELIEF TO TEXAS COUNTIES BY FULLY FUNDING CRIMINAL INDIGENT DEFENSE

The Commission seeks full state funding (100%) for criminal indigent defense, but suggests a stepped-up funding approach over a six-year period. Currently, counties bear most of the financial burden of complying with constitutional and state law in funding criminal indigent defense, with the state providing only about 12 percent of the costs through Commission grant programs. In an effort to both accommodate the state's transition to fully funding these constitutionally mandated expenses and also allow for the Commission to properly prepare for transition in administering a fully-state funded criminal indigent defense system, the Commission requests 50% funding for the next biennium, with a goal of recommending 75% funding for FY20/21, and 100% funding for FY22/23.

In 1963, the United States Supreme Court held in *Gideon v. Wainwright* that all criminal defendants charged with a felony had the right to be represented by counsel, regardless of their ability to afford an attorney. This federal constitutional mandate was left to the states to implement and finance. In turn, the State delegated its responsibility to provide and pay for these services to counties and the local property taxpayer.

Revenues received from this exceptional item would be distributed through the Commission's formula and discretionary grant programs. These grants would help address access to counsel, attorney workload, and quality of representation issues across the State. This exceptional item would also provide for a fiscal analyst (1.0 FTE), and three policy analysts (3.0 FTEs), one with mental health expertise, associated expenses, and funding to conduct a study on how best to transition to full state funding. If this exceptional item is fully funded, then exceptional items #1,2, and 3 would be paid out of this revenue.

RIDER REVISIONS AND ADDITIONS

Included with this appropriations request are proposed changes to riders to reflect funding requests, as outlined in OCA's baseline and exceptional item appropriations. Of particular note, OCA is requesting the following:

- A change in Goal B to update the name from Specialty Court Programs to Children's Courts, as child support and child protection courts funded under this goal do not fall within the statutory definition of Specialty Courts.
- Reductions to the amounts in the Capital Budget Rider to reflect anticipated technology project expenditures.
- A change to the Indigent Defense Commission Rider to remove the informational language regarding the administrative funds to support the Commission.
- A change in rider language to identify correctly the actual total dollar amount of contracts allocated to the six law schools in the Innocence Project Rider.
- A change in language in the Lump Sum Payments for Child Support Courts Program Rider to provide flexibility to redirect unspent funds back to the program.
- Reduction of the amount in the Statewide E-Filing System Fund Rider to reflect a reduction pursuant to the baseline reduction actions.

TEN PERCENT REDUCTIONS

OCA reviewed all existing programs and services to determine the requested ten percent reductions. Attempts have been made to make appropriate reductions while maintaining OCA's ability to continue its statutory mission. Since OCA's ongoing budget is comprised primarily of salary costs, reductions would impact OCA's staffing and directly impact the service provided to the judiciary and Texans. To meet the reduction goal, it was necessary to target nearly all strategies in the agency, eliminating three programs, information technology capital, reducing the number of children's courts, reducing staff tied to statutorily required functions and reducing eFiling and TIDC appropriation authority.

EXEMPT POSITION CHANGES

OCA has only one exempt position, the Administrative Director, currently a Group 4 position. While no funding is being requested, OCA requests that the Administrative Director exempt position be increased to a Group 5 position. This change, corresponding with the recommendation of the State Auditor to move the Administrative Director position to Group 5, would provide increased flexibility to recruit and retain a qualified agency head.

BACKGROUND CHECKS

The Judicial Branch Certification Commission (JBCC) is authorized by Government Code §§ 411.1408, 411.1386, 411.081, and Estates Code §1104.407 to obtain criminal history information on individuals regulated by the JBCC. The information obtained is destroyed after use for issuance, denial, suspension, revocation, or renewal of a certificate, registration or license issued by JBCC.

OCA also has the authority under Government Code §411.1405(b) to obtain criminal history information on an individual who is an employee, applicant for employment, contractor, subcontractor, intern or other volunteer who has access to information resources or technology, other than a desktop computer or telephone station assigned to the individual. OCA regularly requests this information for individuals who will be working with OCA's technology resources. Information is destroyed after review.

TRANSITION TO CAPPs

OCA, along with the entire Judicial Branch courts and agencies, was identified by the Comptroller to transition to CAPPs in FY16-17. OCA went live on the payroll and personnel system in May 2015 and anticipates going live with the financial component in FY16. The remainder of the Article IV courts and agencies anticipate going live on the payroll and personnel system in August 2016 and the financial component in late FY17 or early FY18. The transition to CAPPs components have required significant investment of staff resources to integrate with court and agency practices and systems. Challenges remain with integrating the systems with court and agency practices.

SUMMARY

OCA is committed to administering efficient and effective programs, and using those programs to improve the administration of justice in the Texas Judiciary to benefit the citizens of Texas. While there are other areas of need for the agency, this request is limited to those areas deemed essential to carrying out OCA's core mission and to serving the courts and needs of Texans. We will be happy to discuss any of the items in the appropriations request and will provide any additional information you may need to make an informed decision concerning this request.

Respectfully Submitted,

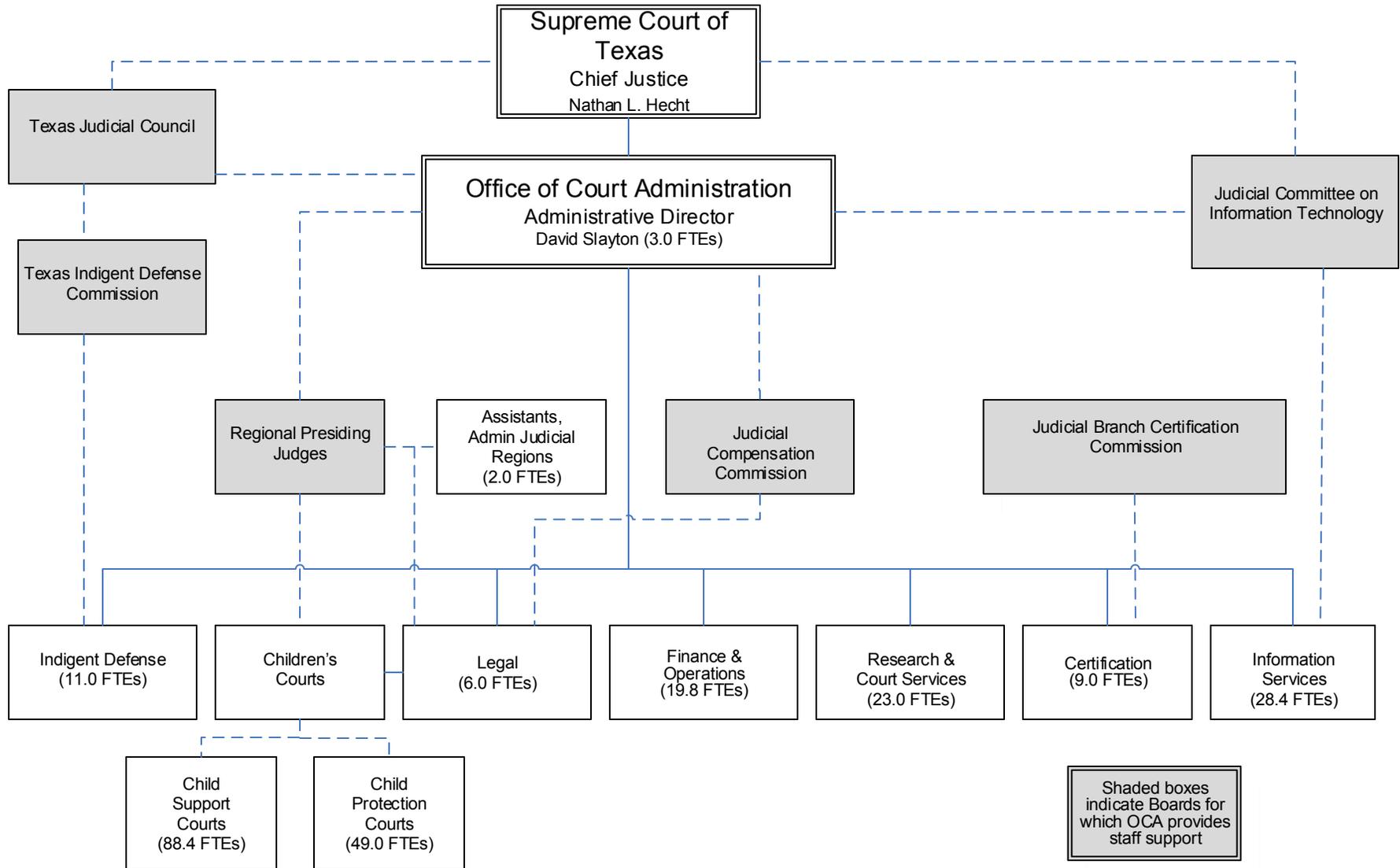
David Slayton

Administrative Director

Office of Court Administration / Texas Judicial Council

Office of Court Administration

Organization Chart





CERTIFICATE

Agency Name Office of Court Administration, Texas Judicial Council

This is to certify that the information contained in the agency Legislative Appropriation Request filed with the Legislative Budget Board (LBB) and the Office of the Governor, Budget Division, is accurate to the best of my knowledge and that the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that unexpended balances will accrue for any account, the LBB and the Governor's office will be notified in writing in accordance with Article IX, Section 7.01 (2016-17 GAA).

Chief Executive Officer or Presiding Judge

David Slayton

Signature

David Slayton

Printed Name

Administrative Director

Title

August 5, 2016

Date

Board or Commission Chair

Signature

Printed Name

Title

Date

Chief Financial Officer

Jennifer Henry

Signature

Jennifer Henry

Printed Name

Chief Financial Officer

Title

August 5, 2016

Date

SUMMARIES OF REQUEST

212 Office of Court Administration, Texas Judicial Council

| Goal / Objective / STRATEGY | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
|--|---------------------|---------------------|---------------------|---------------------|---------------------|
| 1 Improve Processes and Report Information | | | | | |
| 1 Improve Judicial Processes and Report Information | | | | | |
| 1 COURT ADMINISTRATION | 3,469,856 | 3,612,437 | 4,042,709 | 3,737,635 | 3,787,648 |
| 2 INFORMATION TECHNOLOGY | 18,935,039 | 27,618,635 | 28,699,323 | 27,389,768 | 25,929,365 |
| 3 DOCKET EQUALIZATION | 21,545 | 2,542 | 31,208 | 5,000 | 5,000 |
| 4 ASSIST ADMIN JUDICIAL REGIONS | 211,203 | 281,751 | 282,030 | 165,046 | 165,326 |
| TOTAL, GOAL 1 | \$22,637,643 | \$31,515,365 | \$33,055,270 | \$31,297,449 | \$29,887,339 |
| 2 Complete Children's Court Program Cases | | | | | |
| 1 Complete Children's Court Program Cases | | | | | |
| 1 CHILD SUPPORT COURTS PROGRAM | 7,201,775 | 7,882,892 | 8,001,068 | 7,945,977 | 7,957,207 |
| 2 CHILD PROTECTION COURTS PROGRAM | 3,250,112 | 4,137,588 | 4,649,274 | 4,397,536 | 4,387,438 |
| TOTAL, GOAL 2 | \$10,451,887 | \$12,020,480 | \$12,650,342 | \$12,343,513 | \$12,344,645 |
| 3 Certification and Compliance | | | | | |

2.A. Summary of Base Request by Strategy

9/13/2016 11:44:52AM

85th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

212 Office of Court Administration, Texas Judicial Council

| Goal / Objective / STRATEGY | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
|--|---------------------|---------------------|---------------------|---------------------|---------------------|
| <u>1</u> Certification and Compliance | | | | | |
| 1 JUDICIAL BRANCH CERTIFICATION COMM | 512,655 | 546,908 | 551,320 | 552,527 | 553,559 |
| 2 TEXAS.GOV | 14,340 | 11,540 | 12,571 | 10,290 | 12,571 |
| TOTAL, GOAL 3 | \$526,995 | \$558,448 | \$563,891 | \$562,817 | \$566,130 |
| <u>4</u> Improve Indigent Defense Practices and Procedures | | | | | |
| <u>1</u> Improve Indigent Defense Practices and Procedures | | | | | |
| 1 TX INDIGENT DEFENSE COMM | 32,126,325 | 36,196,849 | 35,629,857 | 33,818,599 | 32,668,063 |
| TOTAL, GOAL 4 | \$32,126,325 | \$36,196,849 | \$35,629,857 | \$33,818,599 | \$32,668,063 |
| TOTAL, AGENCY STRATEGY REQUEST | \$65,742,850 | \$80,291,142 | \$81,899,360 | \$78,022,378 | \$75,466,177 |
| TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST* | | | | \$0 | \$0 |
| GRAND TOTAL, AGENCY REQUEST | \$65,742,850 | \$80,291,142 | \$81,899,360 | \$78,022,378 | \$75,466,177 |

2.A. Summary of Base Request by Strategy

9/13/2016 11:44:52AM

85th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

212 Office of Court Administration, Texas Judicial Council

| Goal / Objective / STRATEGY | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
|---|---------------------|---------------------|---------------------|---------------------|---------------------|
| <u>METHOD OF FINANCING:</u> | | | | | |
| General Revenue Funds: | | | | | |
| 1 General Revenue Fund | 12,956,512 | 18,929,823 | 21,336,618 | 19,643,562 | 18,279,731 |
| SUBTOTAL | \$12,956,512 | \$18,929,823 | \$21,336,618 | \$19,643,562 | \$18,279,731 |
| General Revenue Dedicated Funds: | | | | | |
| 5073 Fair Defense | 32,126,325 | 32,346,889 | 31,879,857 | 30,068,599 | 28,918,063 |
| 5157 Statewide Electronic Filing System | 15,307,732 | 22,756,354 | 22,756,354 | 22,363,485 | 22,361,205 |
| SUBTOTAL | \$47,434,057 | \$55,103,243 | \$54,636,211 | \$52,432,084 | \$51,279,268 |
| Federal Funds: | | | | | |
| 555 Federal Funds | 81,241 | 63,836 | 0 | 0 | 0 |
| SUBTOTAL | \$81,241 | \$63,836 | \$0 | \$0 | \$0 |
| Other Funds: | | | | | |
| 444 Interagency Contracts - CJG | 19,482 | 144,217 | 85,170 | 47,472 | 0 |
| 666 Appropriated Receipts | 124,156 | 237,922 | 122,489 | 170,046 | 170,326 |
| 777 Interagency Contracts | 5,127,402 | 5,812,101 | 5,718,872 | 5,729,214 | 5,736,852 |
| SUBTOTAL | \$5,271,040 | \$6,194,240 | \$5,926,531 | \$5,946,732 | \$5,907,178 |
| TOTAL, METHOD OF FINANCING | \$65,742,850 | \$80,291,142 | \$81,899,360 | \$78,022,378 | \$75,466,177 |

*Rider appropriations for the historical years are included in the strategy amounts.

2.A. Summary of Base Request by Strategy

9/13/2016 11:44:52AM

85th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

212 Office of Court Administration, Texas Judicial Council

| Goal / Objective / STRATEGY | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
|------------------------------------|-----------------|-----------------|-----------------|-----------------|-----------------|
|------------------------------------|-----------------|-----------------|-----------------|-----------------|-----------------|

2.B. Summary of Base Request by Method of Finance
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

9/13/2016 11:47:28AM

Agency code: **212** Agency name: **Office of Court Administration, Texas Judicial Council**

| METHOD OF FINANCING | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
|---------------------|----------|----------|----------|----------|----------|
|---------------------|----------|----------|----------|----------|----------|

GENERAL REVENUE

1 General Revenue Fund

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2014-15 GAA)

| | | | | |
|--------------|-----|-----|-----|-----|
| \$11,954,656 | \$0 | \$0 | \$0 | \$0 |
|--------------|-----|-----|-----|-----|

Regular Appropriations from MOF Table (2016-17 GAA)

| | | | | |
|-----|--------------|--------------|-----|-----|
| \$0 | \$20,877,794 | \$17,858,709 | \$0 | \$0 |
|-----|--------------|--------------|-----|-----|

Regular Appropriations from MOF Table (GAA 2018-19)

| | | | | |
|-----|-----|-----|--------------|--------------|
| \$0 | \$0 | \$0 | \$19,643,562 | \$18,279,731 |
|-----|-----|-----|--------------|--------------|

RIDER APPROPRIATION

Art IX, Sec 18.03, Centralized Accounting & Payroll/Personnel Systems Deployments (2016-17 GA

| | | | | |
|-----|-----------|-----------|-----|-----|
| \$0 | \$432,769 | \$370,669 | \$0 | \$0 |
|-----|-----------|-----------|-----|-----|

Art IX, Sec 18.36, Contingency for HB 48 (2016-17 GAA)

| | | | | |
|-----|-----------|-----------|-----|-----|
| \$0 | \$122,652 | \$122,652 | \$0 | \$0 |
|-----|-----------|-----------|-----|-----|

Art IV, OCA Rider 16, Contingency Appropriations (2014-15 GAA)

| | | | | |
|-----------|-----|-----|-----|-----|
| \$263,090 | \$0 | \$0 | \$0 | \$0 |
|-----------|-----|-----|-----|-----|

2.B. Summary of Base Request by Method of Finance
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

9/13/2016 11:47:28AM

| Agency code: 212 | Agency name: Office of Court Administration, Texas Judicial Council | | | | |
|--|---|---------------|-------------|----------|----------|
| METHOD OF FINANCING | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
| <u>GENERAL REVENUE</u> | | | | | |
| <i>TRANSFERS</i> | | | | | |
| Art IX, Sec 17.06 Salary Increase for General State Employees (2014-15 GAA) | \$232,592 | \$0 | \$0 | \$0 | \$0 |
| Art IX, Sec 18.02, Salary Increase for General State Employees (2016-17 GAA) | \$0 | \$240,598 | \$240,598 | \$0 | \$0 |
| <i>LAPSED APPROPRIATIONS</i> | | | | | |
| Regular Appropriations from MOF Table (2014-15 GAA) | \$(111,238) | \$0 | \$0 | \$0 | \$0 |
| Art IV, OCA Rider 16, Contingency Appropriations (2014-15 GAA) | \$(263,090) | \$0 | \$0 | \$0 | \$0 |
| <i>UNEXPENDED BALANCES AUTHORITY</i> | | | | | |
| Art IX, Sec 14.03(i), Capital Budget UB (2014-15 GAA) | \$273,697 | \$0 | \$0 | \$0 | \$0 |
| Art IX, Sec 14.03(i), Capital Budget UB (2016-17 GAA) | \$0 | \$(1,827,081) | \$1,827,081 | \$0 | \$0 |

2.B. Summary of Base Request by Method of Finance
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

9/13/2016 11:47:28AM

| Agency code: 212 | Agency name: Office of Court Administration, Texas Judicial Council | | | | |
|--|---|-------------|---------------|----------|----------|
| METHOD OF FINANCING | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
| <u>GENERAL REVENUE FUND - DEDICATED</u> | | | | | |
| Art IV, OCA Rider 8, Adjust Revenue Estimate (2014-15 GAA) | \$6,873,557 | \$0 | \$0 | \$0 | \$0 |
| Comments: Amount received above/under the amount appropriated | | | | | |
| Art IV, OCA Rider 8, Adjust Revenue Estimate (2016-17 GAA) | \$0 | \$3,070,826 | \$(1,509,183) | \$0 | \$0 |
| Comments: Amount received above/under the amount appropriated | | | | | |
| <i>TRANSFERS</i> | | | | | |
| Art IX, Sec 17.06 Salary Increase for General State Employees (2014-15 GAA) | \$17,545 | \$0 | \$0 | \$0 | \$0 |
| Art IX, Sec 18.02, Salary Increase for General State Employees (2016-17) | \$0 | \$20,481 | \$20,481 | \$0 | \$0 |
| <i>LAPSED APPROPRIATIONS</i> | | | | | |
| Regular Appropriations from MOF Table (2014-15 GAA) | \$(5,311,005) | \$0 | \$0 | \$0 | \$0 |
| Comments: Lapsed amount plus cash balance, no UB authority between biennium | | | | | |

2.B. Summary of Base Request by Method of Finance
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

9/13/2016 11:47:28AM

| Agency code: 212 | | Agency name: Office of Court Administration, Texas Judicial Council | | | | |
|--|---|--|---------------------|---------------------|---------------------|---------------------|
| METHOD OF FINANCING | | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
| <u>GENERAL REVENUE FUND - DEDICATED</u> | | | | | | |
| Regular Appropriation from MOF Table (2016-17 GAA) | | \$0 | \$0 | \$(1,012,808) | \$0 | \$0 |
| Comments: Lapse of appropriation authority only. | | | | | | |
| <i>UNEXPENDED BALANCES AUTHORITY</i> | | | | | | |
| Art IX, Sec 14.05, UB Authority within the Same Biennium (2016-17 GAA) | | \$0 | \$(2,521,991) | \$2,521,991 | \$0 | \$0 |
| TOTAL, | GR Dedicated - Fair Defense Account No. 5073 | \$32,126,325 | \$32,346,889 | \$31,879,857 | \$30,068,599 | \$28,918,063 |
| <u>5157</u> | GR Dedicated - Statewide Electronic Filing System Account No 5157 | | | | | |
| <i>REGULAR APPROPRIATIONS</i> | | | | | | |
| Regular Appropriations from MOF Table (2016-17 GAA) | | \$0 | \$18,519,000 | \$18,519,000 | \$0 | \$0 |
| Regular Appropriations from MOF Table (2018-19 GAA) | | \$0 | \$0 | \$0 | \$22,363,485 | \$22,361,205 |
| <i>RIDER APPROPRIATION</i> | | | | | | |
| Art IX, Sec. 18.23, Contingency for HB 2302, Adjust Revenue Estimate (2014-15 GAA) | | | | | | |

2.B. Summary of Base Request by Method of Finance
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

9/13/2016 11:47:28AM

| Agency code: 212 | | Agency name: Office of Court Administration, Texas Judicial Council | | | | |
|--|--|---|---------------------|---------------------|---------------------|---------------------|
| METHOD OF FINANCING | | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
| <u>GENERAL REVENUE FUND - DEDICATED</u> | | | | | | |
| | | \$17,719,000 | \$0 | \$0 | \$0 | \$0 |
| | Art IV, OCA Rider 19 (2016-17 GAA) | \$0 | \$4,237,354 | \$4,237,354 | \$0 | \$0 |
| <i>LAPSED APPROPRIATIONS</i> | | | | | | |
| | Regular Appropriations from MOF Table (2014-15 GAA) | \$(2,411,268) | \$0 | \$0 | \$0 | \$0 |
| | Comments: This is a lapse of appropriation authority only. | | | | | |
| TOTAL, | GR Dedicated - Statewide Electronic Filing System Account No 5157 | \$15,307,732 | \$22,756,354 | \$22,756,354 | \$22,363,485 | \$22,361,205 |
| TOTAL, ALL | GENERAL REVENUE FUND - DEDICATED | \$47,434,057 | \$55,103,243 | \$54,636,211 | \$52,432,084 | \$51,279,268 |
| TOTAL, | GR & GR-DEDICATED FUNDS | \$60,390,569 | \$74,033,066 | \$75,972,829 | \$72,075,646 | \$69,558,999 |

FEDERAL FUNDS

555 Federal Funds

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2016-17 GAA)

2.B. Summary of Base Request by Method of Finance
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

9/13/2016 11:47:28AM

| Agency code: 212 | | Agency name: Office of Court Administration, Texas Judicial Council | | | | |
|---|----------------------|--|-----------------|------------|------------|------------|
| METHOD OF FINANCING | | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
| <u>FEDERAL FUNDS</u> | | \$0 | \$6,634 | \$0 | \$0 | \$0 |
| <i>RIDER APPROPRIATION</i> | | | | | | |
| Art IX, Sec 8.02, Federal Funds/Block Grants (2014-15 GAA) | | \$81,241 | \$0 | \$0 | \$0 | \$0 |
| Art IX, Sec 13.01, Federal Funds/Block Grants (2016-17 GAA) | | \$0 | \$57,202 | \$0 | \$0 | \$0 |
| TOTAL, | Federal Funds | \$81,241 | \$63,836 | \$0 | \$0 | \$0 |
| TOTAL, ALL | FEDERAL FUNDS | \$81,241 | \$63,836 | \$0 | \$0 | \$0 |

OTHER FUNDS

444 Interagency Contracts - Criminal Justice Grants

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2018-19 GAA)

| | | | | |
|-----|-----|-----|----------|-----|
| \$0 | \$0 | \$0 | \$47,472 | \$0 |
|-----|-----|-----|----------|-----|

RIDER APPROPRIATION

2.B. Summary of Base Request by Method of Finance
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

9/13/2016 11:47:28AM

| Agency code: 212 | | Agency name: Office of Court Administration, Texas Judicial Council | | | | |
|---------------------------|--|--|------------------|-----------------|-----------------|------------|
| METHOD OF FINANCING | | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
| <u>OTHER FUNDS</u> | | | | | | |
| | Art IX, Sec 4.02, (2014-15 GAA) | \$19,482 | \$0 | \$0 | \$0 | \$0 |
| | Art IX, Sec 4.02, (2016-17 GAA) | \$0 | \$144,217 | \$85,170 | \$0 | \$0 |
| TOTAL, | Interagency Contracts - Criminal Justice Grants | \$19,482 | \$144,217 | \$85,170 | \$47,472 | \$0 |
| <u>666</u> | Appropriated Receipts | | | | | |
| | <i>REGULAR APPROPRIATIONS</i> | | | | | |
| | Regular Appropriations from MOF Table (2014-15 GAA) | \$52,240 | \$0 | \$0 | \$0 | \$0 |
| | Regular Appropriations from MOF Table (2016-17 GAA) | \$0 | \$52,836 | \$52,976 | \$0 | \$0 |
| | Regular Appropriations from MOF Table (2018-19 GAA) | \$0 | \$0 | \$0 | \$170,046 | \$170,326 |
| | <i>RIDER APPROPRIATION</i> | | | | | |

2.B. Summary of Base Request by Method of Finance

9/13/2016 11:47:28AM

85th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

| Agency code: 212 | | Agency name: Office of Court Administration, Texas Judicial Council | | | | |
|---|------------------------------|--|------------------|------------------|------------------|------------------|
| METHOD OF FINANCING | | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
| <u>OTHER FUNDS</u> | | | | | | |
| Art IX, Sec 8.03, Reimbursements and Payments (2014-15 GAA) | | \$71,916 | \$0 | \$0 | \$0 | \$0 |
| Art IX, Sec 8.02, Reimbursements and Payments (2016-17 GAA) | | \$0 | \$185,086 | \$69,513 | \$0 | \$0 |
| TOTAL, | Appropriated Receipts | \$124,156 | \$237,922 | \$122,489 | \$170,046 | \$170,326 |
| | | | | | | |
| <u>777</u> | Interagency Contracts | | | | | |
| <i>REGULAR APPROPRIATIONS</i> | | | | | | |
| Regular Appropriations from MOF Table (2014-15 GAA) | | \$4,994,431 | \$0 | \$0 | \$0 | \$0 |
| Regular Appropriations from MOF Table (2016-17 GAA) | | \$0 | \$5,452,950 | \$5,350,269 | \$0 | \$0 |
| Regular Appropriations from MOF Table (2018-19 GAA) | | \$0 | \$0 | \$0 | \$5,729,214 | \$5,736,852 |
| <i>RIDER APPROPRIATION</i> | | | | | | |

2.B. Summary of Base Request by Method of Finance
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

9/13/2016 11:47:28AM

| Agency code: 212 | | Agency name: Office of Court Administration, Texas Judicial Council | | | | |
|---|------------------------------|--|---------------------|---------------------|---------------------|---------------------|
| METHOD OF FINANCING | | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
| <u>OTHER FUNDS</u> | | | | | | |
| Art IX, Sec 8.03, Reimbursements and Payments (2014-15 GAA) | | \$132,971 | \$0 | \$0 | \$0 | \$0 |
| Art IX, Sec 8.02, Reimbursements and Payments (2016-17 GAA) | | \$0 | \$359,151 | \$368,603 | \$0 | \$0 |
| TOTAL, | Interagency Contracts | \$5,127,402 | \$5,812,101 | \$5,718,872 | \$5,729,214 | \$5,736,852 |
| TOTAL, ALL | OTHER FUNDS | \$5,271,040 | \$6,194,240 | \$5,926,531 | \$5,946,732 | \$5,907,178 |
| GRAND TOTAL | | \$65,742,850 | \$80,291,142 | \$81,899,360 | \$78,022,378 | \$75,466,177 |

2.B. Summary of Base Request by Method of Finance

9/13/2016 11:47:28AM

85th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

| Agency code: 212 | Agency name: Office of Court Administration, Texas Judicial Council | | | | |
|--|--|-----------------|-----------------|-----------------|-----------------|
| METHOD OF FINANCING | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
| FULL-TIME-EQUIVALENT POSITIONS | | | | | |
| REGULAR APPROPRIATIONS | | | | | |
| Regular Appropriations from MOF Table (2014-15 GAA) | 223.6 | 0.0 | 0.0 | 0.0 | 0.0 |
| Regular Appropriations from MOF Table (2016-17 GAA) | 0.0 | 235.6 | 235.6 | 0.0 | 0.0 |
| Regular Appropriations from MOF Table (2018-19 GAA) | 0.0 | 0.0 | 0.0 | 239.6 | 239.6 |
| RIDER APPROPRIATION | | | | | |
| Art IX, Sec. 18.36, Contingency for HB 48 (2016-17 GAA) | 0.0 | 2.0 | 2.0 | 0.0 | 0.0 |
| Art IX, Sec. 18.03, Centralized Accounting & Payroll/Personnel Systems Deployments (2016-17 GAA) | 0.0 | 2.0 | 2.0 | 0.0 | 0.0 |
| Art IV, OCA Rider 16, Contingency Appropriations (2014-15 GAA) | 3.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| LAPSED APPROPRIATIONS | | | | | |
| Unauthorized Number Over (Below) Cap | (9.7) | (15.4) | 0.0 | 0.0 | 0.0 |
| Art IV, OCA Rider 16, Contingency Appropriations (2014-15 GAA) | (3.0) | 0.0 | 0.0 | 0.0 | 0.0 |
| TOTAL, ADJUSTED FTES | 213.9 | 224.2 | 239.6 | 239.6 | 239.6 |

2.B. Summary of Base Request by Method of Finance

9/13/2016 11:47:28AM

85th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

| | | | | | |
|---|--|-----------------|-----------------|-----------------|-----------------|
| Agency code: 212 | Agency name: Office of Court Administration, Texas Judicial Council | | | | |
| METHOD OF FINANCING | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
| NUMBER OF 100% FEDERALLY FUNDED FTEs | 1.3 | 0.3 | 0.0 | 0.0 | 0.0 |

2.C. Summary of Base Request by Object of Expense

9/13/2016 11:49:30AM

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

212 Office of Court Administration, Texas Judicial Council

| OBJECT OF EXPENSE | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|-------------------------------------|---------------------|---------------------|---------------------|---------------------|---------------------|
| 1001 SALARIES AND WAGES | \$14,754,210 | \$16,815,652 | \$17,257,676 | \$17,353,326 | \$17,394,700 |
| 1002 OTHER PERSONNEL COSTS | \$881,771 | \$844,712 | \$747,475 | \$753,601 | \$775,210 |
| 2001 PROFESSIONAL FEES AND SERVICES | \$36,681 | \$23,163 | \$11,132 | \$11,064 | \$11,064 |
| 2003 CONSUMABLE SUPPLIES | \$49,746 | \$65,485 | \$70,990 | \$69,100 | \$69,100 |
| 2004 UTILITIES | \$44,265 | \$53,254 | \$53,150 | \$56,030 | \$56,030 |
| 2005 TRAVEL | \$583,016 | \$659,793 | \$751,258 | \$588,066 | \$574,700 |
| 2006 RENT - BUILDING | \$23,822 | \$27,967 | \$23,970 | \$23,720 | \$23,970 |
| 2007 RENT - MACHINE AND OTHER | \$15,932 | \$14,336 | \$14,100 | \$14,100 | \$14,100 |
| 2009 OTHER OPERATING EXPENSE | \$18,533,077 | \$26,639,664 | \$28,124,740 | \$27,071,767 | \$25,617,675 |
| 4000 GRANTS | \$30,708,055 | \$34,330,270 | \$33,894,869 | \$32,081,604 | \$30,929,628 |
| 5000 CAPITAL EXPENDITURES | \$112,275 | \$816,846 | \$950,000 | \$0 | \$0 |
| OOE Total (Excluding Riders) | \$65,742,850 | \$80,291,142 | \$81,899,360 | \$78,022,378 | \$75,466,177 |
| OOE Total (Riders) | | | | | |
| Grand Total | \$65,742,850 | \$80,291,142 | \$81,899,360 | \$78,022,378 | \$75,466,177 |

2.D. Summary of Base Request Objective Outcomes
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

9/13/2016 11:50:40AM

212 Office of Court Administration, Texas Judicial Council

| Goal/ Objective / Outcome | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|----------|----------|----------|---------|---------|
| 1 Improve Processes and Report Information <i>1 Improve Judicial Processes and Report Information</i> | | | | | |
| KEY 1 Percent of Entities Reporting Electronically | | | | | |
| | 99.30% | 98.00% | 98.00% | 99.00% | 99.00% |
| 2 Complete Children's Court Program Cases <i>1 Complete Children's Court Program Cases</i> | | | | | |
| KEY 1 Child Support Courts Case Disposition Rate | | | | | |
| | 98.13% | 100.00% | 100.00% | 100.00% | 100.00% |
| 3 Certification and Compliance <i>1 Certification and Compliance</i> | | | | | |
| 1 Percentage of Complaints Resulting in Disciplinary Action | | | | | |
| | 20.00% | 27.00% | 27.00% | 27.00% | 27.00% |
| KEY 2 Percent of Licensees with No Recent Violations | | | | | |
| | 99.62% | 99.50% | 99.50% | 99.65% | 99.65% |

2.E. Summary of Exceptional Items Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/13/2016
 TIME : 11:51:57AM

Agency code: 212

Agency name: **Office of Court Administration, Texas Judicial Council**

| Priority | Item | 2018 | | | 2019 | | | Biennium | | |
|---|----------------------------------|------------------------|----------------------|-------------|----------------------|----------------------|-------------|----------------------|----------------------|--|
| | | GR and GR/GR Dedicated | All Funds | FTEs | GR and GR Dedicated | All Funds | FTEs | GR and GR Dedicated | All Funds | |
| 1 | Support Core Services | \$406,545 | \$406,545 | 1.0 | \$407,555 | \$407,555 | 1.0 | \$814,100 | \$814,100 | |
| 2 | Guardianship Program Compliance | \$3,051,476 | \$3,051,476 | 36.0 | \$2,942,576 | \$2,942,576 | 36.0 | \$5,994,052 | \$5,994,052 | |
| 3 | Children's Courts | \$1,463,133 | \$1,702,383 | 15.0 | \$1,367,733 | \$1,450,383 | 15.0 | \$2,830,866 | \$3,152,766 | |
| 4 | Adjust Group for Exempt Position | | | | | | | | | |
| 5 | TIDC-Restore 4% | \$1,434,535 | \$1,434,535 | | \$1,434,535 | \$1,434,535 | | \$2,869,070 | \$2,869,070 | |
| 6 | Regional Public Defender Office | \$1,450,000 | \$1,450,000 | | \$1,450,000 | \$1,450,000 | | \$2,900,000 | \$2,900,000 | |
| 7 | Representing the Mentally Ill | \$5,000,000 | \$5,000,000 | | \$5,000,000 | \$5,000,000 | | \$10,000,000 | \$10,000,000 | |
| 8 | Local Property Tax Relief | \$103,600,000 | \$103,600,000 | 4.0 | \$108,600,000 | \$108,600,000 | 4.0 | \$212,200,000 | \$212,200,000 | |
| Total, Exceptional Items Request | | \$116,405,689 | \$116,644,939 | 56.0 | \$121,202,399 | \$121,285,049 | 56.0 | \$237,608,088 | \$237,929,988 | |
| Method of Financing | | | | | | | | | | |
| | General Revenue | \$114,971,154 | \$114,971,154 | | \$119,767,864 | \$119,767,864 | | \$234,739,018 | \$234,739,018 | |
| | General Revenue - Dedicated | 1,434,535 | 1,434,535 | | 1,434,535 | 1,434,535 | | 2,869,070 | 2,869,070 | |
| | Federal Funds | | | | | | | | | |
| | Other Funds | | 239,250 | | | 82,650 | | | 321,900 | |
| | | \$116,405,689 | \$116,644,939 | | \$121,202,399 | \$121,285,049 | | \$237,608,088 | \$237,929,988 | |
| Full Time Equivalent Positions | | | | 56.0 | | | | 56.0 | | |
| Number of 100% Federally Funded FTEs | | | | 0.0 | | | | 0.0 | | |

2.F. Summary of Total Request by Strategy
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 9/13/2016
 TIME : 11:52:57AM

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

| Goal/Objective/STRATEGY | Base 2018 | Base 2019 | Exceptional 2018 | Exceptional 2019 | Total Request 2018 | Total Request 2019 |
|--|----------------------|----------------------|-----------------------------|-----------------------------|-------------------------------|-------------------------------|
| 1 Improve Processes and Report Information | | | | | | |
| <i>1 Improve Judicial Processes and Report Information</i> | | | | | | |
| 1 COURT ADMINISTRATION | \$3,737,635 | \$3,787,648 | \$3,319,961 | \$3,320,971 | \$7,057,596 | \$7,108,619 |
| 2 INFORMATION TECHNOLOGY | 27,389,768 | 25,929,365 | 1,097,959 | 737,059 | 28,487,727 | 26,666,424 |
| 3 DOCKET EQUALIZATION | 5,000 | 5,000 | 0 | 0 | 5,000 | 5,000 |
| 4 ASSIST ADMIN JUDICIAL REGIONS | 165,046 | 165,326 | 0 | 0 | 165,046 | 165,326 |
| TOTAL, GOAL 1 | \$31,297,449 | \$29,887,339 | \$4,417,920 | \$4,058,030 | \$35,715,369 | \$33,945,369 |
| 2 Complete Children's Court Program Cases | | | | | | |
| <i>1 Complete Children's Court Program Cases</i> | | | | | | |
| 1 CHILD SUPPORT COURTS PROGRAM | 7,945,977 | 7,957,207 | 0 | 0 | 7,945,977 | 7,957,207 |
| 2 CHILD PROTECTION COURTS PROGRAM | 4,397,536 | 4,387,438 | 742,484 | 742,484 | 5,140,020 | 5,129,922 |
| TOTAL, GOAL 2 | \$12,343,513 | \$12,344,645 | \$742,484 | \$742,484 | \$13,085,997 | \$13,087,129 |
| 3 Certification and Compliance | | | | | | |
| <i>1 Certification and Compliance</i> | | | | | | |
| 1 JUDICIAL BRANCH CERTIFICATION COMM | 552,527 | 553,559 | 0 | 0 | 552,527 | 553,559 |
| 2 TEXAS.GOV | 10,290 | 12,571 | 0 | 0 | 10,290 | 12,571 |
| TOTAL, GOAL 3 | \$562,817 | \$566,130 | \$0 | \$0 | \$562,817 | \$566,130 |

2.F. Summary of Total Request by Strategy
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 9/13/2016
 TIME : 11:52:57AM

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

| Goal/Objective/STRATEGY | Base 2018 | Base 2019 | Exceptional 2018 | Exceptional 2019 | Total Request 2018 | Total Request 2019 |
|---|----------------------|----------------------|-----------------------------|-----------------------------|-------------------------------|-------------------------------|
| 4 Improve Indigent Defense Practices and Procedures | | | | | | |
| 1 <i>Improve Indigent Defense Practices and Procedures</i> | | | | | | |
| 1 TX INDIGENT DEFENSE COMM | \$33,818,599 | \$32,668,063 | \$111,484,535 | \$116,484,535 | \$145,303,134 | \$149,152,598 |
| TOTAL, GOAL 4 | \$33,818,599 | \$32,668,063 | \$111,484,535 | \$116,484,535 | \$145,303,134 | \$149,152,598 |
| TOTAL, AGENCY STRATEGY REQUEST | \$78,022,378 | \$75,466,177 | \$116,644,939 | \$121,285,049 | \$194,667,317 | \$196,751,226 |
| TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST | | | | | | |
| GRAND TOTAL, AGENCY REQUEST | \$78,022,378 | \$75,466,177 | \$116,644,939 | \$121,285,049 | \$194,667,317 | \$196,751,226 |

2.F. Summary of Total Request by Strategy
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 9/13/2016
 TIME : 11:52:57AM

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

| Goal/Objective/STRATEGY | Base 2018 | Base 2019 | Exceptional 2018 | Exceptional 2019 | Total Request 2018 | Total Request 2019 |
|---|---------------------|---------------------|----------------------|----------------------|-----------------------|-----------------------|
| General Revenue Funds: | | | | | | |
| 1 General Revenue Fund | \$19,643,562 | \$18,279,731 | \$114,971,154 | \$119,767,864 | \$134,614,716 | \$138,047,595 |
| | \$19,643,562 | \$18,279,731 | \$114,971,154 | \$119,767,864 | \$134,614,716 | \$138,047,595 |
| General Revenue Dedicated Funds: | | | | | | |
| 5073 Fair Defense | 30,068,599 | 28,918,063 | 1,434,535 | 1,434,535 | 31,503,134 | 30,352,598 |
| 5157 Statewide Electronic Filing System | 22,363,485 | 22,361,205 | 0 | 0 | 22,363,485 | 22,361,205 |
| | \$52,432,084 | \$51,279,268 | \$1,434,535 | \$1,434,535 | \$53,866,619 | \$52,713,803 |
| Federal Funds: | | | | | | |
| 555 Federal Funds | 0 | 0 | 0 | 0 | 0 | 0 |
| | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Other Funds: | | | | | | |
| 444 Interagency Contracts - CJG | 47,472 | 0 | 0 | 0 | 47,472 | 0 |
| 666 Appropriated Receipts | 170,046 | 170,326 | 0 | 0 | 170,046 | 170,326 |
| 777 Interagency Contracts | 5,729,214 | 5,736,852 | 239,250 | 82,650 | 5,968,464 | 5,819,502 |
| | \$5,946,732 | \$5,907,178 | \$239,250 | \$82,650 | \$6,185,982 | \$5,989,828 |
| TOTAL, METHOD OF FINANCING | \$78,022,378 | \$75,466,177 | \$116,644,939 | \$121,285,049 | \$194,667,317 | \$196,751,226 |
| FULL TIME EQUIVALENT POSITIONS | 239.6 | 239.6 | 56.0 | 56.0 | 295.6 | 295.6 |

2.G. Summary of Total Request Objective Outcomes
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 9/13/2016
 Time: 11:56:14AM

Agency code: **212**

Agency name: **Office of Court Administration, Texas Judicial Council**

Goal/ Objective / Outcome

| | | BL 2018 | BL 2019 | Excp 2018 | Excp 2019 | Total Request 2018 | Total Request 2019 |
|------------|--|--------------------|--------------------|----------------------|----------------------|-----------------------------------|-----------------------------------|
| 1 | Improve Processes and Report Information | | | | | | |
| 1 | <i>Improve Judicial Processes and Report Information</i> | | | | | | |
| KEY | 1 Percent of Entities Reporting Electronically | | | | | | |
| | | 99.00% | 99.00% | | | 99.00% | 99.00% |
| 2 | Complete Children's Court Program Cases | | | | | | |
| 1 | <i>Complete Children's Court Program Cases</i> | | | | | | |
| KEY | 1 Child Support Courts Case Disposition Rate | | | | | | |
| | | 100.00% | 100.00% | | | 100.00% | 100.00% |
| 3 | Certification and Compliance | | | | | | |
| 1 | <i>Certification and Compliance</i> | | | | | | |
| | 1 Percentage of Complaints Resulting in Disciplinary Action | | | | | | |
| | | 27.00% | 27.00% | | | 27.00% | 27.00% |
| KEY | 2 Percent of Licensees with No Recent Violations | | | | | | |
| | | 99.65% | 99.65% | | | 99.65% | 99.65% |

Budget Overview - Biennial Amounts
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

212 Office of Court Administration, Texas Judicial Council
Appropriation Years: 2018-19

| | GENERAL REVENUE FUNDS | | GR DEDICATED | | FEDERAL FUNDS | | OTHER FUNDS | | ALL FUNDS | | EXCEPTIONAL ITEM FUNDS |
|---|-----------------------|-------------------|--------------------|--------------------|---------------|---------|-------------------|-------------------|--------------------|--------------------|------------------------------|
| | 2016-17 | 2018-19 | 2016-17 | 2018-19 | 2016-17 | 2018-19 | 2016-17 | 2018-19 | 2016-17 | 2018-19 | 2018-19 |
| Goal: 1. Improve Processes and Report Information | | | | | | | | | | | |
| 1.1.1. Court Administration | 6,942,245 | 6,974,804 | | | 63,836 | | 649,065 | 550,479 | 7,655,146 | 7,525,283 | 6,640,932 |
| 1.1.2. Information Technology | 10,186,129 | 8,154,505 | 45,512,708 | 44,724,690 | | | 619,121 | 439,938 | 56,317,958 | 53,319,133 | 1,835,018 |
| 1.1.3. Docket Equalization | 33,750 | 10,000 | | | | | | | 33,750 | 10,000 | |
| 1.1.4. Assist Admin Judicial Regions | 319,084 | | | | | | 244,697 | 330,372 | 563,781 | 330,372 | |
| Total, Goal | 17,481,208 | 15,139,309 | 45,512,708 | 44,724,690 | 63,836 | | 1,512,883 | 1,320,789 | 64,570,635 | 61,184,788 | 8,475,950 |
| Goal: 2. Complete Children's Court Program Cases | | | | | | | | | | | |
| 2.1.1. Child Support Courts Program | 5,380,063 | 5,380,063 | | | | | 10,503,897 | 10,523,121 | 15,883,960 | 15,903,184 | |
| 2.1.2. Child Protection Courts Program | 8,784,973 | 8,784,974 | | | | | 1,889 | | 8,786,862 | 8,784,974 | 1,484,968 |
| Total, Goal | 14,165,036 | 14,165,037 | | | | | 10,505,786 | 10,523,121 | 24,670,822 | 24,688,158 | 1,484,968 |
| Goal: 3. Certification and Compliance | | | | | | | | | | | |
| 3.1.1. Judicial Branch Certification Comm | 1,096,086 | 1,096,086 | | | | | 2,142 | 10,000 | 1,098,228 | 1,106,086 | |
| 3.1.2. Texas.Gov | 24,111 | 22,861 | | | | | | | 24,111 | 22,861 | |
| Total, Goal | 1,120,197 | 1,118,947 | | | | | 2,142 | 10,000 | 1,122,339 | 1,128,947 | |
| Goal: 4. Improve Indigent Defense Practices and Procedures | | | | | | | | | | | |
| 4.1.1. Tx Indigent Defense Comm | 7,500,000 | 7,500,000 | 64,226,746 | 58,986,662 | | | 99,960 | | 71,826,706 | 66,486,662 | 227,969,070 |
| Total, Goal | 7,500,000 | 7,500,000 | 64,226,746 | 58,986,662 | | | 99,960 | | 71,826,706 | 66,486,662 | 227,969,070 |
| Total, Agency | 40,266,441 | 37,923,293 | 109,739,454 | 103,711,352 | 63,836 | | 12,120,771 | 11,853,910 | 162,190,502 | 153,488,555 | 237,929,988 |
| Total FTEs | | | | | | | | | 239.6 | 239.6 | 56.0 |

STRATEGY SCHEDULES

212 Office of Court Administration, Texas Judicial Council

GOAL: 1 Improve Processes and Report Information
 OBJECTIVE: 1 Improve Judicial Processes and Report Information
 STRATEGY: 1 Court Administration

Service Categories:
 Service: 01 Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|--|--------------------|--------------------|--------------------|--------------------|--------------------|
| Output Measures: | | | | | | |
| | 1 Number of New and Updated OCA Publications | 34.00 | 37.00 | 37.00 | 36.00 | 35.00 |
| KEY | 2 Number of New Monthly Court Activity Reports Processed | 124,098.00 | 129,000.00 | 129,000.00 | 126,000.00 | 126,000.00 |
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$2,987,925 | \$3,006,474 | \$3,411,813 | \$3,360,515 | \$3,394,515 |
| 1002 | OTHER PERSONNEL COSTS | \$178,766 | \$166,574 | \$103,944 | \$108,034 | \$113,404 |
| 2001 | PROFESSIONAL FEES AND SERVICES | \$9,792 | \$13,490 | \$3,140 | \$3,140 | \$3,140 |
| 2003 | CONSUMABLE SUPPLIES | \$9,382 | \$9,084 | \$10,000 | \$10,000 | \$10,000 |
| 2004 | UTILITIES | \$11,487 | \$11,883 | \$12,000 | \$12,000 | \$12,000 |
| 2005 | TRAVEL | \$83,075 | \$94,644 | \$85,000 | \$88,366 | \$75,000 |
| 2006 | RENT - BUILDING | \$4,548 | \$1,710 | \$2,000 | \$2,000 | \$2,000 |
| 2007 | RENT - MACHINE AND OTHER | \$6,845 | \$10,207 | \$10,000 | \$10,000 | \$10,000 |
| 2009 | OTHER OPERATING EXPENSE | \$178,036 | \$298,371 | \$404,812 | \$143,580 | \$167,589 |
| TOTAL, OBJECT OF EXPENSE | | \$3,469,856 | \$3,612,437 | \$4,042,709 | \$3,737,635 | \$3,787,648 |
| Method of Financing: | | | | | | |
| | 1 General Revenue Fund | \$3,130,592 | \$3,235,953 | \$3,706,292 | \$3,438,843 | \$3,535,961 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$3,130,592 | \$3,235,953 | \$3,706,292 | \$3,438,843 | \$3,535,961 |

212 Office of Court Administration, Texas Judicial Council

GOAL: 1 Improve Processes and Report Information
 OBJECTIVE: 1 Improve Judicial Processes and Report Information
 STRATEGY: 1 Court Administration

Service Categories:

Service: 01 Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|--|--------------------|--------------------|--------------------|--------------------|--------------------|
| Method of Financing: | | | | | | |
| 555 | Federal Funds | | | | | |
| | 16.013.000 DOJ:Violence Against Women Trng&Imp | \$81,241 | \$63,836 | \$0 | \$0 | \$0 |
| CFDA Subtotal, Fund | 555 | \$81,241 | \$63,836 | \$0 | \$0 | \$0 |
| SUBTOTAL, MOF (FEDERAL FUNDS) | | \$81,241 | \$63,836 | \$0 | \$0 | \$0 |
| Method of Financing: | | | | | | |
| 444 | Interagency Contracts - CJG | \$19,482 | \$44,257 | \$85,170 | \$47,472 | \$0 |
| 666 | Appropriated Receipts | \$25,727 | \$9,942 | \$0 | \$0 | \$0 |
| 777 | Interagency Contracts | \$212,814 | \$258,449 | \$251,247 | \$251,320 | \$251,687 |
| SUBTOTAL, MOF (OTHER FUNDS) | | \$258,023 | \$312,648 | \$336,417 | \$298,792 | \$251,687 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$3,737,635 | \$3,787,648 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$3,469,856 | \$3,612,437 | \$4,042,709 | \$3,737,635 | \$3,787,648 |
| FULL TIME EQUIVALENT POSITIONS: | | 47.7 | 47.8 | 51.9 | 51.9 | 51.9 |

212 Office of Court Administration, Texas Judicial Council

GOAL: 1 Improve Processes and Report Information
 OBJECTIVE: 1 Improve Judicial Processes and Report Information Service Categories:
 STRATEGY: 1 Court Administration Service: 01 Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

STRATEGY DESCRIPTION AND JUSTIFICATION:

STATUTORY AUTHORITY: Tx Govt Code, Chapters 71 and 72; Code of Criminal Procedure, Art. 103.0033

Under this strategy, the OCA supports a variety of programs that support the Texas judiciary and enhance the administration of courts and court-related activities. OCA collects, analyzes and publishes case activity statistics and other judicial data and is the only statewide repository for this information in Texas. OCA assists courts by providing analysis, advice and recommendations; preparing manuals; providing training; obtaining grant funds for projects and programs; and researching and identifying innovative ideas and programs. OCA's Collection Improvement Program (CIP), which is mandated in counties with a population of 50,000 or more and cities with a population of 100,000 or more, is funded under this strategy, as is the CIP Audit function. This strategy also funds the Guardianship Compliance pilot program initiated to review reports file by guardians to determine if guardians are following statutorily-required procedures and to ensure that exploitation or neglect is not occurring. This strategy funds the Texas Court Remote Interpreter Services program, whereby experienced and licensed Spanish court interpreters provide services in all case types for short, limited or non-evidentiary hearings that typically last 30 minutes or less.

This strategy also funds the majority of OCA's administrative support functions, including executive, legal, finance, human resources, and operations.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

212 Office of Court Administration, Texas Judicial Council

GOAL: 1 Improve Processes and Report Information
 OBJECTIVE: 1 Improve Judicial Processes and Report Information Service Categories:
 STRATEGY: 1 Court Administration Service: 01 Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

OCA's efforts to recruit and retain qualified staff are impaired due to nearly half of OCA employees being paid salaries that are on average below the average state employee salary for similar job classifications. Eight-eight percent of OCA employees were paid below the mid-point of the salary range for the position during 2014, resulting in a turnover rate of 16.4% for headquarters staff and 7.3% for field staff. Also, 33% of OCA employees will be eligible to retire during 18-19. The time taken to fill the positions has increased significantly over the past two years. As of May 2016, there were 52,283 active guardianships in Texas, 18,575 of which were in counties without statutory probate courts or resources to ensure compliance with statutory requirements. There is over \$2.5 billion under court protection in guardianship cases, and an estimated \$1 billion in estates with inadequate resources to oversee compliance. The number of elderly individuals and amounts in estates is expected to continue increasing rapidly. Planning and recovery from security incidents and disasters affecting the courts are locally developed and coordinated; however, there is no statewide assistance available to local courts to assist them in the planning and recovery efforts related to security incidents and disasters. A recent survey of Texas judges reveals significant gaps in security preparedness in the courts, with 38% of respondents indicating that they have feared for their safety at work at least once in the past two years and 42% reporting fearing for their safety away from work.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|-----------------|---------------------------------------|---|
| Base Spending (Est 2016 + Bud 2017) | Baseline Request (BL 2018 + BL 2019) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$7,655,146 | \$7,525,283 | \$(129,863) | \$(129,863) | Reduction in strategy is due to a decrease in receipts of other funds in 2018-19. |
| | | | \$(129,863) | Total of Explanation of Biennial Change |

212 Office of Court Administration, Texas Judicial Council

GOAL: 1 Improve Processes and Report Information
 OBJECTIVE: 1 Improve Judicial Processes and Report Information
 STRATEGY: 2 Information Technology

Service Categories:

Service: 01 Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|---------------------------------|---|---------------------|---------------------|---------------------|---------------------|---------------------|
| Output Measures: | | | | | | |
| 1 | Total Number of E-filed Documents | 0.00 | 0.00 | 0.00 | 6,000,000.00 | 6,000,000.00 |
| Efficiency Measures: | | | | | | |
| 1 | Percent of Service Requests Resolved | 95.00 % | 100.00 % | 100.00 % | 100.00 % | 100.00 % |
| 2 | Electronic Filing System Service Availability | 0.00 % | 0.00 % | 0.00 % | 100.00 % | 100.00 % |
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$1,895,135 | \$2,192,376 | \$2,153,959 | \$2,297,056 | \$2,302,030 |
| 1002 | OTHER PERSONNEL COSTS | \$109,963 | \$103,143 | \$75,074 | \$69,164 | \$71,711 |
| 2001 | PROFESSIONAL FEES AND SERVICES | \$10,237 | \$4,768 | \$4,764 | \$4,696 | \$4,696 |
| 2003 | CONSUMABLE SUPPLIES | \$1,079 | \$2,010 | \$3,990 | \$2,100 | \$2,100 |
| 2004 | UTILITIES | \$23,069 | \$28,889 | \$29,350 | \$32,230 | \$32,230 |
| 2005 | TRAVEL | \$17,828 | \$87,573 | \$151,050 | \$25,700 | \$25,700 |
| 2006 | RENT - BUILDING | \$5,565 | \$8,655 | \$6,300 | \$6,050 | \$6,300 |
| 2007 | RENT - MACHINE AND OTHER | \$5,259 | \$0 | \$0 | \$0 | \$0 |
| 2009 | OTHER OPERATING EXPENSE | \$16,765,896 | \$24,374,375 | \$25,324,836 | \$24,952,772 | \$23,484,598 |
| 5000 | CAPITAL EXPENDITURES | \$101,008 | \$816,846 | \$950,000 | \$0 | \$0 |
| TOTAL, OBJECT OF EXPENSE | | \$18,935,039 | \$27,618,635 | \$28,699,323 | \$27,389,768 | \$25,929,365 |

212 Office of Court Administration, Texas Judicial Council

GOAL: 1 Improve Processes and Report Information
 OBJECTIVE: 1 Improve Judicial Processes and Report Information
 STRATEGY: 2 Information Technology

Service Categories:
 Service: 01 Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|------------------------------------|---------------------|---------------------|---------------------|---------------------|---------------------|
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$3,353,073 | \$4,449,167 | \$5,736,962 | \$4,806,424 | \$3,348,081 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$3,353,073 | \$4,449,167 | \$5,736,962 | \$4,806,424 | \$3,348,081 |
| Method of Financing: | | | | | | |
| 5157 | Statewide Electronic Filing System | \$15,307,732 | \$22,756,354 | \$22,756,354 | \$22,363,485 | \$22,361,205 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED) | | \$15,307,732 | \$22,756,354 | \$22,756,354 | \$22,363,485 | \$22,361,205 |
| Method of Financing: | | | | | | |
| 666 | Appropriated Receipts | \$39,106 | \$101,741 | \$0 | \$0 | \$0 |
| 777 | Interagency Contracts | \$235,128 | \$311,373 | \$206,007 | \$219,859 | \$220,079 |
| SUBTOTAL, MOF (OTHER FUNDS) | | \$274,234 | \$413,114 | \$206,007 | \$219,859 | \$220,079 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$27,389,768 | \$25,929,365 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$18,935,039 | \$27,618,635 | \$28,699,323 | \$27,389,768 | \$25,929,365 |
| FULL TIME EQUIVALENT POSITIONS: | | 26.6 | 28.1 | 28.4 | 28.4 | 28.4 |

212 Office of Court Administration, Texas Judicial Council

GOAL: 1 Improve Processes and Report Information
 OBJECTIVE: 1 Improve Judicial Processes and Report Information Service Categories:
 STRATEGY: 2 Information Technology Service: 01 Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

STRATEGY DESCRIPTION AND JUSTIFICATION:

STATUTORY AUTHORITY: Government Code, Chapter 72.024

Under this strategy, OCA provides and supports information system environments to Texas appellate courts and state judicial agencies. OCA’s centralized server and network administration creates internal economies of scale and security protection for the participating appellate courts and judicial agencies. In total, OCA Information Services staff provide direct technical support to twenty-two (22) entities (with 883 FTEs), as follows: OCA (239.6), Appellate Courts (578), Office of Capital Writs (16.5), State Law Library (12), State Prosecuting Attorney (4), State Commission on Judicial Conduct (14) and the Board of Law Examiners (18).

This strategy also manages the operations of the statewide electronic filing system including contract management, governance, and standardization. The system securely delivers approximately 6,000,000 electronic documents to the appellate, district, county and Justice courts.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

212 Office of Court Administration, Texas Judicial Council

| | | | | | |
|------------|---|---|---------------------|------------|---------|
| GOAL: | 1 | Improve Processes and Report Information | | | |
| OBJECTIVE: | 1 | Improve Judicial Processes and Report Information | Service Categories: | | |
| STRATEGY: | 2 | Information Technology | Service: 01 | Income: NA | Age: NA |

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

The Information Services (IS) division has only twenty-five (26 including vacancy) full-time staff to support a technology infrastructure, including the network, desktops and peripherals, security, e-mail, help desk and software applications, for over 883 individuals. The IS staff also provides technology assistance to the 3,000 trial courts and clerks of Texas. To provide cost effective technology support with minimal staff, it is critical to continue to maintain a standardized, up-to-date technology environment for the entities that are directly supported by the IS division. In FY16-17, OCA received appropriations to replace legacy cybersecurity equipment that was over six years old, providing updated firewall, intrusion prevention, VPN capabilities, and servers for the appellate courts. In addition, appropriations were provided to replace the legacy system used for monitoring the four judicial professions regulated by the Judicial Branch Certification Commission, enhancing internal and external functionality which enables the Commission to offer online services to regulated professionals and the public at-large. OCA will continue to follow the Department of Information Resources standard replacement schedule for determining which equipment should be replaced.

212 Office of Court Administration, Texas Judicial Council

GOAL: 1 Improve Processes and Report Information
 OBJECTIVE: 1 Improve Judicial Processes and Report Information
 STRATEGY: 2 Information Technology

Service Categories:
 Service: 01 Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | BIENNIAL | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|---------------|---------------------------------------|--|
| Base Spending (Est 2016 + Bud 2017) | Baseline Request (BL 2018 + BL 2019) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$56,317,958 | \$53,319,133 | \$(2,998,825) | \$(1,600,000) | 4% reduction, 16-17 Legacy Tech Capital project, all GR |
| | | | \$(431,624) | 4% reduction, CAPPs project, all GR |
| | | | \$(788,018) | 4% reduction, eFiling project, fund 5157 |
| | | | \$(288,503) | Daily Ops eCitation project not funded in 18-19, fund 0777, IAC |
| | | | \$27,930 | Daily Ops reimbursement from Board of Law Examiners for IT services in 18-19, fund 0777, IAC |
| | | | \$183,130 | Daily Ops CIP technology project, additional funding over 16-17 base amounts, fund 0777, IAC |
| | | | \$(101,740) | Appropriated receipts not included in base for 18-19 |
| | | | \$(2,998,825) | Total of Explanation of Biennial Change |

212 Office of Court Administration, Texas Judicial Council

GOAL: 1 Improve Processes and Report Information
 OBJECTIVE: 1 Improve Judicial Processes and Report Information
 STRATEGY: 3 Equalization of the Courts of Appeals Dockets

Service Categories:
 Service: 01 Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|---|-----------------|----------------|-----------------|----------------|----------------|
| Explanatory/Input Measures: | | | | | | |
| 1 | Equalization Between Courts Achieved by the Transfer of Cases | 96.70 % | 95.00 % | 95.00 % | 95.00 % | 95.00 % |
| 2 | Number of Cases Transferred by the Supreme Court | 407.00 | 610.00 | 610.00 | 550.00 | 550.00 |
| Objects of Expense: | | | | | | |
| 2005 | TRAVEL | \$8,096 | \$2,542 | \$31,208 | \$5,000 | \$5,000 |
| 2009 | OTHER OPERATING EXPENSE | \$2,182 | \$0 | \$0 | \$0 | \$0 |
| 5000 | CAPITAL EXPENDITURES | \$11,267 | \$0 | \$0 | \$0 | \$0 |
| TOTAL, OBJECT OF EXPENSE | | \$21,545 | \$2,542 | \$31,208 | \$5,000 | \$5,000 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$21,545 | \$2,542 | \$31,208 | \$5,000 | \$5,000 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$21,545 | \$2,542 | \$31,208 | \$5,000 | \$5,000 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$5,000 | \$5,000 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$21,545 | \$2,542 | \$31,208 | \$5,000 | \$5,000 |
| FULL TIME EQUIVALENT POSITIONS: | | | | | | |

212 Office of Court Administration, Texas Judicial Council

GOAL: 1 Improve Processes and Report Information
 OBJECTIVE: 1 Improve Judicial Processes and Report Information Service Categories:
 STRATEGY: 3 Equalization of the Courts of Appeals Dockets Service: 01 Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

STRATEGY DESCRIPTION AND JUSTIFICATION:

STATUTORY AUTHORITY: Tx Govt Code, Sec. 72.027 and Chapter 73

Under this strategy, the OCA provides funding to support the Supreme Court's transfer of cases from one court of appeals to another. This strategy pays for travel expenses incurred by appellate justices and their staff, who travel to hear cases transferred to them for disposition. When a case is transferred to the jurisdiction of an appellate court to hear the case, the justices of the court to which the case has been transferred generally travel to the location where the case has been filed to be near the parties to the case. OCA staff process the travel claims in accordance with state travel regulations.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The level and frequency of travel depend on the pattern of cases being transferred by the Supreme Court of Texas.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL CHANGE</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|---|------------------------|---------------------------------------|--|
| <u>Base Spending (Est 2016 + Bud 2017)</u> | <u>Baseline Request (BL 2018 + BL 2019)</u> | | <u>\$ Amount</u> | <u>Explanation(s) of Amount (must specify MOFs and FTEs)</u> |
| \$33,750 | \$10,000 | \$(23,750) | \$(23,750) | As a result of the 4% budget reduction, OCA reduced the General Revenue Appropriation for this strategy. |
| | | | <u>\$(23,750)</u> | Total of Explanation of Biennial Change |

212 Office of Court Administration, Texas Judicial Council

GOAL: 1 Improve Processes and Report Information
 OBJECTIVE: 1 Improve Judicial Processes and Report Information
 STRATEGY: 4 Assistance to the Administrative Judicial Regions

Service Categories:
 Service: 07 Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-------------------------|------------------|------------------|------------------|------------------|------------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$70,021 | \$154,027 | \$154,027 | \$157,878 | \$157,878 |
| 1002 | OTHER PERSONNEL COSTS | \$3,850 | \$5,370 | \$5,370 | \$5,589 | \$5,869 |
| 2009 | OTHER OPERATING EXPENSE | \$137,332 | \$122,354 | \$122,633 | \$1,579 | \$1,579 |
| TOTAL, OBJECT OF EXPENSE | | \$211,203 | \$281,751 | \$282,030 | \$165,046 | \$165,326 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$156,011 | \$159,543 | \$159,541 | \$0 | \$0 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$156,011 | \$159,543 | \$159,541 | \$0 | \$0 |
| Method of Financing: | | | | | | |
| 666 | Appropriated Receipts | \$55,192 | \$122,208 | \$122,489 | \$165,046 | \$165,326 |
| SUBTOTAL, MOF (OTHER FUNDS) | | \$55,192 | \$122,208 | \$122,489 | \$165,046 | \$165,326 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$165,046 | \$165,326 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$211,203 | \$281,751 | \$282,030 | \$165,046 | \$165,326 |
| FULL TIME EQUIVALENT POSITIONS: | | 1.0 | 2.0 | 2.0 | 2.0 | 2.0 |

212 Office of Court Administration, Texas Judicial Council

| | | | |
|------------|---|---|--|
| GOAL: | 1 | Improve Processes and Report Information | |
| OBJECTIVE: | 1 | Improve Judicial Processes and Report Information | Service Categories: |
| STRATEGY: | 4 | Assistance to the Administrative Judicial Regions | Service: 07 Income: NA Age: NA |

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

STRATEGY DESCRIPTION AND JUSTIFICATION:

STATUTORY AUTHORITY: Tx Govt Code, Chapter 74

Under this strategy, OCA employs or contracts with counties or administrative judicial regions to provide administrative assistants for the presiding judges of the administrative judicial regions. The primary duty of the presiding judges is to assign visiting judges to sit in district and statutory county courts when the regular judge is absent, thus averting a backlog which would likely occur during such absences. Administrative assistants to the presiding judges handle correspondence and other communications and maintain files pertaining to the assignment of judge and the associated case files. The presiding judges otherwise have very limited resources directly available to assist them in performing these duties.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Funding for this strategy does not cover the full cost of assistants who work for the presiding judges. County facilities and resources help accomplish the purpose of the AAJR program. Last biennium, OCA contracted with two counties, six administrative judicial regions, and employed one administrative assistant. This biennium, OCA is contracting with two counties and five administrative judicial regions and employs two administrative assistants. The OCA has had to absorb additional costs due to benefits associated with the additional FTE that are unrecoverable within the terms of the current agreements with the regions and counties employing the remaining seven assistants. As a result of the 4% budget reduction, OCA, with the concurrence of the presiding judges, has eliminated the General Revenue appropriation historically provided to the courts to support the administrative assistant salaries. As a result, the 1st and 4th judicial regions will reimburse OCA for the two administrative assistants, in full.

212 Office of Court Administration, Texas Judicial Council

GOAL: 1 Improve Processes and Report Information
 OBJECTIVE: 1 Improve Judicial Processes and Report Information Service Categories:
 STRATEGY: 4 Assistance to the Administrative Judicial Regions Service: 07 Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | BIENNIAL | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|-------------|---------------------------------------|--|
| Base Spending (Est 2016 + Bud 2017) | Baseline Request (BL 2018 + BL 2019) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$563,781 | \$330,372 | \$(233,409) | \$(233,409) | As a result of the 4% budget reduction, OCA, with the concurrence of the Presiding Judges of the nine judicial regions, reduced the General Revenue appropriation for this strategy to zero. |
| | | | <u>\$(233,409)</u> | Total of Explanation of Biennial Change |

212 Office of Court Administration, Texas Judicial Council

GOAL: 2 Complete Children's Court Program Cases
 OBJECTIVE: 1 Complete Children's Court Program Cases
 STRATEGY: 1 Child Support Courts Program

Service Categories:

Service: 01 Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|--------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$6,204,606 | \$6,798,279 | \$6,835,302 | \$6,835,302 | \$6,837,702 |
| 1002 | OTHER PERSONNEL COSTS | \$433,121 | \$400,463 | \$390,243 | \$393,963 | \$402,695 |
| 2001 | PROFESSIONAL FEES AND SERVICES | \$1,792 | \$1,782 | \$1,820 | \$1,820 | \$1,820 |
| 2003 | CONSUMABLE SUPPLIES | \$21,649 | \$28,511 | \$30,000 | \$30,000 | \$30,000 |
| 2004 | UTILITIES | \$217 | \$548 | \$600 | \$600 | \$600 |
| 2005 | TRAVEL | \$283,144 | \$278,977 | \$281,000 | \$266,000 | \$266,000 |
| 2006 | RENT - BUILDING | \$12,245 | \$14,150 | \$14,150 | \$14,150 | \$14,150 |
| 2009 | OTHER OPERATING EXPENSE | \$245,001 | \$360,182 | \$447,953 | \$404,142 | \$404,240 |
| TOTAL, OBJECT OF EXPENSE | | \$7,201,775 | \$7,882,892 | \$8,001,068 | \$7,945,977 | \$7,957,207 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$2,522,315 | \$2,640,613 | \$2,739,450 | \$2,687,942 | \$2,692,121 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$2,522,315 | \$2,640,613 | \$2,739,450 | \$2,687,942 | \$2,692,121 |
| Method of Financing: | | | | | | |
| 777 | Interagency Contracts | \$4,679,460 | \$5,242,279 | \$5,261,618 | \$5,258,035 | \$5,265,086 |
| SUBTOTAL, MOF (OTHER FUNDS) | | \$4,679,460 | \$5,242,279 | \$5,261,618 | \$5,258,035 | \$5,265,086 |

212 Office of Court Administration, Texas Judicial Council

GOAL: 2 Complete Children's Court Program Cases
 OBJECTIVE: 1 Complete Children's Court Program Cases
 STRATEGY: 1 Child Support Courts Program

Service Categories:

Service: 01 Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$7,945,977 | \$7,957,207 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$7,201,775 | \$7,882,892 | \$8,001,068 | \$7,945,977 | \$7,957,207 |
| FULL TIME EQUIVALENT POSITIONS: | | 86.0 | 85.2 | 88.4 | 88.4 | 88.4 |

STRATEGY DESCRIPTION AND JUSTIFICATION:

STATUTORY AUTHORITY: Texas Family Code, Chapter 201, Subchapter B

Under this strategy, OCA employs personnel needed to implement and administer Title IV-D (child support establishment and enforcement) cases within the expedited time frames required under Chapter 201.110 of the Texas Family Code. OCA currently administers 43 child support dockets throughout the state. Each docket is staffed by one associate judge and one court coordinator. The associate judges are assigned to a “host county,” but generally “ride circuit” to cover all areas within their designated “court” boundaries. Roughly 97% of the budget for this strategy is used for salaries and travel costs.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The CSC program is funded by General Revenue (34%) appropriated directly to OCA and federal funds (66%) that come to OCA through an Interagency Contract with the Office of Attorney General (OAG).

Visiting associate judges are used on occasion to cover temporary vacancies that occur because of vacations, illness, or family and medical leave. The child support dockets must be staffed to meet the needs of citizens and children and to avoid losing federal funds.

212 Office of Court Administration, Texas Judicial Council

GOAL: 2 Complete Children's Court Program Cases
 OBJECTIVE: 1 Complete Children's Court Program Cases Service Categories:
 STRATEGY: 1 Child Support Courts Program Service: 01 Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|-----------------|---------------------------------------|---|
| Base Spending (Est 2016 + Bud 2017) | Baseline Request (BL 2018 + BL 2019) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$15,883,960 | \$15,903,184 | \$19,224 | \$19,224 | Associated with reimbursement by OAG for visiting associate judges. |
| | | | \$19,224 | Total of Explanation of Biennial Change |

212 Office of Court Administration, Texas Judicial Council

GOAL: 2 Complete Children's Court Program Cases
 OBJECTIVE: 1 Complete Children's Court Program Cases
 STRATEGY: 2 Child Protection Courts Program

Service Categories:
 Service: 01 Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|--|--------------------|--------------------|--------------------|--------------------|--------------------|
| Output Measures: | | | | | | |
| 1 | Number of Hearings | 32,444.00 | 31,000.00 | 31,000.00 | 36,200.00 | 36,200.00 |
| KEY 2 | Number of Children Who Have Received a Final Order | 6,433.00 | 6,050.00 | 6,050.00 | 6,500.00 | 6,500.00 |
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$2,455,666 | \$3,369,895 | \$3,396,703 | \$3,396,703 | \$3,396,703 |
| 1002 | OTHER PERSONNEL COSTS | \$111,634 | \$136,581 | \$137,882 | \$138,782 | \$140,962 |
| 2001 | PROFESSIONAL FEES AND SERVICES | \$727 | \$847 | \$1,000 | \$1,000 | \$1,000 |
| 2003 | CONSUMABLE SUPPLIES | \$14,926 | \$21,973 | \$23,000 | \$23,000 | \$23,000 |
| 2004 | UTILITIES | \$5,405 | \$8,618 | \$7,600 | \$7,600 | \$7,600 |
| 2005 | TRAVEL | \$140,388 | \$149,004 | \$155,000 | \$155,000 | \$155,000 |
| 2006 | RENT - BUILDING | \$1,200 | \$1,891 | \$1,200 | \$1,200 | \$1,200 |
| 2009 | OTHER OPERATING EXPENSE | \$520,166 | \$448,779 | \$926,889 | \$674,251 | \$661,973 |
| TOTAL, OBJECT OF EXPENSE | | \$3,250,112 | \$4,137,588 | \$4,649,274 | \$4,397,536 | \$4,387,438 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$3,250,112 | \$4,135,699 | \$4,649,274 | \$4,397,536 | \$4,387,438 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$3,250,112 | \$4,135,699 | \$4,649,274 | \$4,397,536 | \$4,387,438 |

212 Office of Court Administration, Texas Judicial Council

GOAL: 2 Complete Children's Court Program Cases
 OBJECTIVE: 1 Complete Children's Court Program Cases
 STRATEGY: 2 Child Protection Courts Program

Service Categories:
 Service: 01 Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-----------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Method of Financing: | | | | | | |
| 666 | Appropriated Receipts | \$0 | \$1,889 | \$0 | \$0 | \$0 |
| SUBTOTAL, MOF (OTHER FUNDS) | | \$0 | \$1,889 | \$0 | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$4,397,536 | \$4,387,438 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$3,250,112 | \$4,137,588 | \$4,649,274 | \$4,397,536 | \$4,387,438 |
| FULL TIME EQUIVALENT POSITIONS: | | 34.7 | 41.4 | 49.0 | 49.0 | 49.0 |

STRATEGY DESCRIPTION AND JUSTIFICATION:

STATUTORY AUTHORITY: Texas Family Code, Chapter 201, Subchapter C

Under this strategy, OCA operates 24 child protection courts in 130 counties, with 19 associate judges and 24 court reporters/coordinators. In FY2015, these courts held 32,444 hearings. 6,643 children received final orders. Nineteen (19) courts are staffed by a dedicated associate judge and a court coordinator, who travel to the counties served by their court to hear cases. The other five (5) courts are staffed by one or more assigned retired district judges and a court coordinator or reporter.

As compared to counties not served by these courts, OCA child protection courts have better outcomes for children and families. According to 2011 DFPS data, OCA's CPCs have the highest rate of reunifying children with their families, the highest rate of final orders within one year, and the highest rate of placing children with relatives when reunification fails. The courts receive policy guidance from the Presiding Judges of the Administrative Judicial Regions and technical assistance from OCA, including access to an in-house online case management system. Approximately 94% of the budget for this strategy is used for court staffing and travel costs.

212 Office of Court Administration, Texas Judicial Council

GOAL: 2 Complete Children's Court Program Cases
 OBJECTIVE: 1 Complete Children's Court Program Cases Service Categories:
 STRATEGY: 2 Child Protection Courts Program Service: 01 Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The child protection courts were created to assist trial courts in predominantly rural areas in managing their child abuse and neglect dockets. The judges assigned to these dockets hear child abuse and neglect cases exclusively. Therefore, children can achieve permanency more quickly and the quality of placement decisions should be higher. These courts play a key role in determining whether and how long children will remain in foster care, and where they will permanently reside. The length of time that a child remains in foster care and the appropriateness of the permanent placement depend largely on how efficiently and effectively courts facilitate case review, which is largely a function of the timeliness and appropriateness of judicial decisions.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|-----------------|---------------------------------------|--|
| Base Spending (Est 2016 + Bud 2017) | Baseline Request (BL 2018 + BL 2019) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$8,786,862 | \$8,784,974 | \$(1,888) | \$(1,888) | The decrease in biennial appropriation from 16-17 to 18-19 is due to third party reimbursements received during 16-17 that cannot be estimated in 18-19. |
| | | | \$(1,888) | Total of Explanation of Biennial Change |

212 Office of Court Administration, Texas Judicial Council

GOAL: 3 Certification and Compliance
 OBJECTIVE: 1 Certification and Compliance
 STRATEGY: 1 Judicial Branch Certification Commission

Service Categories:
 Service: NA Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------------------------------------|--|-----------|-----------|-----------|-----------|-----------|
| Output Measures: | | | | | | |
| KEY 1 | Number of New Licenses Issued | 673.00 | 737.00 | 737.00 | 737.00 | 737.00 |
| KEY 2 | Number of Licenses Renewed | 2,553.00 | 2,440.00 | 2,857.00 | 2,440.00 | 2,700.00 |
| | 3 Number of Complaints Resolved | 41.00 | 80.00 | 80.00 | 80.00 | 80.00 |
| Efficiency Measures: | | | | | | |
| | 1 Average Time (Days) For Complaint Resolution | 191.20 | 163.00 | 163.00 | 207.00 | 207.00 |
| Explanatory/Input Measures: | | | | | | |
| | 1 Total Number of Licenses | 7,088.00 | 7,731.00 | 7,860.00 | 7,150.00 | 7,150.00 |
| | 2 Number of Complaints Received | 79.00 | 69.00 | 69.00 | 82.00 | 82.00 |
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$410,902 | \$460,320 | \$466,587 | \$466,587 | \$466,587 |
| 1002 | OTHER PERSONNEL COSTS | \$19,874 | \$15,390 | \$16,853 | \$17,953 | \$19,013 |
| 2001 | PROFESSIONAL FEES AND SERVICES | \$13,672 | \$2,048 | \$180 | \$180 | \$180 |
| 2003 | CONSUMABLE SUPPLIES | \$1,182 | \$2,269 | \$1,000 | \$1,000 | \$1,000 |
| 2004 | UTILITIES | \$109 | \$0 | \$0 | \$0 | \$0 |
| 2005 | TRAVEL | \$15,316 | \$15,290 | \$16,000 | \$16,000 | \$16,000 |
| 2006 | RENT - BUILDING | \$144 | \$200 | \$200 | \$200 | \$200 |
| 2007 | RENT - MACHINE AND OTHER | \$1,691 | \$1,582 | \$1,700 | \$1,700 | \$1,700 |

212 Office of Court Administration, Texas Judicial Council

GOAL: 3 Certification and Compliance
 OBJECTIVE: 1 Certification and Compliance
 STRATEGY: 1 Judicial Branch Certification Commission

Service Categories:

Service: NA Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-------------------------|------------------|------------------|------------------|------------------|------------------|
| 2009 | OTHER OPERATING EXPENSE | \$49,765 | \$49,809 | \$48,800 | \$48,907 | \$48,879 |
| TOTAL, OBJECT OF EXPENSE | | \$512,655 | \$546,908 | \$551,320 | \$552,527 | \$553,559 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$508,524 | \$544,766 | \$551,320 | \$547,527 | \$548,559 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$508,524 | \$544,766 | \$551,320 | \$547,527 | \$548,559 |
| Method of Financing: | | | | | | |
| 666 | Appropriated Receipts | \$4,131 | \$2,142 | \$0 | \$5,000 | \$5,000 |
| SUBTOTAL, MOF (OTHER FUNDS) | | \$4,131 | \$2,142 | \$0 | \$5,000 | \$5,000 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$552,527 | \$553,559 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$512,655 | \$546,908 | \$551,320 | \$552,527 | \$553,559 |
| FULL TIME EQUIVALENT POSITIONS: | | 7.5 | 8.8 | 8.9 | 8.9 | 8.9 |
| STRATEGY DESCRIPTION AND JUSTIFICATION: | | | | | | |

212 Office of Court Administration, Texas Judicial Council

GOAL: 3 Certification and Compliance
 OBJECTIVE: 1 Certification and Compliance Service Categories:
 STRATEGY: 1 Judicial Branch Certification Commission Service: NA Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

STATUTORY AUTHORITY: Government Code, Chapter 152

The Judicial Branch Certification Commission (JBCC) was established by the Texas Legislature during the 83rd Regular Session to promote government efficiency and create consistency across the regulated judicial professions. The nine member commission is appointed by the Supreme Court of Texas. The core responsibility of the JBCC is the oversight of the certification, registration, and licensing of approximately 7000 court reporters and court reporting firms, guardians, process servers, and licensed court interpreters.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The Office of Court Administration certification team members have successfully transitioned to the JBCC by consolidating the four judicial professions into one commission. In addition to processing complaints for all of the professions, OCA administers the certified guardian written examinations and the licensed court interpreter written and oral examinations. The certification team is also in the process of a review of JBCC rules, and revision of the court reporter Code of Profession Conduct.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | BIENNIAL | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|----------|---------------------------------------|---|
| Base Spending (Est 2016 + Bud 2017) | Baseline Request (BL 2018 + BL 2019) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$1,098,228 | \$1,106,086 | \$7,858 | \$7,858 | The biennial increase in 18-19 is attributable to estimated third party reimbursements for background checks. |
| | | | \$7,858 | Total of Explanation of Biennial Change |

212 Office of Court Administration, Texas Judicial Council

GOAL: 3 Certification and Compliance
 OBJECTIVE: 1 Certification and Compliance
 STRATEGY: 2 Texas.Gov. Estimated and Nontransferable

Service Categories:
 Service: 16 Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-------------------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| Objects of Expense: | | | | | | |
| 2009 | OTHER OPERATING EXPENSE | \$14,340 | \$11,540 | \$12,571 | \$10,290 | \$12,571 |
| TOTAL, OBJECT OF EXPENSE | | \$14,340 | \$11,540 | \$12,571 | \$10,290 | \$12,571 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$14,340 | \$11,540 | \$12,571 | \$10,290 | \$12,571 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$14,340 | \$11,540 | \$12,571 | \$10,290 | \$12,571 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$10,290 | \$12,571 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$14,340 | \$11,540 | \$12,571 | \$10,290 | \$12,571 |

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

STATUTORY AUTHORITY: Govt Code 2054

Texas.gov is the system used by JBCC (and other state agencies) to accept payments for license renewals for court reporters, court reporting firms, and licensed court interpreters. Funds received are collected then immediately transferred to the provider. Collections have exceeded the appropriated amount in both years of the current biennium.

212 Office of Court Administration, Texas Judicial Council

GOAL: 3 Certification and Compliance
 OBJECTIVE: 1 Certification and Compliance
 STRATEGY: 2 Texas.Gov. Estimated and Nontransferable

Service Categories:
 Service: 16 Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

In accordance with Art. IV, OCA Strategy C.1.2.Texas.Gov, and Art. IX, Sec. 9.05 of the General Appropriations Act, this strategy is estimated. Therefore, whatever revenues are collected for this function are appropriated to the agency to pass through to the provider.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|-----------------|---------------------------------------|---|
| Base Spending (Est 2016 + Bud 2017) | Baseline Request (BL 2018 + BL 2019) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$24,111 | \$22,861 | \$(1,250) | \$(1,250) | Revenues in excess of the appropriation was received in 2016. |
| | | | \$(1,250) | Total of Explanation of Biennial Change |

212 Office of Court Administration, Texas Judicial Council

GOAL: 4 Improve Indigent Defense Practices and Procedures
 OBJECTIVE: 1 Improve Indigent Defense Practices and Procedures
 STRATEGY: 1 Improve Indigent Defense Practices and Procedures

Service Categories:

Service: 07 Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|---------------------------------|--|---------------------|---------------------|---------------------|---------------------|---------------------|
| Output Measures: | | | | | | |
| KEY 1 | # Monitoring Visits, Technical Support Visits, & Trainings Conducted | 102.00 | 105.00 | 105.00 | 80.00 | 80.00 |
| KEY 2 | Percentage of Counties Receiving State Funds for Indigent Defense | 100.00 % | 94.00 % | 94.00 % | 98.00 % | 98.00 % |
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$729,955 | \$834,281 | \$839,285 | \$839,285 | \$839,285 |
| 1002 | OTHER PERSONNEL COSTS | \$24,563 | \$17,191 | \$18,109 | \$20,116 | \$21,556 |
| 2001 | PROFESSIONAL FEES AND SERVICES | \$461 | \$228 | \$228 | \$228 | \$228 |
| 2003 | CONSUMABLE SUPPLIES | \$1,528 | \$1,638 | \$3,000 | \$3,000 | \$3,000 |
| 2004 | UTILITIES | \$3,978 | \$3,316 | \$3,600 | \$3,600 | \$3,600 |
| 2005 | TRAVEL | \$35,169 | \$31,763 | \$32,000 | \$32,000 | \$32,000 |
| 2006 | RENT - BUILDING | \$120 | \$1,361 | \$120 | \$120 | \$120 |
| 2007 | RENT - MACHINE AND OTHER | \$2,137 | \$2,547 | \$2,400 | \$2,400 | \$2,400 |
| 2009 | OTHER OPERATING EXPENSE | \$620,359 | \$974,254 | \$836,246 | \$836,246 | \$836,246 |
| 4000 | GRANTS | \$30,708,055 | \$34,330,270 | \$33,894,869 | \$32,081,604 | \$30,929,628 |
| TOTAL, OBJECT OF EXPENSE | | \$32,126,325 | \$36,196,849 | \$35,629,857 | \$33,818,599 | \$32,668,063 |

Method of Financing:

212 Office of Court Administration, Texas Judicial Council

GOAL: 4 Improve Indigent Defense Practices and Procedures
 OBJECTIVE: 1 Improve Indigent Defense Practices and Procedures
 STRATEGY: 1 Improve Indigent Defense Practices and Procedures

Service Categories:

Service: 07 Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-----------------------------|---------------------|---------------------|---------------------|---------------------|---------------------|
| 1 | General Revenue Fund | \$0 | \$3,750,000 | \$3,750,000 | \$3,750,000 | \$3,750,000 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$0 | \$3,750,000 | \$3,750,000 | \$3,750,000 | \$3,750,000 |
| Method of Financing: | | | | | | |
| 5073 | Fair Defense | \$32,126,325 | \$32,346,889 | \$31,879,857 | \$30,068,599 | \$28,918,063 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED) | | \$32,126,325 | \$32,346,889 | \$31,879,857 | \$30,068,599 | \$28,918,063 |
| Method of Financing: | | | | | | |
| 444 | Interagency Contracts - CJG | \$0 | \$99,960 | \$0 | \$0 | \$0 |
| SUBTOTAL, MOF (OTHER FUNDS) | | \$0 | \$99,960 | \$0 | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$33,818,599 | \$32,668,063 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$32,126,325 | \$36,196,849 | \$35,629,857 | \$33,818,599 | \$32,668,063 |
| FULL TIME EQUIVALENT POSITIONS: | | 10.4 | 10.9 | 11.0 | 11.0 | 11.0 |
| STRATEGY DESCRIPTION AND JUSTIFICATION: | | | | | | |

212 Office of Court Administration, Texas Judicial Council

GOAL: 4 Improve Indigent Defense Practices and Procedures
 OBJECTIVE: 1 Improve Indigent Defense Practices and Procedures Service Categories:
 STRATEGY: 1 Improve Indigent Defense Practices and Procedures Service: 07 Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

The Texas Indigent Defense Commission provides financial and technical support to counties to develop and maintain quality, cost-effective indigent defense systems that meet the needs of local communities and the requirements of the Constitution and state law. The Commission administers a statewide grant program, a fiscal and policy monitoring program, a technical support program, and develops policies and standards. The Commission receives all statewide indigent defense information reported by counties and provides reports and analysis to the state leadership, legislature, and the public. OCA provides administrative support to the Commission.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

This strategy is funded primarily from the Fair Defense Account, a dedicated account in General Revenue. This principle funding stream for indigent defense is derived from dedicated court costs and fees. Deposits to the Fair Defense Account have substantially decreased in recent years, primarily due to a decrease in filed cases. This decrease has occurred as indigent defense costs continue to rise. Since the passage of the Fair Defense Act of 2001, spending for indigent defense in Texas has increased approximately 160%, going from \$91.4 million to \$238 million annually. This increase is largely driven by the implementation of better systems for ensuring that Constitutional requirements are met and qualified defendants have access to lawyers. For the first time, the FY16/17 budget included an appropriation of General Revenue for indigent defense. With statewide indigent defense costs increasing at approximately \$10 million/year and GR-Dedicated funds decreasing, the \$7.5 million of GR for the current biennium only partially mitigated those budget pressures. Counties continue to bear the overwhelming majority of indigent defense costs, with state grants only covering approximately 12%.

Revenues the Commission receives to fund the GR-dedicated Fair Defense Account are decreasing. There was a 7.5% decline in court costs revenue received between FY13 and FY15, a 2% decline from FY15 to FY16, and another 2% decline anticipated in FY17. Consequently, the Commission reduced its budget request for FY18/FY19 to reflect a 2% decrease per year in GR-dedicated funding.

212 Office of Court Administration, Texas Judicial Council

GOAL: 4 Improve Indigent Defense Practices and Procedures
 OBJECTIVE: 1 Improve Indigent Defense Practices and Procedures Service Categories:
 STRATEGY: 1 Improve Indigent Defense Practices and Procedures Service: 07 Income: NA Age: NA

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | BIENNIAL | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|---------------|---------------------------------------|---|
| Base Spending (Est 2016 + Bud 2017) | Baseline Request (BL 2018 + BL 2019) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$71,826,706 | \$66,486,662 | \$(5,340,044) | \$(2,869,070) | Decrease is attributable to the mandated 4% budget reduction. |
| | | | \$(99,960) | Interagency Contract-CJG, not included in base for 18-19. |
| | | | \$(2,371,014) | Decrease is attributable to a decline in court cost revenue received. |
| | | | \$(5,340,044) | Total of Explanation of Biennial Change |

SUMMARY TOTALS:

| | | | | | |
|---|---------------------|---------------------|---------------------|---------------------|---------------------|
| OBJECTS OF EXPENSE: | \$65,742,850 | \$80,291,142 | \$81,899,360 | \$78,022,378 | \$75,466,177 |
| METHODS OF FINANCE (INCLUDING RIDERS): | | | | \$78,022,378 | \$75,466,177 |
| METHODS OF FINANCE (EXCLUDING RIDERS): | \$65,742,850 | \$80,291,142 | \$81,899,360 | \$78,022,378 | \$75,466,177 |
| FULL TIME EQUIVALENT POSITIONS: | 213.9 | 224.2 | 239.6 | 239.6 | 239.6 |

PROGRAM-LEVEL REQUEST

3.A.1. PROGRAM-LEVEL REQUEST SCHEDULE
85th Regular Session, Agency Submission, Version 1

| Agency Code: 212 | | Agency: Office of Court Administration | | | | Prepared By: Diane Juul | | | | | |
|------------------|---|--|---|---------|---|-------------------------|--------------|--------------|----------------|---------------------|---------|
| Date: | | | | | | 16-17 | Requested | Requested | Biennial Total | Biennial Difference | |
| Goal | Goal Name | Strategy | Strategy Name | Program | Program Name | Base | 2018 | 2019 | 18-19 | \$ | % |
| A | Processes and Information | A.1.1. | Court Administration | | | | | | \$0 | \$0 | |
| | | | | | Executive | \$676,454 | \$338,227 | \$338,227 | \$676,454 | \$0 | 0.0% |
| | | | | | Legal | \$931,370 | \$465,685 | \$465,685 | \$931,370 | \$0 | 0.0% |
| | | | | | Finance & Operations | \$1,684,585 | \$842,293 | \$842,292 | \$1,684,585 | \$0 | 0.0% |
| | | | | | Research & Court Services | \$1,202,995 | \$511,559 | \$561,573 | \$1,073,132 | (\$129,863) | -10.8% |
| | | | | | Collections Audit | \$998,404 | \$499,202 | \$499,202 | \$998,404 | \$0 | 0.0% |
| | | | | | Model Court Collections | \$1,046,278 | \$523,139 | \$523,139 | \$1,046,278 | \$0 | 0.0% |
| | | | | | Language Access | \$271,186 | \$135,593 | \$135,593 | \$271,186 | \$0 | 0.0% |
| | | | | | Exoneration Commission | \$245,304 | \$122,652 | \$122,652 | \$245,304 | \$0 | 0.0% |
| | | | | | Guardianship Compliance | \$515,881 | \$257,940 | \$257,941 | \$515,881 | \$0 | 0.0% |
| | | | | | General Support | \$82,689 | \$41,345 | \$41,344 | \$82,689 | \$0 | 0.0% |
| | | A.1.2. | Information Technology | | Daily Operations | \$9,483,891 | \$4,646,726 | \$3,185,616 | \$7,832,342 | (\$1,651,549) | -17.4% |
| | | | | | CIP Technology Project | \$229,418 | \$206,164 | \$206,384 | \$412,548 | \$183,130 | 79.8% |
| | | | | | CAPPS | \$803,438 | \$183,683 | \$188,731 | \$372,414 | (\$431,024) | -53.6% |
| | | | | | eCitation | \$288,503 | | | \$0 | (\$288,503) | -100.0% |
| | | | | | eFiling System | \$45,512,708 | \$22,363,485 | \$22,361,205 | \$44,724,690 | (\$788,018) | |
| | | A.1.3. | Docket Equalization | | Docket Equalization | \$33,750 | \$5,000 | \$5,000 | \$10,000 | (\$23,750) | -70.4% |
| | | A.1.4. | Assistance to Administrative Judicial Regions | | Assistance to Administrative Judicial Regions | \$563,781 | \$165,046 | \$165,326 | \$330,372 | (\$233,409) | -41.4% |
| | | | | | | | | | \$0 | \$0 | |
| B | Complete Children's Court Program Cases | B.1.2. | Child Support Courts | | Child Support Courts | \$15,883,960 | \$7,945,977 | \$7,957,207 | \$15,903,184 | \$19,224 | 0.1% |
| | | B.1.3. | Child Protection Courts | | Child Protection Courts | \$8,786,862 | \$4,397,536 | \$4,387,438 | \$8,784,974 | (\$1,888) | 0.0% |
| | | | | | | | | | \$0 | \$0 | |
| C | Certification and Compliance | C.1.1. | Judicial Branch Certification Commission | | Judicial Branch Certification Commission | \$1,098,228 | \$552,527 | \$553,559 | \$1,106,086 | \$7,858 | 0.7% |
| | | C.1.2. | Texas.Gov | | Texas.Gov | \$24,111 | \$10,290 | \$12,571 | \$22,861 | (\$1,250) | -5.2% |
| D | Improve Indigent Defense Practices & Procedures | D.1.1. | TX Indigent Defense Commission | | TX Indigent Defense Commission | \$71,826,706 | \$30,068,599 | \$28,918,063 | \$58,986,662 | (\$12,840,044) | -17.9% |

RIDER REVISIONS AND ADDITIONS REQUEST

| | | | | |
|----------------------------|---|---------------------------------------|---------------------------------|-----------------------------------|
| Agency Code: 212 | Agency Name: Office of Court Administration | Prepared By: Jennifer Henry | Date: Revised 9/12/16 | Request Level: Baseline |
|----------------------------|---|---------------------------------------|---------------------------------|-----------------------------------|

| Current Rider Number | Page Number in 2016-17 AA | Proposed Rider Language |
|-----------------------------|----------------------------------|--------------------------------|
|-----------------------------|----------------------------------|--------------------------------|

1

IV-24

Performance Measure Targets.

| | | |
|---|-----------------------------------|-----------------------------------|
| A. Goal: PROCESSES AND INFORMATION | 2016 2018 | 2017 2019 |
| Outcome (Results/Impact): | | |
| Percent of Entities Reporting Case Statistics Electronically | 98% 99% | 98% 99% |
| A.1.1. Strategy: COURT ADMINISTRATION | | |
| Outcome (Volume): | | |
| Number of New Monthly Court Activity Reports Processed | 129,000 126,000 | 129,000 126,000 |
| B. Goal: SPECIALTY COURT PROGRAMS ADMINISTER CHILDREN'S COURTS | | |
| Outcome (Results/Impact): | | |
| Child Support Courts Disposition Rate | 100% | 100% |
| B.1.2. Strategy: CHILD PROTECTION COURTS PROGRAM | | |
| Output (Volume): | | |
| Number of Children Who Have Received A Final Order | 6,050 6,500 | 6,050 6,500 |
| C. Goal: CERTIFICATION AND COMPLIANCE | | |
| Outcome (Results/Impact) | | |
| Percentage of Licensees with No Recent Violations | 99.5% 99.65% | 99.5% 99.65% |
| C.1.1. Strategy: JUDICIAL BRANCH CERTIFICATION COMM | | |
| Output (Volume): | | |
| Number of New Licenses Issued | 737 | 737 |
| Number of Licenses Renewed | 2,440 | 2,857 2,700 |
| D. Goal: INDIGENT DEFENSE | | |
| D.1.1. Strategy: TX INDIGENT DEFENSE COMM | | |
| Output (Volume): | | |
| Number of Fiscal and Policy Monitoring Visits, Technical Support Visits, and Trainings Conducted Yearly | 105 80 | 105 80 |

3.B. Rider Revisions and Additions Request (continued)

| | | |
|--|---------------------|--------------------|
| Percentage of Counties Receiving State Funds For Indigent Defense | -94% 98% | 94% 98% |
|--|---------------------|--------------------|

Requesting change of Goal title in GAA to match title approved by the Legislative Budget Board and Governor's Office Budget Division. Child Support and Child Protection Courts do not fall within the statutory definition of Specialty Courts. Updating measures for 2018-2019 projections.

2 IV-25

Capital Budget.² None of the funds appropriated above may be expended for capital budget items except as listed below. The amounts shown below shall be expended only for the purposes shown and are not available for expenditure for other purposes. Amounts appropriated above and identified in this provision as appropriations either for "Lease Payments to the Master Lease Purchase Program" or for items with an "(MLPP)" notation shall be expended only for the purpose of making lease-purchase payments to the Texas Public Finance Authority pursuant to the provisions of Government Code §1232.103

| | 2016 2018 | 2017 2019 |
|--|--|------------------------|
| a. Acquisition of Information Resource Technologies | | |
| (1) FY16-17 Computer Equipment and Software | \$ 976,377 | \$ 251,858 |
| (2) Replace Legacy Judicial Branch Technology | \$ 1,600,000 | 0 |
| (3) (1) Replacement of Computers and Laptops | \$ -713,600 <u>1,385,500</u> | 0 |
| Total, Acquisition of Information Resource Technologies | \$3,289,977 <u>1,385,500</u> | \$ 251,8580 |
| b. Centralized Accounting and Payroll/Personnel Systems (CAPPS) | | |
| (1) CAPPS Deployment | \$ 432,769 | \$ 370,669 |
| Total, Capital Budget | \$ 3,722,746 <u>1,385,500</u> | \$ 622,5270 |
| Method of Financing (Capital Budget) | | |
| General Revenue Fund | \$ 3,722,746 <u>1,385,500</u> | \$ 622,5270 |
| Total, Method of Financing | \$ 3,722,746 <u>1,385,500</u> | \$ 622,5270 |

Updating rider to adjust the years for the 2018-2019 biennium and to adjust technology projects for

anticipated expenditures. CAPPs expenses for 18-19 are informational and not categorized as capital.

7 IV-26 **Interagency Contract for Assigned Judges for Child Protection Courts.** Out of the funds appropriated above in Strategy B.1.2. Child Protection Courts Program, the Office of Court Administration may enter into a contract with the Office of the Comptroller for fiscal years ~~2016~~**2018** and ~~2017~~**2019**, for the purpose of reimbursing the Comptroller for amounts expended for judges assigned under Chapter 74, Government Code to hear cases of the Child Protection Courts established pursuant to Subchapter C, Chapter 201, Family Code. Any amounts reimbursed under this contract for judges assigned to the Child Protection Courts are in addition to amounts appropriated for the use of assigned judges in Strategy A.1.2., Visiting Judges – Regions in the Judiciary Section, Comptroller’s Department.

Updating rider to adjust the years for the 2018-2019 biennium.

8 IV-26 **Texas Indigent Defense Commission (TIDC).**⁵ ~~Amounts appropriated above from the General Revenue-Dedicated Fair Defense Account No. 5073 in Strategy D.1.1., Texas Indigent Defense Commission, includes \$1,064,988 and 11.00 FTEs in fiscal year 2016 and \$1,064,988 and 11.0 FTEs in fiscal year 2017 for the administration of the Commission. Except as otherwise provided relating to appropriations for the Office of Capital and Forensic Writs and all necessary amounts to cover payroll related benefit costs, all balances and amounts deposited into the General Revenue-Dedicated Fair Defense Account No. 5073 are appropriated above in Strategy D.1.1., Texas Indigent Defense Commission, for all uses authorized by Government Code, Chapter 79. All balances and amounts deposited (estimated to be \$30,068,599 in excess of \$33,700,000 in fiscal year ~~2016~~**2018** and ~~\$33,700,000~~ **\$28,918,063** in fiscal year ~~2017~~**2019**) are appropriated to the TIDC for the same purpose. Included in these estimates are amounts collected from court costs pursuant to Code of Criminal Procedure, Art. 102.0045, Fee for Jury Reimbursement to Counties (estimated to be ~~\$7,500,000~~ **\$6,600,000** in fiscal year ~~2016~~**2018** and ~~\$7,500,000~~ **\$6,600,000** in fiscal year ~~2017~~**2019**).~~

The TIDC shall make grants to counties from the General Revenue-Dedicated Fair Defense Account No. 5073, with funds being disbursed by the Comptroller. No portion of the appropriation made by this section shall be used to offset the Office of Court Administration’s administrative support provided to the TIDC except by mutual agreement of the TIDC and the Office of Court Administration.

The TIDC requests removal of the informational language related to administration of the Commission. Staffing levels and administrative costs are subject to approval by the Committee. While informational, it could hinder the Commission’s ability to effectively manage the program by limiting staff levels and operating costs. The TIDC also requests replacing the current “in excess of \$37,500,000” language with estimated deposited amounts to ensure the TIDC has access to all funds in the account not allocated to the Office of Capital and Forensic Writs. As currently written, the TIDC would not have access to additional revenues

until it hits a higher threshold (\$33,700,000) than the appropriated amount (\$30,678,838 after the 4% reduction). The Fee for Jury Reimbursement to Counties revenue estimate has been updated to reflect historical trends.

10 IV-26 **Appropriations Limited to Revenue Collections.** It is the intent of the Legislature that fees, fines and other miscellaneous revenues as authorized and generated by the Judicial Branch Certification Commission cover, at a minimum, the cost of the appropriations made above in Strategy C.1.1., Judicial Branch Certification Commission, and Strategy C.1.2., Texas.gov, as well as an amount equal to the Judicial Branch Certification Commission's portion of the amount identified above in the informational item "Other Direct and Indirect Costs Appropriated Elsewhere in this Act" and estimated to be \$154,991 in fiscal year ~~2016~~2018 and \$162,349 in fiscal year ~~2017~~2019. In the event that actual and/or projected revenue collections are insufficient to offset the costs identified by this provision, the Legislative Budget Board may direct that the Comptroller of Public Accounts reduce the appropriation authority provided above to be within the amount of revenue expected to be available.

Updating rider to adjust the years for the 2018-2019 biennium.

11 IV-26 **Innocence Projects.** Out of amounts appropriated above in Strategy D.1.1., Texas Indigent Defense Commission, ~~\$400,000~~600,000 in each year of the biennium from the General Revenue-Dedicated Fair Defense Account No. 5073 shall be used by the Commission to contract with law schools at the University of Houston, the University of Texas, Texas Tech University, Texas Southern University, University of North Texas and Texas A&M University ~~for innocence projects~~to support innocence project screening, investigation and litigation activities regarding claims of actual innocence in non-capital cases in Texas and associated expenses necessary to conduct those activities. The intent of this funding is to provide direct assistance to investigate actual innocence cases post-conviction and pursue relief for defendants with credible claims of actual innocence. While providing this funding to law schools provides opportunities for student involvement in innocence work, this funding is not intended for legal clinic expenses, teaching and student supervision. The amount of each contract with each university shall be \$100,000. Any unobligated and unexpended balances remaining from the ~~\$400,000~~600,000 in funds designated for innocence projects as of August 31, ~~2016~~2018 are appropriated to Strategy D.1.1., Texas Indigent Defense Commission, for the same purpose for the fiscal year beginning September 1, ~~2016~~2018.

Updating rider to correctly identify the actual total dollar amount of contracts allocated to the six law schools following the addition of two newly eligible public law schools by the 84th Legislature. Also clarifying that the primary purpose of the funding is to support necessary substantive assistance for wrongfully convicted persons rather than the supporting law clinic teaching.

12 IV-26 **Lump Sum Payments for Child Support Courts Program.** ~~Amounts appropriated above in Strategy B.1.1., Child Support Courts Program, include \$30,000 each fiscal year that shall be used only for the purpose of paying lump sum termination payments for child support court employees in the event of the employee's separation from state employment in accordance with existing statutes and rules governing these payments. Any unobligated and unexpended balances in appropriations made for this purpose for fiscal year 2016 are appropriated to the Office of Court Administration in fiscal year 2017 for the same purposes.~~

Requesting deletion of this rider. The funds are included in the base, therefore, this rider is no longer necessary.

14 IV-27 **Contingency: Study of School Attendance Related Cases.**⁶ ~~In addition to amounts appropriated above in Strategy A.1.1., Court Administration, \$150,000 in General Revenue is appropriated to the Office of Court Administration (OCA) in fiscal year 2016 to conduct a study of court processes and data collection practices on failure to attend school (FTAS) and parent contributing to nonattendance (PCTN) cases, contingent on FTAS remaining a misdemeanor. The study shall be conducted in consultation with the Texas Judicial Council Juvenile Justice Committee. OCA may contract with an outside entity to complete the study. At the conclusion of the study, the Office of Court Administration and the Texas Judicial Council Juvenile Justice Committee shall make recommendations to the Legislature to improve the information available, and enhance the effectiveness and efficiency of the courts for these cases. Areas of analysis should, at a minimum, include:~~

- ~~a) Average time between date of filing and date of first hearing;~~
- ~~b) Number of unexcused absences cited in complaint;~~
- ~~c) Information included in complaints regarding interventions attempted;~~
- ~~d) The plea and disposition of each FTAS and PCTN case;~~
- ~~e) Court orders issued;~~
- ~~f) Amount and frequency of fines or special expense fees assessed;~~
- ~~g) Amount of fines and special expense fees collected;~~
- ~~h) Amount of fines and special expense fees waived;~~
- ~~i) Availability of deferred disposition for first time offenders;~~
- ~~j) Rate of repeat offenses for FTAS and PCTN;~~
- ~~k) Whether the court has a juvenile case manager on staff; and~~
- ~~l) Demographic data on the age and family income of each defendant.~~

~~OCA shall report to the Legislature findings and recommendations resulting from this study no later than January 1, 2017.~~

Requesting deletion of this rider. HB 2398, 84th Legislature, Regular Session, was enacted with failure to attend school no longer remaining a misdemeanor, resulting in a decrease to Supplemental Appropriations Made in Riders of \$150,000 out of General Revenue funds in FY2016 and Rider 14, Contingency: Study of School Attendance Related Cases, having no effect.

15 IV-27 **Statewide eFiling System Fund.** Pursuant to Government Code, §51.851 and §81.852, all balances and amounts deposited into the General Revenue-Dedicated Statewide Electronic Filing System Account No. 5157 (estimated to be ~~\$22,756,355~~ \$22,363,485 in fiscal year 2018 and \$22,361,205 in fiscal year 2017) ~~each fiscal year~~, are appropriated above to the Office of Court Administration in Strategy A.1.2., Information Technology, for the purposes authorized.

Reducing the estimated appropriation due to the Legislative policy letter distributed June 30, 2016, requiring a four percent base budget reduction.

16 IV-27 **Mileage Reimbursement for ~~Specialty~~ Children's Courts Staff.** ~~Specialty~~ Children's court staff who travel regularly to hear case dockets may be reimbursed for mileage at the state-approved rate when they travel for official state business in a personal vehicle. These staff are also exempt from the requirement to complete a comparison worksheet showing that mileage reimbursement for travel in a personal vehicle is more cost-effective than the use of a rental car.

Rider language is being adjusted to match Goal and Objective title changes approved by the Legislative Budget Board and Governor's Office Budget Division. Child Support and Child Protection Courts do not fall within the statutory definition of Specialty Courts.

17 IV-27 **~~Additional Child Protection Courts.~~** ~~Amounts appropriated above in Strategy B.1.2., Child Protection Courts Program, from the General Revenue Fund include \$912,854 in fiscal year 2016 and \$902,054 in fiscal year 2017 which may only be used for the purposes of establishing four new child protection courts and to provide support and assistance to child protection courts.~~

Requesting deletion of this rider. The courts have been established and the appropriations remain in the base budget.

18 IV-27

Regional Public Defender Office for Capital Cases.

- a. Amounts appropriated above in Strategy D.1.1, Texas Indigent Defense Commission, include and amount not to exceed \$250,000 in fiscal year ~~2016~~2018 and \$250,000 in fiscal year ~~2017~~2019 in General Revenue that shall be used by the Commission as a grant to a for administration and operation of the Regional Public Defender Program ~~Program~~ Office for Capital Cases, that, is limited to a county that:
 - (1) Possesses a population as defined in Government Code §312.011(20) of fewer than 300,000; or
 - (2) (A) Possesses a population as defined in Government Code §312.011(20) of fewer than 800,000; and
 - (B) Shares a border with the Republic of Mexico.
- b. Any amounts remaining each fiscal year under subsection (a) above may be used to expand the Regional Public Defender Office for Capital Cases ~~s program~~ to serve other eligible counties.

Updating rider to adjust the years for the 2018-19 biennium and to accommodate program title change.

IV-28

19

~~**Contingency for SB 1970.**³ Contingent on the enactment of SB 1970, or similar legislation relating to amending electronic filing fees as established in Government Code §51.851, by the Eighty-fourth Legislature, the Office of Court Administration is appropriated an amount estimated to be \$4,237,354 each fiscal year from the General Revenue-Dedicated Statewide Electronic Filing System Account No. 5157 to implement the provisions of the legislation. In the even the legislation is not enacted, the Office of Court Administration is appropriated \$8,474,708 from General Revenue in fiscal year 2016 for the same purpose.~~

Requesting deletion of this rider. Due to the enactment of SB 1139, 84th Legislature, Regular Session, relating to the increase in certain filing fees and resulting in reallocation of funds previously included in Supplemental Appropriations Made in Riders to General Revenue-Dedicated Statewide Electronic Filing System Account No. 5157, this rider is no longer need. The appropriations are included in the base budget.

20

IV-28

~~**Performance Reporting for the Guardianship Compliance Project.** The Office of Court Administration shall report on the performance of the Guardianship Compliance Project in a study to the Legislature no later than January 1, 2017. This report shall include at least the following:~~

- ~~(1) the number of courts involved in the guardianship compliance project;~~
- ~~(2) the number of guardianship cases reviewed by guardianship compliance specialists;~~
- ~~(3) the number of reviewed guardianship cases found to be out of compliance with statutorily required reporting requirements;~~
- ~~(4) the number of cases reported to the court for ward well-being concerns or for financial exploitation concerns; and~~

~~(5) the status of technology developed to monitor guardianship filings.~~

Requesting deletion of this rider. The required report is expected to be submitted during the 2016-2017 biennium so the rider no longer applies.

18.36

IX-90

~~**Sec. 18.36 Contingency for HB 48.**¹² Contingent on enactment of House Bill 48, or similar legislation relating to the creation of a commission to review convictions after exoneration and to prevent wrongful convictions, by the Eighty Fourth Legislature, Regular Session, the Office of Court Administration is appropriated \$122,652 in each fiscal year of the 2016-2017 biennium out of the General Revenue Fund in Strategy A.1.1., Court Administration, to support the new commission and implement the legislation. In addition, the "Number of Full Time Equivalent (FTE)" positions for the Office of Court Administration is increased by 2.0 in each fiscal year of the 2016-2017 biennium.~~

Requesting deletion of this contingency rider. FTEs and funding have been moved into Article IV.

EXCEPTIONAL ITEM REQUEST

4.A. Exceptional Item Request Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/13/2016
 TIME: 12:30:07PM

Agency code: 212

Agency name: Office of Court Administration, Texas Judicial Council

| CODE | DESCRIPTION | Excp 2018 | Excp 2019 |
|--|--|------------------|------------------|
| | Item Name: Support Core Services for the Judicial Branch Item Priority: 1 IT Component: No Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies: 01-01-01 Court Administration | | |
| OBJECTS OF EXPENSE: | | | |
| 1001 | SALARIES AND WAGES | 380,000 | 380,000 |
| 1002 | OTHER PERSONNEL COSTS | 1,900 | 1,900 |
| 2004 | UTILITIES | 1,080 | 1,080 |
| 2005 | TRAVEL | 5,000 | 5,000 |
| 2009 | OTHER OPERATING EXPENSE | 18,565 | 19,575 |
| TOTAL, OBJECT OF EXPENSE | | \$406,545 | \$407,555 |
| METHOD OF FINANCING: | | | |
| 1 | General Revenue Fund | 406,545 | 407,555 |
| TOTAL, METHOD OF FINANCING | | \$406,545 | \$407,555 |
| FULL-TIME EQUIVALENT POSITIONS (FTE): | | 1.00 | 1.00 |

DESCRIPTION / JUSTIFICATION:

Over the years, OCA has been given increased responsibilities for programs with a far-reaching impact on Texas courts and the public. OCA supports every court and Judicial Branch agency to some degree. Therefore, OCA must maintain its core services and administrative backbone to ensure its efforts continue to fully serve Texans. These existing core programs have been in existence at OCA since its inception in 1977. This exceptional item would allow OCA to provide permanent merit increases to staff, as appropriate. Also included in the amounts above are funds necessary to create a new position dedicated to leading the state in court security best practices and emergency preparedness. As a result of the increasing danger to our court staff, evidenced by the shooting of a State District Judge in November of 2015 on private property, the Texas Judicial Council and Supreme Court support the creation of a resource dedicated to keeping our courtrooms and court staff safe.

EXTERNAL/INTERNAL FACTORS:

OCA has completed a salary comparison analysis with similar positions in other state agencies that shows 46% of OCA employees are paid below the state average for similar positions. Despite increasing responsibilities and expectations, OCA's budget does not allow for permanent salary increases for most of its staff. In FY12, OCA was able to give permanent increases to only 10% of its staff; 17 other mid-size agencies gave permanent increases to between 14% and 71% of their employees. In FY13 and 14, OCA gave permanent increases to only 12% and 4% of its staff, respectively. In FY15 and FY16, to date, OCA has given 29% of employees permanent increases. Lack of funding flexibility has made it very difficult to recruit for vacant positions. Positions remain open longer. On average it took the following number of days to fill vacant positions: 57.35 days in FY13; 70.88 days in FY14; 75.91 days in FY15 and 49.3 days in FY16 to date. In addition, with 1/3 of our employees eligible for retirement, lump sum termination payment liability remains a concern.

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| <u>CODE</u> | <u>DESCRIPTION</u> | <u>Excp 2018</u> | <u>Excp 2019</u> |
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|-------------|--------------------|------------------|------------------|

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

Funding requested for permanent increases and security-focused personnel are anticipated to remain within OCA's base funding in future biennia in order to maintain and recruit professional staff. A 3% cost of living increase has been included.

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

| <u>2020</u> | <u>2021</u> | <u>2022</u> |
|-------------|-------------|-------------|
| \$412,052 | \$424,413 | \$437,145 |

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Agency name: Office of Court Administration, Texas Judicial Council

| CODE | DESCRIPTION | Excp 2018 | Excp 2019 |
|--|--|--------------------|--------------------|
| | Item Name: Enhance Judicial Services to the Elderly and Incapacitate-Guardianship Program Compliance Item Priority: 2 IT Component: Yes Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies: 01-01-01 Court Administration 01-01-02 Information Technology | | |
| OBJECTS OF EXPENSE: | | | |
| 1001 | SALARIES AND WAGES | 2,386,800 | 2,386,800 |
| 1002 | OTHER PERSONNEL COSTS | 11,475 | 11,475 |
| 2003 | CONSUMABLE SUPPLIES | 97,191 | 97,191 |
| 2005 | TRAVEL | 270,000 | 270,000 |
| 2009 | OTHER OPERATING EXPENSE | 286,010 | 177,110 |
| TOTAL, OBJECT OF EXPENSE | | \$3,051,476 | \$2,942,576 |
| METHOD OF FINANCING: | | | |
| 1 | General Revenue Fund | 3,051,476 | 2,942,576 |
| TOTAL, METHOD OF FINANCING | | \$3,051,476 | \$2,942,576 |
| FULL-TIME EQUIVALENT POSITIONS (FTE): | | 36.00 | 36.00 |

DESCRIPTION / JUSTIFICATION:

Pursuant to a recommendation from the Texas Judicial Council, the Office of Court Administration (OCA) initiated the Guardianship Compliance Pilot Project to assist the courts with reviewing and auditing guardianship filings for the elderly and incapacitated persons to determine if guardians are following statutorily-required reporting and identifying exploitation and/or neglect of persons under guardianship. The pilot, initiated in November 2015, has provided sufficient information to suggest a need to expand the pilot project statewide. Following the review of approximately 2,000 guardianship files, the preliminary findings in six counties where the pilot has operated have revealed significant issues with guardians complying with statutory requirements and indications of financial exploitation. This exceptional item will allow OCA to expand the guardianship compliance pilot project to a statewide program to assist the courts with compliance in guardianship cases by adding 25 guardianship compliance specialists, two managers to oversee and assist in the project, and an additional nine related operational staff to implement the project. This is a new initiative.

EXTERNAL/INTERNAL FACTORS:

The combination of the number of Texans over the age of 65 is expected to double in size by 2030 to almost 6 million, approximately 53,000 active guardianships in the Texas (20,000 of which are in courts without sufficient resources to review guardianship reports), and an estimated \$2.5 billion in assets under court and guardian control, poses a high risk for exploitation and neglect. Additionally, statutory probate courts in Texas have access to court-appointed court auditors and investigators to review guardianship filings for potential exploitation and/or neglect. However, most judges hearing guardianship cases (primarily the constitutional county courts and some statutory county courts) do not have access to these resources.

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|------|-------------|-----------|-----------|

DESCRIPTION OF IT COMPONENT INCLUDED IN EXCEPTIONAL ITEM:

The program will require acquisition of 36 notebook computers, software installation, digital visual interface (DVI) cables, monitors, air cards, cell phones, monthly cell phone and data charges for staff.

IS THIS IT COMPONENT RELATED TO A NEW OR CURRENT PROJECT?

NEW

PROPOSED SOFTWARE EXAMPLES (Client-side, server-side, Midrange and Mainframe)

Microsoft Office Mobile

PROPOSED HARDWARE EXAMPLES (Desktop, Laptop, Tablets, Servers, Mainframes, Printers and Monitors)

Notebook computers, DVI cables, monitors, air cards, cell phones.

DEVELOPMENT COST AND OTHER COSTS

Notebook computers, installation, DVI cable and monitors (\$2,875 each x 36 = \$103,500). Microsoft Office Mobile, for 27 compliance specialists in 2018 only(\$990 x 27 = \$26,730); cell phones in 2018 only (27 x \$200 = \$5,400); Air cards per year (27 x \$40/mo. = \$1,080), monthly cell service/data per year (27 x \$50/mo. = \$1,350).

TYPE OF PROJECT

Acquisition and Refresh of Hardware and Software

ALTERNATIVE ANALYSIS

If not funded, staff would have to provide their own cell phones; computer equipment and software would be absorbed through OCA's current hardware rotation, potentially reducing access to the latest technology to the courts.

ESTIMATED IT COST

| 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 | Total Over Life of Project |
|------|------|-----------|----------|----------|----------|-----------|----------------------------|
| \$0 | \$0 | \$138,060 | \$29,160 | \$33,534 | \$38,564 | \$147,849 | \$387,167 |

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

Established program will continue to incur salary, consumable, travel and operating costs unless it is eliminated or downsized. A 3% inflation factor has been included.

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

| 2020 | 2021 | 2022 |
|-------------|-------------|-------------|
| \$3,030,853 | \$3,121,779 | \$3,215,432 |

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Agency name: Office of Court Administration, Texas Judicial Council

| CODE | DESCRIPTION | Excp 2018 | Excp 2019 |
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| | Item Name: Strengthen Judicial Services to Families-Children's Courts Item Priority: 3 IT Component: Yes Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies: 01-01-02 Information Technology 02-01-02 Child Protection Courts Program | | |
| OBJECTS OF EXPENSE: | | | |
| 1001 | SALARIES AND WAGES | 1,109,221 | 1,109,221 |
| 1002 | OTHER PERSONNEL COSTS | 5,548 | 5,548 |
| 2003 | CONSUMABLE SUPPLIES | 24,031 | 24,031 |
| 2005 | TRAVEL | 95,000 | 95,000 |
| 2009 | OTHER OPERATING EXPENSE | 468,583 | 216,583 |
| TOTAL, OBJECT OF EXPENSE | | \$1,702,383 | \$1,450,383 |
| METHOD OF FINANCING: | | | |
| 1 | General Revenue Fund | 1,463,133 | 1,367,733 |
| 777 | Interagency Contracts | 239,250 | 82,650 |
| TOTAL, METHOD OF FINANCING | | \$1,702,383 | \$1,450,383 |
| FULL-TIME EQUIVALENT POSITIONS (FTE): | | 15.00 | 15.00 |

DESCRIPTION / JUSTIFICATION:

The Regional Presiding Judges have identified a need for 4 additional child protection courts (CPC) based on requests received from trial court judges and increases in the CPC caseload. This item would fund 4 new CPCs (8.0 FTEs) to handle continually growing caseloads and provide adequate support to assist the increased number of CPCs (2.0 FTEs). The CPC program initially started with federal funds and became part of OCA in FY2001. OCA assumed responsibility for the CSC program in FY1993. The domestic violence resource program was initiated in FY2010. Not outside contract will be utilized. OCA provides technology for the Judicial Branch, including all Texas appellate courts, the child protection courts, the administrative judicial regions, and 5 state judicial agencies. Hardware support to the CPSs is provided by the Office of the Attorney General (OAG), who is a party to the cases heard. This conflict of interest is a concern to both the courts and the OAG. This exceptional item seeks to address this concern and provide enhanced technology support to the other Judicial Branch judges and employees across the state. OCA currently staffs two FTEs in Austin to provide direct technology support to 883 users. This support ratio of 441.5:1 is well above the Gartner recommended ratio of 70 users for every 1 support staff (70:1). This exceptional item would provide regional technology support staff (6.0); provide direct technology support outside of Austin; complement support available at the larger courts of appeals and would bring the support ratio to 110:1, still beyond the industry standard. Without these staff, judges and employees will continue to experience extended wait times for support. The exceptional item would also provide a project manager (1.0 FTE) to oversee the additional technology projects that are led by OCA.

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Agency name: Office of Court Administration, Texas Judicial Council

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|------|-------------|-----------|-----------|

EXTERNAL/INTERNAL FACTORS:

OCA's 24 child protection courts (CPCs) operate in 130 counties, with 19 associate judges, 8 assigned judges, and 21 court coordinators/reporters. In FY2015, these courts held 32,444 hearings and issued 6,433 final orders. Based on the 2007 Weighted Caseload Study, OCA has identified that a reasonable annual CPC caseload is about 238 cases. In addition to the existing workload, several clusters of counties have child protection caseloads exceeding 328, and the local trial judges are requesting that their child protection caseloads be included in a court that would be established specifically to focus on these important cases. With electronic filing, document access, and the general pervasiveness of automation, OCA's supported users are working on more complex software packages with higher support needs. The children's court staff are generally more mobile than staff located in Austin, bringing heavier hardware usage and support needs.

In Austin, as OCA rolls out new software and hardware, existing FTEs will be busy supporting Austin staff with little time to support remote staff.

DESCRIPTION OF IT COMPONENT INCLUDED IN EXCEPTIONAL ITEM:

Providing regional technology support to the Child Support Courts would require the acquisition of computers

IS THIS IT COMPONENT RELATED TO A NEW OR CURRENT PROJECT?

NEW

PROPOSED SOFTWARE EXAMPLES (Client-side, server-side, Midrange and Mainframe)

Microsoft Mobile Office

PROPOSED HARDWARE EXAMPLES (Desktop, Laptop, Tablets, Servers, Mainframes, Printers and Monitors)

Desktop computers, Notebook computers, monitors, air cards, cell phones.

DEVELOPMENT COST AND OTHER COSTS

FY2018 - Desktop Computers/monitors for OCA regional staff (\$1,800 x 2 = \$3,600); Notebook computers for OCA regional staff (\$2,500 x 5 = \$12,500); cell phones for OCA regional staff (\$200 x 5 = \$1,200); Computer Equipment-Mobile Office for CSC Associate judges and court coordinators - (\$4,125 x 87 = 358,875) (Two-thirds of this cost will be reimbursed by the federal government through IAC with OAG); Licensing for OCA regional staff 0 (345 x 7 = \$2,415). FY2019 - Mobile Office licensing for CSC Associate judges and court coordinators (\$1,425 x 87 = \$123,975) (Two-thirds of this cost will be reimbursed by the federal government through IAC with OAG); Licensing for OCA regional staff 0 (345 x 7 = \$2,415).

TYPE OF PROJECT

Acquisition and Refresh of Hardware and Software

ALTERNATIVE ANALYSIS

OCA would be unable to fund these IT requirements if not provided the appropriations. An alternative is for the Office of the Attorney General to provide both the General Revenue match and federal funding through and IAC with the OCA.

ESTIMATED IT COST

| 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 | Total Over Life of Project |
|------|------|-----------|----------|----------|----------|-----------|----------------------------|
| \$0 | \$0 | \$139,140 | \$43,740 | \$45,052 | \$46,404 | \$155,215 | \$429,551 |

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|-------------|--------------------|------------------|------------------|

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

Funding requested for new court staff and operating costs are anticipated to remain within OCA's base funding in future biennia in order to maintain the new courts. A 3% cost of living increase has been included for each biennium.

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

| <u>2020</u> | <u>2021</u> | <u>2022</u> |
|-------------|-------------|-------------|
| \$1,486,692 | \$1,490,905 | \$1,802,339 |

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Agency name: **Office of Court Administration, Texas Judicial Council**

| CODE | DESCRIPTION | Excp 2018 | Excp 2019 |
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| | Item Name: Restoration of the 4% Reduction in Funding Item Priority: 5 IT Component: No Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies: 04-01-01 Improve Indigent Defense Practices and Procedures | | |

OBJECTS OF EXPENSE:

| | | | |
|---------------------------------|--------|------------------|------------------|
| 4000 | GRANTS | 1,434,535 | 1,434,535 |
| TOTAL, OBJECT OF EXPENSE | | 1,434,535 | 1,434,535 |

METHOD OF FINANCING:

| | | | |
|-----------------------------------|--------------|------------------|------------------|
| 5073 | Fair Defense | 1,434,535 | 1,434,535 |
| TOTAL, METHOD OF FINANCING | | 1,434,535 | 1,434,535 |

DESCRIPTION / JUSTIFICATION:

The Commission respectfully requests restoration of the 4% reduction because the program is underfunded at current levels. Indigent defense representation is not a discretionary expense, but rather a requirement by the U.S. and Texas Constitutions and an important part of operating a fair criminal justice system. State financial assistance to counties for indigent defense has driven much needed improvements in access to counsel. The reduction in state grants to counties to support indigent defense will need to be absorbed by Texas Counties, who will be forced to make up the difference.

EXTERNAL/INTERNAL FACTORS:

Texas counties are already struggling to fund approximately 88% of indigent defense costs and these costs continue to rise at a rate of approximately \$10 million per year. An additional cut of \$2.87 million will further hamper the ability of county governments to operate effective indigent defense systems that are an essential element of a fair adversarial justice system. Using FY15 data, a 4% cut of \$2.87 million equates to over 13,000 appointed misdemeanor cases or over 4,000 appointed felony cases. This impacts counties adversely and will increase the risk of noncompliance with constitutional requirements and state law due to mounting budget pressures on local governments.

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

Restoration of the reduced funding would continue to be available for grants in the out years.

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

| 2020 | 2021 | 2022 |
|-------------|-------------|-------------|
| \$1,434,535 | \$1,434,535 | \$1,434,535 |

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Agency name: **Office of Court Administration, Texas Judicial Council**

| CODE | DESCRIPTION | Excp 2018 | Excp 2019 |
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| | Item Name: Support 50/50 State-County Funding for Statewide Regional Public Defender Office for Capital Cases Item Priority: 6 IT Component: No Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies: 04-01-01 Improve Indigent Defense Practices and Procedures | | |
| OBJECTS OF EXPENSE: | | | |
| 4000 | GRANTS | 1,450,000 | 1,450,000 |
| TOTAL, OBJECT OF EXPENSE | | \$1,450,000 | \$1,450,000 |
| METHOD OF FINANCING: | | | |
| 1 | General Revenue Fund | 1,450,000 | 1,450,000 |
| TOTAL, METHOD OF FINANCING | | \$1,450,000 | \$1,450,000 |

DESCRIPTION / JUSTIFICATION:

In the most serious criminal cases where the death penalty is a possibility, the State has a unique interest in ensuring that appropriate defense representation is provided consistent with Constitutional standards and professional standards promulgated by the State Bar of Texas. In many parts of the state it can be difficult to find attorneys qualified to handle death penalty cases, as this type of representation is one of the most complex and challenging areas of practice.

The Regional Public Defender Office for Capital Cases (RPDO) is operated by Lubbock County and now serves 179 counties spanning all nine administrative judicial regions. Under current policy most counties are eligible to participate by paying membership dues. In exchange for paying dues, when a member county has a capital murder case, a qualified defense team is provided by the program at no additional cost. The costs associated with a capital murder case have the potential to decimate the budgets of smaller counties. Member dues are determined by county population and capital case frequency. The Lubbock RPDO provides a way for counties to have greater budget predictability and mitigate the dramatic impact a capital case can have and help ensure that these most serious cases are tried effectively the first time.

Based on the statewide impact and critical services that the office provides across the entire State, the Commission requests General Revenue equal to one-half of the office's operating budget, with the balance funded through membership dues of participating counties. In the FY16/17 biennium, \$2.6 million in GR was appropriated for the RPDO, which is approximately 24% of the program cost. An additional appropriation of \$2.9 million will provide for a sustainable 50/50 cost sharing arrangement with participating counties and ensure that the program remains affordable and accessible to all eligible counties throughout Texas that wish to participate.

EXTERNAL/INTERNAL FACTORS:

The Commission provided start-up funding through its discretionary grant program for each judicial region in the state which has helped make membership more affordable for counties. This additional GR would help ensure the long-term stability of the program as the Commission's start-up grants come to a close in 2017. As those grants close out, counties will be forced to make up the difference through higher membership dues. Many of those counties that have not joined the program cited cost as the primary

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obstacle. Because of the many budget pressures on county government, the more membership costs rise, the greater the risk that counties will drop out of the program, which could undermine its long-term viability.

Texas counties are burdened by the increased costs associated with their compliance with the Fair Defense Act. By devoting GR to support this critical indigent defense service for counties, the State will take a significant step toward funding the underfunded indigent defense mandates. In addition, this GR investment will better ensure consistency and fairness in handling the state's most serious criminal cases.

The RPDO is an award winning program with a proven track record of effectiveness that provides genuine value to Texas counties. The National Association of Counties (NACO) presented Lubbock County with an Achievement Award for pioneering the Regional Public Defender Office. NACO presents Achievement Awards to recognize unique, innovative county programs. Applications for the awards are judged in part by how they modernize county government and increase services to county residents. The Texas Association of Counties Leadership Foundation also awarded Lubbock its Best Practices award for the Regional Public Defender Office.

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

If appropriated, funding provided for the Regional Public Defender Office would be available in TIDC's base budget and available for continuance of the program.

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

| | | |
|-------------|-------------|-------------|
| 2020 | 2021 | 2022 |
| <hr/> | <hr/> | <hr/> |
| \$1,450,000 | \$1,450,000 | \$1,450,000 |

Agency code: 212

Agency name: **Office of Court Administration, Texas Judicial Council**

| CODE | DESCRIPTION | Excp 2018 | Excp 2019 |
|------|---|-----------|-----------|
| | Item Name: Support Statewide Funding for Early Identification and Representation of Defendants with Mental Illness Item Priority: 7 IT Component: No Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies: 04-01-01 Improve Indigent Defense Practices and Procedures | | |

OBJECTS OF EXPENSE:

| | | | |
|---------------------------------|--------|------------------|------------------|
| 4000 | GRANTS | 5,000,000 | 5,000,000 |
| TOTAL, OBJECT OF EXPENSE | | 5,000,000 | 5,000,000 |

METHOD OF FINANCING:

| | | | |
|-----------------------------------|----------------------|------------------|------------------|
| 1 | General Revenue Fund | 5,000,000 | 5,000,000 |
| TOTAL, METHOD OF FINANCING | | 5,000,000 | 5,000,000 |

DESCRIPTION / JUSTIFICATION:

The Commission requests \$10 Million in General Revenue over the biennium to provide early identification and specialized representation for defendants with mental illness and incentivize statewide implementation of Articles 16.22 and 17.032, Code of Criminal Procedure.

Over the FY14/15 biennium, specialized mental health public defender programs in seven counties disposed of approximately 12,400 cases at a cost of \$10 million. Additional state funding of \$10 million over the biennium would provide targeted grants to enhance existing defender programs and establish specialized defenders in counties currently without these programs. Articles 16.22 and 17.032, CCP, provide for the early identification and release on a personal recognizance bond of arrestees with mental illness if an evaluation and treatment plan is in place. Creating and enhancing defender programs to assist with implementation of Articles 16.22 and 17.032 statewide would provide access to specialized counsel and mental health professionals shortly after arrest, resulting in fewer jail days and earlier case resolution for arrestees.

EXTERNAL/INTERNAL FACTORS:

According to research from the Meadows Foundation, Texas spends over \$650 million in local justice system costs each year due to inadequately treated mental illness and substance abuse disorders. These costs are disproportionately allocated to "super-utilizers" cycling through the system largely because of unaddressed mental health needs. "In Texas, there are 22,000 people in poverty who suffer from mental illness and repeatedly use jails, ERs, crisis services, EMS, and hospitals. Another 14,000 are more deeply involved in the criminal justice system."

Specialized mental health indigent defense programs can improve defendant outcomes and reduce recidivism by providing assistance that may help stabilize people and connect them with support that may address some of the causes of the behaviors that have placed them in the criminal justice system. By providing representation at the very earliest stage in the case, these programs can identify and divert eligible non-violent defendants from jail to appropriate treatment programs and community based services that focus on long-term stabilization.

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|-------------|--------------------|------------------|------------------|
|-------------|--------------------|------------------|------------------|

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

If appropriated, funding for representing the mentally ill are anticipated to be available in TIDC's base budget for grants in the out-years.

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

| | <u>2020</u> | <u>2021</u> | <u>2022</u> |
|--|-------------|-------------|-------------|
| | \$5,000,000 | \$5,000,000 | \$5,000,000 |

Agency code: 212

Agency name: Office of Court Administration, Texas Judicial Council

| CODE | DESCRIPTION | Excp 2018 | Excp 2019 |
|--|--|----------------------|----------------------|
| | Item Name: Provide Local Property Tax Relief to Texas Counties by Fully Funding Criminal Indigent Defense | | |
| | Item Priority: 8 | | |
| | IT Component: No | | |
| | Anticipated Out-year Costs: Yes | | |
| | Involve Contracts > \$50,000: Yes | | |
| | Includes Funding for the Following Strategy or Strategies: 04-01-01 Improve Indigent Defense Practices and Procedures | | |
| OBJECTS OF EXPENSE: | | | |
| 1001 | SALARIES AND WAGES | 310,000 | 310,000 |
| 1002 | OTHER PERSONNEL COSTS | 1,550 | 1,550 |
| 2003 | CONSUMABLE SUPPLIES | 1,600 | 1,600 |
| 2004 | UTILITIES | 1,400 | 1,400 |
| 2005 | TRAVEL | 15,000 | 15,000 |
| 2009 | OTHER OPERATING EXPENSE | 108,000 | 8,000 |
| 4000 | GRANTS | 103,162,450 | 108,262,450 |
| | TOTAL, OBJECT OF EXPENSE | \$103,600,000 | \$108,600,000 |
| METHOD OF FINANCING: | | | |
| 1 | General Revenue Fund | 103,600,000 | 108,600,000 |
| | TOTAL, METHOD OF FINANCING | \$103,600,000 | \$108,600,000 |
| FULL-TIME EQUIVALENT POSITIONS (FTE): | | 4.00 | 4.00 |

DESCRIPTION / JUSTIFICATION:

The Commission seeks full state funding (100%) for criminal indigent defense, but suggests a stepped-up funding approach over a six-year period. Currently, counties bear most of the financial burden of complying with constitutional and state law in funding criminal indigent defense, with the state providing only about 12 percent of the costs through Commission grant programs. In an effort to both accommodate the state’s transition to fully funding these constitutionally mandated expenses and also allow for the Commission to properly prepare for transition in administering a fully-state funded criminal indigent defense system, the Commission requests 50% funding for the next biennium, with a goal of recommending 75% funding for FY20/21, and 100% funding for FY22/23.

In 1963, the United States Supreme Court held in *Gideon v. Wainwright* that all criminal defendants charged with a felony had the right to be represented by counsel, regardless of their ability to afford an attorney. This federal constitutional mandate was left to the states to implement and finance. In turn, the State delegated its responsibility to provide and pay for these services to counties and the local property taxpayer.

Revenues received from this exceptional item would be distributed through the Commission’s formula and discretionary grant programs. These grants would help address access to counsel, attorney workload, and quality of representation issues across the State. This exceptional item would also provide for a fiscal analyst (1.0 FTE), and three policy analysts (3.0 FTEs), one with mental health expertise, associated expenses, and funding to conduct a study on how best to transition to full state funding. If this

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exceptional item is fully funded, then exceptional items #1,2, and 3 would be paid out of this revenue.

EXTERNAL/INTERNAL FACTORS:

In 2001, the Texas legislature passed the Texas Fair Defense Act (FDA), creating the Commission to provide some state funding and oversight. Since passage of the FDA, state grants have covered a small percentage of the counties' indigent defense costs, while spending on indigent defense in Texas has risen from \$91.4 million to \$238 million annually. In FY16/17, the Legislature appropriated \$7.5 million in General Revenue for the first time to help defray the 160% increase in spending since the FDA's passage. With statewide indigent defense costs increasing at approximately \$10 million each year and GR-Dedicated funds decreasing, the GR appropriated to close the indigent defense funding gap for the current biennium only partially mitigated budget pressures.

All 254 counties have requested the State to fully fund indigent defense through resolutions adopted by the County Judges and Commissioners Association of Texas and by Commissioners Court resolutions adopted in Texas's urban counties.

Most states fully fund the constitutional requirement to provide counsel to indigent defendants in criminal cases. Other states delegate funding and supervisory responsibilities for indigent defense to local entities in a manner similar to Texas. Some of these states have faced successful litigation holding the state government liable when local funding proves insufficient to deliver defense services that meet constitutional standards. This exceptional item request is proffered to help assure Texas meets its constitutional obligations, regardless of the financial resources available in each of its counties.

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

Appropriations for fully funding criminal indigent defense would be available in the out-years.

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

| <u>2020</u> | <u>2021</u> | <u>2022</u> |
|---------------|---------------|---------------|
| \$222,200,000 | \$222,200,000 | \$232,200,000 |

APPROXIMATE PERCENTAGE OF EXCEPTIONAL ITEM : 0.05%

CONTRACT DESCRIPTION :

This would be a consulting contract for \$100,000 to conduct a study of how best to transition to full state funding. This study would consider the best way to distribute the funds and provide local property tax relief. It would also address needed enhancements in indigent defense services, equitable means to distribute the funds, appropriate caseload and other quality controls, and any needed amendments to the FDA. This contract would be for 1 year.

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

| Code | Description | Excp 2018 | Excp 2019 |
|---|-------------------------|------------------|------------------|
| Item Name: Support Core Services for the Judicial Branch | | | |
| Allocation to Strategy: 1-1-1 Court Administration | | | |
| OBJECTS OF EXPENSE: | | | |
| 1001 | SALARIES AND WAGES | 380,000 | 380,000 |
| 1002 | OTHER PERSONNEL COSTS | 1,900 | 1,900 |
| 2004 | UTILITIES | 1,080 | 1,080 |
| 2005 | TRAVEL | 5,000 | 5,000 |
| 2009 | OTHER OPERATING EXPENSE | 18,565 | 19,575 |
| TOTAL, OBJECT OF EXPENSE | | \$406,545 | \$407,555 |
| METHOD OF FINANCING: | | | |
| 1 General Revenue Fund | | 406,545 | 407,555 |
| TOTAL, METHOD OF FINANCING | | \$406,545 | \$407,555 |
| FULL-TIME EQUIVALENT POSITIONS (FTE): | | 1.0 | 1.0 |

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

| Code | Description | Excp 2018 | Excp 2019 |
|--|-------------------------|---|----------------------|
| Item Name: | | Enhance Judicial Services to the Elderly and Incapacitate-Guardianship Program Compliance | |
| Allocation to Strategy: | | 1-1-1 | Court Administration |
| OBJECTS OF EXPENSE: | | | |
| 1001 | SALARIES AND WAGES | 2,386,800 | 2,386,800 |
| 1002 | OTHER PERSONNEL COSTS | 11,475 | 11,475 |
| 2003 | CONSUMABLE SUPPLIES | 97,191 | 97,191 |
| 2005 | TRAVEL | 270,000 | 270,000 |
| 2009 | OTHER OPERATING EXPENSE | 147,950 | 147,950 |
| TOTAL, OBJECT OF EXPENSE | | \$2,913,416 | \$2,913,416 |
| METHOD OF FINANCING: | | | |
| 1 General Revenue Fund | | 2,913,416 | 2,913,416 |
| TOTAL, METHOD OF FINANCING | | \$2,913,416 | \$2,913,416 |
| FULL-TIME EQUIVALENT POSITIONS (FTE): | | 36.0 | 36.0 |

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

| Code | Description | Excp 2018 | Excp 2019 |
|---|-------------------------|------------------|-----------------|
| Item Name: Enhance Judicial Services to the Elderly and Incapacitate-Guardianship Program Compliance | | | |
| Allocation to Strategy: 1-1-2 Information Technology | | | |
| OBJECTS OF EXPENSE: | | | |
| 2009 | OTHER OPERATING EXPENSE | 138,060 | 29,160 |
| TOTAL, OBJECT OF EXPENSE | | \$138,060 | \$29,160 |
| METHOD OF FINANCING: | | | |
| 1 | General Revenue Fund | 138,060 | 29,160 |
| TOTAL, METHOD OF FINANCING | | \$138,060 | \$29,160 |

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

| Code | Description | Excp 2018 | Excp 2019 |
|--|-------------------------|------------------|------------------|
| Item Name: Strengthen Judicial Services to Families-Children's Courts | | | |
| Allocation to Strategy: 1-1-2 Information Technology | | | |
| OBJECTS OF EXPENSE: | | | |
| 1001 | SALARIES AND WAGES | 470,421 | 470,421 |
| 1002 | OTHER PERSONNEL COSTS | 2,352 | 2,352 |
| 2003 | CONSUMABLE SUPPLIES | 24,031 | 24,031 |
| 2005 | TRAVEL | 55,000 | 55,000 |
| 2009 | OTHER OPERATING EXPENSE | 408,095 | 156,095 |
| TOTAL, OBJECT OF EXPENSE | | \$959,899 | \$707,899 |
| METHOD OF FINANCING: | | | |
| 1 | General Revenue Fund | 720,649 | 625,249 |
| 777 | Interagency Contracts | 239,250 | 82,650 |
| TOTAL, METHOD OF FINANCING | | \$959,899 | \$707,899 |
| FULL-TIME EQUIVALENT POSITIONS (FTE): | | 7.0 | 7.0 |

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

| Code | Description | Excp 2018 | Excp 2019 |
|--|--|------------------|------------------|
| Item Name: Strengthen Judicial Services to Families-Children's Courts | | | |
| Allocation to Strategy: 2-1-2 Child Protection Courts Program | | | |
| OUTPUT MEASURES: | | | |
| <u>1</u> | Number of Hearings | 5,407.00 | 5,407.00 |
| <u>2</u> | Number of Children Who Have Received a Final Order | 1,072.00 | 1,072.00 |
| OBJECTS OF EXPENSE: | | | |
| 1001 | SALARIES AND WAGES | 638,800 | 638,800 |
| 1002 | OTHER PERSONNEL COSTS | 3,196 | 3,196 |
| 2005 | TRAVEL | 40,000 | 40,000 |
| 2009 | OTHER OPERATING EXPENSE | 60,488 | 60,488 |
| TOTAL, OBJECT OF EXPENSE | | \$742,484 | \$742,484 |
| METHOD OF FINANCING: | | | |
| | 1 General Revenue Fund | 742,484 | 742,484 |
| TOTAL, METHOD OF FINANCING | | \$742,484 | \$742,484 |
| FULL-TIME EQUIVALENT POSITIONS (FTE): | | 8.0 | 8.0 |

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

| Code | Description | Excp 2018 | Excp 2019 |
|--|--------------|--------------------|--------------------|
| Item Name: Restoration of the 4% Reduction in Funding | | | |
| Allocation to Strategy: 4-1-1 Improve Indigent Defense Practices and Procedures | | | |
| OBJECTS OF EXPENSE: | | | |
| 4000 | GRANTS | 1,434,535 | 1,434,535 |
| TOTAL, OBJECT OF EXPENSE | | \$1,434,535 | \$1,434,535 |
| METHOD OF FINANCING: | | | |
| 5073 | Fair Defense | 1,434,535 | 1,434,535 |
| TOTAL, METHOD OF FINANCING | | \$1,434,535 | \$1,434,535 |

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

| Code | Description | Excp 2018 | Excp 2019 |
|--|----------------------|--------------------|--------------------|
| Item Name: Support 50/50 State-County Funding for Statewide Regional Public Defender Office for Capital Cases | | | |
| Allocation to Strategy: 4-1-1 Improve Indigent Defense Practices and Procedures | | | |
| OBJECTS OF EXPENSE: | | | |
| 4000 | GRANTS | 1,450,000 | 1,450,000 |
| TOTAL, OBJECT OF EXPENSE | | \$1,450,000 | \$1,450,000 |
| METHOD OF FINANCING: | | | |
| 1 | General Revenue Fund | 1,450,000 | 1,450,000 |
| TOTAL, METHOD OF FINANCING | | \$1,450,000 | \$1,450,000 |

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

| Code | Description | Excp 2018 | Excp 2019 |
|---|--|--------------------|--------------------|
| Item Name: Support Statewide Funding for Early Identification and Representation of Defendants with Mental Illness | | | |
| Allocation to Strategy: 4-1-1 Improve Indigent Defense Practices and Procedures | | | |
| OUTPUT MEASURES: | | | |
| | 1 # Monitoring Visits, Technical Support Visits, & Trainings Conducted | 9.00 | 9.00 |
| OBJECTS OF EXPENSE: | | | |
| | 4000 GRANTS | 5,000,000 | 5,000,000 |
| TOTAL, OBJECT OF EXPENSE | | \$5,000,000 | \$5,000,000 |
| METHOD OF FINANCING: | | | |
| | 1 General Revenue Fund | 5,000,000 | 5,000,000 |
| TOTAL, METHOD OF FINANCING | | \$5,000,000 | \$5,000,000 |

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

| Code | Description | Excp 2018 | Excp 2019 |
|--|---|----------------------|----------------------|
| Item Name: Provide Local Property Tax Relief to Texas Counties by Fully Funding Criminal Indigent Defense | | | |
| Allocation to Strategy: 4-1-1 Improve Indigent Defense Practices and Procedures | | | |
| OUTPUT MEASURES: | | | |
| | <u>1</u> # Monitoring Visits, Technical Support Visits, & Trainings Conducted | 32.00 | 32.00 |
| OBJECTS OF EXPENSE: | | | |
| 1001 | SALARIES AND WAGES | 310,000 | 310,000 |
| 1002 | OTHER PERSONNEL COSTS | 1,550 | 1,550 |
| 2003 | CONSUMABLE SUPPLIES | 1,600 | 1,600 |
| 2004 | UTILITIES | 1,400 | 1,400 |
| 2005 | TRAVEL | 15,000 | 15,000 |
| 2009 | OTHER OPERATING EXPENSE | 108,000 | 8,000 |
| 4000 | GRANTS | 103,162,450 | 108,262,450 |
| TOTAL, OBJECT OF EXPENSE | | \$103,600,000 | \$108,600,000 |
| METHOD OF FINANCING: | | | |
| | 1 General Revenue Fund | 103,600,000 | 108,600,000 |
| TOTAL, METHOD OF FINANCING | | \$103,600,000 | \$108,600,000 |
| FULL-TIME EQUIVALENT POSITIONS (FTE): | | 4.0 | 4.0 |

4.C. Exceptional Items Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/13/2016
TIME: 12:44:48PM

Agency Code: **212** Agency name: **Office of Court Administration, Texas Judicial Council**

GOAL: 1 Improve Processes and Report Information

OBJECTIVE: 1 Improve Judicial Processes and Report Information

STRATEGY: 1 Court Administration

Service Categories:

Service: 01 Income: NA Age: NA

| CODE DESCRIPTION | Exp 2018 | Exp 2019 |
|-------------------------|-----------------|-----------------|
|-------------------------|-----------------|-----------------|

OBJECTS OF EXPENSE:

| | | |
|----------------------------------|--------------------|--------------------|
| 1001 SALARIES AND WAGES | 2,766,800 | 2,766,800 |
| 1002 OTHER PERSONNEL COSTS | 13,375 | 13,375 |
| 2003 CONSUMABLE SUPPLIES | 97,191 | 97,191 |
| 2004 UTILITIES | 1,080 | 1,080 |
| 2005 TRAVEL | 275,000 | 275,000 |
| 2009 OTHER OPERATING EXPENSE | 166,515 | 167,525 |
| Total, Objects of Expense | \$3,319,961 | \$3,320,971 |

METHOD OF FINANCING:

| | | |
|---------------------------------|--------------------|--------------------|
| 1 General Revenue Fund | 3,319,961 | 3,320,971 |
| Total, Method of Finance | \$3,319,961 | \$3,320,971 |

| | | |
|--|------|------|
| FULL-TIME EQUIVALENT POSITIONS (FTE): | 37.0 | 37.0 |
|--|------|------|

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Support Core Services for the Judicial Branch

Enhance Judicial Services to the Elderly and Incapacitate-Guardianship Program Compliance

4.C. Exceptional Items Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/13/2016
TIME: 12:44:48PM

Agency Code: **212** Agency name: **Office of Court Administration, Texas Judicial Council**

GOAL: 1 Improve Processes and Report Information

OBJECTIVE: 1 Improve Judicial Processes and Report Information

STRATEGY: 2 Information Technology

Service Categories:

Service: 01 Income: NA Age: NA

| CODE DESCRIPTION | Exp 2018 | Exp 2019 |
|----------------------------------|--------------------|------------------|
| OBJECTS OF EXPENSE: | | |
| 1001 SALARIES AND WAGES | 470,421 | 470,421 |
| 1002 OTHER PERSONNEL COSTS | 2,352 | 2,352 |
| 2003 CONSUMABLE SUPPLIES | 24,031 | 24,031 |
| 2005 TRAVEL | 55,000 | 55,000 |
| 2009 OTHER OPERATING EXPENSE | 546,155 | 185,255 |
| Total, Objects of Expense | \$1,097,959 | \$737,059 |

METHOD OF FINANCING:

| | | |
|---------------------------------|--------------------|------------------|
| 1 General Revenue Fund | 858,709 | 654,409 |
| 777 Interagency Contracts | 239,250 | 82,650 |
| Total, Method of Finance | \$1,097,959 | \$737,059 |

FULL-TIME EQUIVALENT POSITIONS (FTE): 7.0 7.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Enhance Judicial Services to the Elderly and Incapacitate-Guardianship Program Compliance
 Strengthen Judicial Services to Families-Children's Courts

4.C. Exceptional Items Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/13/2016
TIME: 12:44:48PM

Agency Code: **212** Agency name: **Office of Court Administration, Texas Judicial Council**

GOAL: 2 Complete Children's Court Program Cases
 OBJECTIVE: 1 Complete Children's Court Program Cases
 STRATEGY: 2 Child Protection Courts Program

Service Categories:
 Service: 01 Income: NA Age: NA

| CODE DESCRIPTION | Exp 2018 | Exp 2019 |
|-------------------------|-----------------|-----------------|
|-------------------------|-----------------|-----------------|

OBJECTS OF EXPENSE:

| | | |
|----------------------------------|------------------|------------------|
| 1001 SALARIES AND WAGES | 638,800 | 638,800 |
| 1002 OTHER PERSONNEL COSTS | 3,196 | 3,196 |
| 2005 TRAVEL | 40,000 | 40,000 |
| 2009 OTHER OPERATING EXPENSE | 60,488 | 60,488 |
| Total, Objects of Expense | \$742,484 | \$742,484 |

METHOD OF FINANCING:

| | | |
|---------------------------------|------------------|------------------|
| 1 General Revenue Fund | 742,484 | 742,484 |
| Total, Method of Finance | \$742,484 | \$742,484 |

FULL-TIME EQUIVALENT POSITIONS (FTE):

| | |
|-----|-----|
| 8.0 | 8.0 |
|-----|-----|

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Strengthen Judicial Services to Families-Children's Courts

4.C. Exceptional Items Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/13/2016
TIME: 12:44:48PM

Agency Code: **212** Agency name: **Office of Court Administration, Texas Judicial Council**

GOAL: 4 Improve Indigent Defense Practices and Procedures
 OBJECTIVE: 1 Improve Indigent Defense Practices and Procedures
 STRATEGY: 1 Improve Indigent Defense Practices and Procedures

Service Categories:
 Service: 07 Income: NA Age: NA

| CODE DESCRIPTION | Exp 2018 | Exp 2019 |
|-------------------------|-----------------|-----------------|
|-------------------------|-----------------|-----------------|

OBJECTS OF EXPENSE:

| | | |
|----------------------------------|----------------------|----------------------|
| 1001 SALARIES AND WAGES | 310,000 | 310,000 |
| 1002 OTHER PERSONNEL COSTS | 1,550 | 1,550 |
| 2003 CONSUMABLE SUPPLIES | 1,600 | 1,600 |
| 2004 UTILITIES | 1,400 | 1,400 |
| 2005 TRAVEL | 15,000 | 15,000 |
| 2009 OTHER OPERATING EXPENSE | 108,000 | 8,000 |
| 4000 GRANTS | 111,046,985 | 116,146,985 |
| Total, Objects of Expense | \$111,484,535 | \$116,484,535 |

METHOD OF FINANCING:

| | | |
|---------------------------------|----------------------|----------------------|
| 1 General Revenue Fund | 110,050,000 | 115,050,000 |
| 5073 Fair Defense | 1,434,535 | 1,434,535 |
| Total, Method of Finance | \$111,484,535 | \$116,484,535 |

FULL-TIME EQUIVALENT POSITIONS (FTE):

| | |
|-----|-----|
| 4.0 | 4.0 |
|-----|-----|

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Restoration of the 4% Reduction in Funding
 Support 50/50 State-County Funding for Statewide Regional Public Defender Office for Capital Cases
 Support Statewide Funding for Early Identification and Representation of Defendants with Mental Illness
 Provide Local Property Tax Relief to Texas Counties by Fully Funding Criminal Indigent Defense

CAPITAL BUDGET REQUEST

5.A. Capital Budget Project Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/4/2016**
 TIME : **3:31:52PM**

Agency code: **212**

Agency name: **Office of Court Administration, Texas Judicial Council**

Category Code / Category Name

Project Sequence/Project Id/ Name

| OOE / TOF / MOF CODE | | | Est 2016 | Bud 2017 | BL 2018 | BL 2019 | |
|--|------|-------------------------|-----------------|------------------|------------------|--------------------|------------|
| 5005 Acquisition of Information Resource Technologies | | | | | | | |
| <i>2/2 Computer Equipment and Software</i> | | | | | | | |
| OBJECTS OF EXPENSE | | | | | | | |
| <u>Capital</u> | | | | | | | |
| General | 2009 | OTHER OPERATING EXPENSE | \$93,505 | \$860,389 | \$0 | \$0 | |
| General | 5000 | CAPITAL EXPENDITURES | \$274,341 | \$0 | \$0 | \$0 | |
| Capital Subtotal OOE, Project | | | 2 | \$367,846 | \$860,389 | \$0 | \$0 |
| Subtotal OOE, Project | | | 2 | \$367,846 | \$860,389 | \$0 | \$0 |
| TYPE OF FINANCING | | | | | | | |
| <u>Capital</u> | | | | | | | |
| General | CA | 1 General Revenue Fund | \$367,846 | \$860,389 | \$0 | \$0 | |
| Capital Subtotal TOF, Project | | | 2 | \$367,846 | \$860,389 | \$0 | \$0 |
| Subtotal TOF, Project | | | 2 | \$367,846 | \$860,389 | \$0 | \$0 |
| <i>3/3 Replacement of Computers and Laptops</i> | | | | | | | |
| OBJECTS OF EXPENSE | | | | | | | |
| <u>Capital</u> | | | | | | | |
| General | 2009 | OTHER OPERATING EXPENSE | \$456,565 | \$257,035 | \$1,385,500 | \$0 | |
| Capital Subtotal OOE, Project | | | 3 | \$456,565 | \$257,035 | \$1,385,500 | \$0 |
| Subtotal OOE, Project | | | 3 | \$456,565 | \$257,035 | \$1,385,500 | \$0 |
| TYPE OF FINANCING | | | | | | | |
| <u>Capital</u> | | | | | | | |
| General | CA | 1 General Revenue Fund | \$456,565 | \$257,035 | \$1,385,500 | \$0 | |

5.A. Capital Budget Project Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/4/2016
 TIME : 3:31:52PM

Agency code: **212**

Agency name: **Office of Court Administration, Texas Judicial Council**

Category Code / Category Name

Project Sequence/Project Id/ Name

| OOE / TOF / MOF CODE | | | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-------------|-------------------------|--------------------|--------------------|--------------------|----------------|
| Capital Subtotal TOF, Project | 3 | | \$456,565 | \$257,035 | \$1,385,500 | \$0 |
| Subtotal TOF, Project | 3 | | \$456,565 | \$257,035 | \$1,385,500 | \$0 |
| <i>4/4 Replace Legacy Judicial Branch Technology</i> | | | | | | |
| OBJECTS OF EXPENSE | | | | | | |
| <u>Capital</u> | | | | | | |
| General | 2009 | OTHER OPERATING EXPENSE | \$95,980 | \$11,515 | \$0 | \$0 |
| General | 5000 | CAPITAL EXPENDITURES | \$542,505 | \$950,000 | \$0 | \$0 |
| Capital Subtotal OOE, Project | 4 | | \$638,485 | \$961,515 | \$0 | \$0 |
| Subtotal OOE, Project | 4 | | \$638,485 | \$961,515 | \$0 | \$0 |
| TYPE OF FINANCING | | | | | | |
| <u>Capital</u> | | | | | | |
| General | CA | 1 General Revenue Fund | \$638,485 | \$961,515 | \$0 | \$0 |
| Capital Subtotal TOF, Project | 4 | | \$638,485 | \$961,515 | \$0 | \$0 |
| Subtotal TOF, Project | 4 | | \$638,485 | \$961,515 | \$0 | \$0 |
| Capital Subtotal, Category | 5005 | | \$1,462,896 | \$2,078,939 | \$1,385,500 | \$0 |
| Informational Subtotal, Category | 5005 | | | | | |
| Total, Category | 5005 | | \$1,462,896 | \$2,078,939 | \$1,385,500 | \$0 |

8000 Centralized Accounting and Payroll/Personnel System (CAPPS)

1/1 Implement CAPPS for Article IV Courts and Agencies

OBJECTS OF EXPENSE

Capital

| | | | | | | |
|---------|------|--------------------|-----------|-----------|-----|-----|
| General | 1001 | SALARIES AND WAGES | \$183,793 | \$189,471 | \$0 | \$0 |
|---------|------|--------------------|-----------|-----------|-----|-----|

5.A. Capital Budget Project Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/4/2016**
 TIME: **3:31:52PM**

Agency code: **212**

Agency name: **Office of Court Administration, Texas Judicial Council**

Category Code / Category Name

Project Sequence/Project Id/ Name

| OOE / TOF / MOF CODE | | | Est 2016 | Bud 2017 | BL 2018 | BL 2019 | |
|-------------------------------------|------|-------------------------|-----------------|------------------|------------------|------------------|------------------|
| General | 1002 | OTHER PERSONNEL COSTS | \$933 | \$933 | \$0 | \$0 | |
| General | 2003 | CONSUMABLE SUPPLIES | \$10 | \$1,990 | \$0 | \$0 | |
| General | 2005 | TRAVEL | \$37,165 | \$123,051 | \$0 | \$0 | |
| General | 2009 | OTHER OPERATING EXPENSE | \$18,182 | \$247,910 | \$0 | \$0 | |
| Capital Subtotal OOE, Project | | | 1 | \$240,083 | \$563,355 | \$0 | \$0 |
| <u>Informational</u> | | | | | | | |
| General | 1001 | SALARIES AND WAGES | \$0 | \$0 | \$174,072 | \$179,046 | |
| General | 1002 | OTHER PERSONNEL COSTS | \$0 | \$0 | \$870 | \$895 | |
| General | 2003 | CONSUMABLE SUPPLIES | \$0 | \$0 | \$100 | \$100 | |
| General | 2005 | TRAVEL | \$0 | \$0 | \$6,000 | \$6,000 | |
| General | 2009 | OTHER OPERATING EXPENSE | \$0 | \$0 | \$2,641 | \$2,690 | |
| Informational Subtotal OOE, Project | | | 1 | \$0 | \$0 | \$183,683 | \$188,731 |
| Subtotal OOE, Project | | | 1 | \$240,083 | \$563,355 | \$183,683 | \$188,731 |
| TYPE OF FINANCING | | | | | | | |
| <u>Capital</u> | | | | | | | |
| General | CA | 1 General Revenue Fund | \$240,083 | \$563,355 | \$0 | \$0 | |
| Capital Subtotal TOF, Project | | | 1 | \$240,083 | \$563,355 | \$0 | \$0 |
| <u>Informational</u> | | | | | | | |
| General | CA | 1 General Revenue Fund | \$0 | \$0 | \$183,683 | \$188,731 | |
| Informational Subtotal TOF, Project | | | 1 | \$0 | \$0 | \$183,683 | \$188,731 |
| Subtotal TOF, Project | | | 1 | \$240,083 | \$563,355 | \$183,683 | \$188,731 |

5.A. Capital Budget Project Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/4/2016
 TIME : 3:31:52PM

Agency code: 212

Agency name: Office of Court Administration, Texas Judicial Council

Category Code / Category Name

Project Sequence/Project Id/ Name

| OOE / TOF / MOF CODE | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|--------------------|--------------------|--------------------|------------------|
| Capital Subtotal, Category 8000 | \$240,083 | \$563,355 | \$0 | \$0 |
| Informational Subtotal, Category 8000 | \$0 | \$0 | \$183,683 | \$188,731 |
| Total, Category 8000 | \$240,083 | \$563,355 | \$183,683 | \$188,731 |
| AGENCY TOTAL -CAPITAL | \$1,702,979 | \$2,642,294 | \$1,385,500 | \$0 |
| AGENCY TOTAL -INFORMATIONAL | \$0 | \$0 | \$183,683 | \$188,731 |
| AGENCY TOTAL | \$1,702,979 | \$2,642,294 | \$1,569,183 | \$188,731 |
| METHOD OF FINANCING: | | | | |
| <u>Capital</u> | | | | |
| General 1 General Revenue Fund | \$1,702,979 | \$2,642,294 | \$1,385,500 | \$0 |
| Total, Method of Financing-Capital | \$1,702,979 | \$2,642,294 | \$1,385,500 | \$0 |
| <u>Informational</u> | | | | |
| General 1 General Revenue Fund | \$0 | \$0 | \$183,683 | \$188,731 |
| Total, Method of Financing-Informational | \$0 | \$0 | \$183,683 | \$188,731 |
| Total, Method of Financing | \$1,702,979 | \$2,642,294 | \$1,569,183 | \$188,731 |

5.A. Capital Budget Project Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/4/2016**
 TIME : **3:31:52PM**

Agency code: **212**

Agency name: **Office of Court Administration, Texas Judicial Council**

Category Code / Category Name

Project Sequence/Project Id/ Name

| OOE / TOF / MOF CODE | | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|---------------------------|--------------------|--------------------|--------------------|------------------|
| TYPE OF FINANCING: | | | | | |
| <u>Capital</u> | | | | | |
| General | CA CURRENT APPROPRIATIONS | \$1,702,979 | \$2,642,294 | \$1,385,500 | \$0 |
| Total, Type of Financing-Capital | | \$1,702,979 | \$2,642,294 | \$1,385,500 | \$0 |
| <u>Informational</u> | | | | | |
| General | CA CURRENT APPROPRIATIONS | \$0 | \$0 | \$183,683 | \$188,731 |
| Total, Type of Financing-Informational | | \$0 | \$0 | \$183,683 | \$188,731 |
| Total, Type of Financing | | \$1,702,979 | \$2,642,294 | \$1,569,183 | \$188,731 |

5.B. Capital Budget Project Information
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/5/2016
 TIME: 12:19:28PM

| | | | |
|------------------|-------------|----------------|---|
| Agency Code: | 212 | Agency name: | Office of Court Administration, Texas Judicial C |
| Category Number: | 8000 | Category Name: | CAPPS Statewide ERP System |
| Project number: | 1 | Project Name: | CAPPS for Art IV Courts & Agencies |

PROJECT DESCRIPTION

General Information

This project implements all Article IV Judicial Branch (Supreme Court of Texas, Court of Criminal Appeals, 14 courts of appeals, Office of Forensic and Capital Writs, OCA, SPA, SCJC, SLL) agencies to the centralized accounting and payroll/personnel system (CAPPS).

Number of Units / Average Unit Cost N/A

Estimated Completion Date 08/31/2019

| | | |
|--|-------------|-------------|
| Additional Capital Expenditure Amounts Required | 2020 | 2021 |
| | 0 | 0 |

Type of Financing CA CURRENT APPROPRIATIONS

Projected Useful Life 10 years

Estimated/Actual Project Cost \$1,175,852

Length of Financing/ Lease Period n/a

ESTIMATED/ACTUAL DEBT OBLIGATION PAYMENTS

| 2018 | 2019 | 2020 | 2021 | Total over project life |
|------|------|------|------|----------------------------|
| 0 | 0 | 0 | 0 | 0 |

REVENUE GENERATION / COST SAVINGS

| <u>REVENUE COST FLAG</u> | <u>MOF CODE</u> | <u>AVERAGE AMOUNT</u> |
|--------------------------|-----------------|-----------------------|
| R | 1 | 0.00 |

Explanation: All agencies and courts will eventually be converted to CAPPS. This items will allow the judiciary to implement at the same time, sharing resources to implement more efficiently and effectively than if each entity implemented on its own. OCA will coordinate this effort for all Article IV courts (16) and agencies (4).

Project Location: Supreme Court of Texas, Court of Criminal Appeals, the 14 appellate courts, Office of Capital and Forensic Writs, State Prosecuting Attorney, State Law Library, the State Commission on Judicial Conduct, OCA

Beneficiaries: Employees of OCA and Judicial Branch agencies

Frequency of Use and External Factors Affecting Use:

Daily

5.B. Capital Budget Project Information
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/5/2016
 TIME: 12:19:28PM

| | | | |
|------------------|------|----------------|--|
| Agency Code: | 212 | Agency name: | Office of Court Administration, Texas Judicial C |
| Category Number: | 5005 | Category Name: | ACQUISITN INFO RES TECH. |
| Project number: | 3 | Project Name: | Replacement of Computers & Laptops |

PROJECT DESCRIPTION

General Information

The replacement and enhancement of aging and failed computing equipment continues to provide a shared, standardized computing environment that provides a secure and stable operating environment, and improved communication. Staff productivity is enhanced when computer equipment is properly functioning and adequately meets the business requirements.

| | | | | |
|--|-------------|------------------------|--|-------------|
| Number of Units / Average Unit Cost | N/A | | | |
| Estimated Completion Date | 08/31/2019 | | | |
| Additional Capital Expenditure Amounts Required | | 2020 | | 2021 |
| | | 0 | | 0 |
| Type of Financing | CA | CURRENT APPROPRIATIONS | | |
| Projected Useful Life | 4 years | | | |
| Estimated/Actual Project Cost | \$2,099,100 | | | |
| Length of Financing/ Lease Period | n/A | | | |

ESTIMATED/ACTUAL DEBT OBLIGATION PAYMENTS

| | | | | | |
|--|-------------|-------------|-------------|-------------|------------------------------------|
| | 2018 | 2019 | 2020 | 2021 | Total over project life |
| | 0 | 0 | 0 | 0 | 0 |

REVENUE GENERATION / COST SAVINGS

| | | |
|---------------------------------|------------------------|------------------------------|
| <u>REVENUE COST FLAG</u> | <u>MOF CODE</u> | <u>AVERAGE AMOUNT</u> |
|---------------------------------|------------------------|------------------------------|

Explanation: Cyclical replacement of equipment reduces equipment failures and reduces maintenance calls; therefore, a smaller information technology staff is able to successfully maintain the computing environment and better meet the needs of the end-user. In addition, the cyclical replacement of equipment allows information technology management staff to competently manage computer assets and to effectively plan and manage the computer equipment budget.

Project Location: Equipment will be installed at the Appellate Courts throughout Texas, as well as in the judicial agencies that are supported by OCA.

Beneficiaries: OCA staff, the Appellate Courts throughout Texas and the judicial agencies supported by OCA.

Frequency of Use and External Factors Affecting Use:

The courts and judicial entities need computer equipment that functions properly to perform job duties efficiently.

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

Category Code/Name

Project Sequence/Project Id/Name

| Goal/Obj/Str | Strategy Name | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|---|---|-----------|-----------|-------------|-----------|
| 5005 Acquisition of Information Resource Technologies | | | | | |
| 2/2 | <i>Computer Equipment and Software</i> | | | | |
| <u>GENERAL BUDGET</u> | | | | | |
| Capital | 1-1-2 INFORMATION TECHNOLOGY | 367,846 | 860,389 | \$0 | \$0 |
| | TOTAL, PROJECT | \$367,846 | \$860,389 | \$0 | \$0 |
| 3/3 | <i>Replacement of Computers & Laptops</i> | | | | |
| <u>GENERAL BUDGET</u> | | | | | |
| Capital | 1-1-2 INFORMATION TECHNOLOGY | 456,565 | 257,035 | 1,385,500 | 0 |
| | TOTAL, PROJECT | \$456,565 | \$257,035 | \$1,385,500 | \$0 |
| 4/4 | <i>Replace Legacy Technology</i> | | | | |
| <u>GENERAL BUDGET</u> | | | | | |
| Capital | 1-1-2 INFORMATION TECHNOLOGY | 638,485 | 961,515 | 0 | 0 |
| | TOTAL, PROJECT | \$638,485 | \$961,515 | \$0 | \$0 |
| 8000 Centralized Accounting and Payroll/Personnel System (CAPPS) | | | | | |
| 1/1 | <i>CAPPS for Art IV Courts & Agencies</i> | | | | |
| <u>GENERAL BUDGET</u> | | | | | |
| Capital | 1-1-2 INFORMATION TECHNOLOGY | 240,083 | 563,355 | 0 | 0 |
| Informational | 1-1-2 INFORMATION TECHNOLOGY | 0 | 0 | 183,683 | 188,731 |
| | TOTAL, PROJECT | \$240,083 | \$563,355 | \$183,683 | \$188,731 |

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

Category Code/Name

Project Sequence/Project Id/Name

| Goal/Obj/Str | Strategy Name | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--------------|-----------------------------------|-------------|-------------|-------------|-----------|
| | TOTAL CAPITAL, ALL PROJECTS | \$1,702,979 | \$2,642,294 | \$1,385,500 | \$0 |
| | TOTAL INFORMATIONAL, ALL PROJECTS | \$0 | \$0 | \$183,683 | \$188,731 |
| | TOTAL, ALL PROJECTS | \$1,702,979 | \$2,642,294 | \$1,569,183 | \$188,731 |

212 Office of Court Administration, Texas Judicial Council

Category Code/Name

Project Sequence/Name

| Goal/Obj/Str | Strategy Name | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-------------------------|------------------|------------------|----------|----------|
| 5005 Acquisition of Information Resource Technologies | | | | | |
| 2 Computer Equipment and Software | | | | | |
| OOE | | | | | |
| Capital | | | | | |
| 1-1-2 INFORMATION TECHNOLOGY | | | | | |
| <u>General Budget</u> | | | | | |
| 2009 | OTHER OPERATING EXPENSE | 93,505 | 860,389 | 0 | 0 |
| 5000 | CAPITAL EXPENDITURES | 274,341 | 0 | 0 | 0 |
| TOTAL, OOE's | | \$367,846 | \$860,389 | 0 | 0 |
| MOF | | | | | |
| GENERAL REVENUE FUNDS | | | | | |
| Capital | | | | | |
| 1-1-2 INFORMATION TECHNOLOGY | | | | | |
| <u>General Budget</u> | | | | | |
| 1 | General Revenue Fund | 367,846 | 860,389 | 0 | 0 |
| TOTAL, GENERAL REVENUE FUNDS | | \$367,846 | \$860,389 | 0 | 0 |
| TOTAL, MOF's | | \$367,846 | \$860,389 | 0 | 0 |

212 Office of Court Administration, Texas Judicial Council

Category Code/Name

Project Sequence/Name

| Goal/Obj/Str | Strategy Name | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|---|-------------------------|------------------|------------------|------------------|----------|
| 3 Replacement of Computers & Laptops | | | | | |
| OOE | | | | | |
| Capital | | | | | |
| 1-1-2 INFORMATION TECHNOLOGY | | | | | |
| <u>General Budget</u> | | | | | |
| 2009 | OTHER OPERATING EXPENSE | 456,565 | 257,035 | 1,385,500 | 0 |
| TOTAL, OOE's | | \$456,565 | \$257,035 | 1,385,500 | 0 |
| MOF | | | | | |
| GENERAL REVENUE FUNDS | | | | | |
| Capital | | | | | |
| 1-1-2 INFORMATION TECHNOLOGY | | | | | |
| <u>General Budget</u> | | | | | |
| 1 | General Revenue Fund | 456,565 | 257,035 | 1,385,500 | 0 |
| TOTAL, GENERAL REVENUE FUNDS | | \$456,565 | \$257,035 | 1,385,500 | 0 |
| TOTAL, MOF's | | \$456,565 | \$257,035 | 1,385,500 | 0 |

212 Office of Court Administration, Texas Judicial Council

Category Code/Name

Project Sequence/Name

| Goal/Obj/Str | Strategy Name | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|-------------------------------------|-------------------------|------------------|------------------|----------|----------|
| 4 Replace Legacy Technology | | | | | |
| OOE | | | | | |
| Capital | | | | | |
| 1-1-2 INFORMATION TECHNOLOGY | | | | | |
| <u>General Budget</u> | | | | | |
| 2009 | OTHER OPERATING EXPENSE | 95,980 | 11,515 | 0 | 0 |
| 5000 | CAPITAL EXPENDITURES | 542,505 | 950,000 | 0 | 0 |
| TOTAL, OOE | | \$638,485 | \$961,515 | 0 | 0 |
| MOF | | | | | |
| GENERAL REVENUE FUNDS | | | | | |
| Capital | | | | | |
| 1-1-2 INFORMATION TECHNOLOGY | | | | | |
| <u>General Budget</u> | | | | | |
| 1 | General Revenue Fund | 638,485 | 961,515 | 0 | 0 |
| TOTAL, GENERAL REVENUE FUNDS | | \$638,485 | \$961,515 | 0 | 0 |
| TOTAL, MOFs | | \$638,485 | \$961,515 | 0 | 0 |

8000 Centralized Accounting and Payroll/Personnel System (CAPPS)

212 Office of Court Administration, Texas Judicial Council

Category Code/Name

Project Sequence/Name

| Goal/Obj/Str | Strategy Name | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|---|-------------------------|------------------|------------------|----------------|----------------|
| 1 CAPPS for Art IV Courts & Agencies | | | | | |
| OOE | | | | | |
| Capital | | | | | |
| 1-1-2 INFORMATION TECHNOLOGY | | | | | |
| <u>General Budget</u> | | | | | |
| 1001 | SALARIES AND WAGES | 183,793 | 189,471 | 0 | 0 |
| 1002 | OTHER PERSONNEL COSTS | 933 | 933 | 0 | 0 |
| 2003 | CONSUMABLE SUPPLIES | 10 | 1,990 | 0 | 0 |
| 2005 | TRAVEL | 37,165 | 123,051 | 0 | 0 |
| 2009 | OTHER OPERATING EXPENSE | 18,182 | 247,910 | 0 | 0 |
| Informational | | | | | |
| 1-1-2 INFORMATION TECHNOLOGY | | | | | |
| <u>General Budget</u> | | | | | |
| 1001 | SALARIES AND WAGES | 0 | 0 | 174,072 | 179,046 |
| 1002 | OTHER PERSONNEL COSTS | 0 | 0 | 870 | 895 |
| 2003 | CONSUMABLE SUPPLIES | 0 | 0 | 100 | 100 |
| 2005 | TRAVEL | 0 | 0 | 6,000 | 6,000 |
| 2009 | OTHER OPERATING EXPENSE | 0 | 0 | 2,641 | 2,690 |
| TOTAL, OOE's | | \$240,083 | \$563,355 | 183,683 | 188,731 |

MOF

GENERAL REVENUE FUNDS

Capital

212 Office of Court Administration, Texas Judicial Council

Category Code/Name

Project Sequence/Name

| Goal/Obj/Str | Strategy Name | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|---|----------------------|------------------|------------------|----------------|----------------|
| 1 CAPPS for Art IV Courts & Agencies | | | | | |
| 1-1-2 INFORMATION TECHNOLOGY | | | | | |
| <u>General Budget</u> | | | | | |
| 1 | General Revenue Fund | 240,083 | 563,355 | 0 | 0 |
| Informational | | | | | |
| 1-1-2 INFORMATION TECHNOLOGY | | | | | |
| <u>General Budget</u> | | | | | |
| 1 | General Revenue Fund | 0 | 0 | 183,683 | 188,731 |
| TOTAL, GENERAL REVENUE FUNDS | | \$240,083 | \$563,355 | 183,683 | 188,731 |
| TOTAL, MOFs | | \$240,083 | \$563,355 | 183,683 | 188,731 |

212 Office of Court Administration, Texas Judicial Council

| | | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------------------------------|--|--------------------|--------------------|------------------|----------------|
| CAPITAL | | | | | |
| <u>General Budget</u> | | | | | |
| GENERAL REVENUE FUNDS | | \$1,702,979 | \$2,642,294 | 1,385,500 | 0 |
| TOTAL, GENERAL BUDGET | | 1,702,979 | 2,642,294 | 1,385,500 | 0 |
| INFORMATIONAL | | | | | |
| <u>General Budget</u> | | | | | |
| GENERAL REVENUE FUNDS | | \$0 | \$0 | 183,683 | 188,731 |
| TOTAL, GENERAL BUDGET | | 0 | 0 | 183,683 | 188,731 |
| TOTAL, ALL PROJECTS | | \$1,702,979 | \$2,642,294 | 1,569,183 | 188,731 |

HISTORICALLY UNDERUTILIZED BUSINESS SUPPORTING SCHEDULE

Agency Code: 212 Agency: Office of Court Administration, Texas Judicial Council

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year 2014 - 2015 HUB Expenditure Information

| Statewide HUB Goals | Procurement Category | % Goal | HUB Expenditures FY 2014 | | | Total Expenditures FY 2014 | | HUB Expenditures FY 2015 | | | Total Expenditures FY 2015 |
|---------------------|---------------------------|--------|--------------------------|-------|--------------------|----------------------------|----------|--------------------------|-----------|------------------|----------------------------|
| | | | % Actual | Diff | Actual \$ | % Goal | % Actual | Diff | Actual \$ | FY 2015 | |
| 11.2% | Heavy Construction | 0.0 % | 0.0% | 0.0% | \$0 | \$0 | 0.0 % | 0.0% | 0.0% | \$0 | \$0 |
| 21.1% | Building Construction | 0.0 % | 0.0% | 0.0% | \$0 | \$0 | 0.0 % | 0.0% | 0.0% | \$0 | \$0 |
| 32.9% | Special Trade | 0.0 % | 0.0% | 0.0% | \$0 | \$0 | 0.0 % | 0.0% | 0.0% | \$0 | \$0 |
| 23.7% | Professional Services | 0.0 % | 0.0% | 0.0% | \$0 | \$0 | 0.0 % | 0.0% | 0.0% | \$0 | \$0 |
| 26.0% | Other Services | 30.0 % | 38.3% | 8.3% | \$489,099 | \$1,277,529 | 30.0 % | 18.8% | -11.2% | \$293,122 | \$1,558,944 |
| 21.1% | Commodities | 35.0 % | 94.2% | 59.2% | \$1,409,333 | \$1,495,522 | 45.0 % | 85.4% | 40.4% | \$201,541 | \$236,010 |
| | Total Expenditures | | 68.5% | | \$1,898,432 | \$2,773,051 | | 27.6% | | \$494,663 | \$1,794,954 |

B. Assessment of Fiscal Year 2014 - 2015 Efforts to Meet HUB Procurement Goals

Attainment:

The agency exceeded two of two, or 100%, of the applicable agency HUB procurement goals in fiscal year 2014. The agency exceeded one of two, or 50%, of the applicable agency HUB procurement goals in fiscal year 2015.

Applicability:

The agency does not have real property and therefore the agency does not have expenditures in the Heavy Construction, Building Construction, or Special Trade HUB categories. The agency rarely procures within the Professional Services HUB category. The last reportable activity occurred in FY 2010 and the agency does not anticipate any purchases within this category through FY 2020. If an unexpected need arises, the agency will make a good-faith effort to meet or exceed the Statewide HUB Goal in Professional Services.

Factors Affecting Attainment:

The agency did not meet the Statewide HUB Goal in the Other Services category in FY 2015. The agency routinely expends over 60% of this procurement category on judicial-related items that cannot be sourced elsewhere (e.g. visiting judges, innocence projects, administrative judicial regions, and counties). An analysis of expenditures excluding these judicial-related items revealed that more than 50% of the agency's expenditures in this category in FY 2014 and FY 2015 were with HUB vendors.

"Good-Faith" Efforts:

The agency made the following good-faith efforts to comply with the Statewide HUB procurement goals per 34 TAC, Sec. 20.13 (d): (1) For spot purchases under \$5000, which do not require competitive bids, the agency routinely purchased from HUB vendors. This is particularly evident in the Commodities category where the agency far exceeded the Statewide goal. (2) Obtained at a minimum, the required two HUB bids for purchases of commodities and/or services between \$5,000.01 and

6.A. Historically Underutilized Business Supporting Schedule
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: **8/4/2016**
Time: **3:31:53PM**

Agency Code: **212** Agency: **Office of Court Administration, Texas Judicial Council**

\$25,000. (3) The agency included a HUB Subcontracting Plan with a list of potential subcontracting opportunities in every request for proposal, not just in solicitations with an expected value of \$100,000 or more. The agency also co-hosted two HUB forums with several other State agencies and attended several HUB forums within these two fiscal years.

CURRENT BIENNIUM ONETIME EXPENDITURE SCHEDULE

6.B. Current Biennium Onetime Expenditure Schedule

| Agency Code: 212 | Agency Name: Office of Court Administration | Prepared By: Jennifer Henry | Date: 8/5/2016 | |
|---|---|---------------------------------------|--------------------------|-----------------|
| Item | 2016-17 Est/Bud | | 2018-19 Baseline Request | |
| | Amount | MOF | Amount | MOF |
| Capital Budget: Replace Legacy Judicial Branch Technology | \$1,600,000 | General Revenue | \$0 | |
| Capital Budget: CAPPS Deployment | \$803,438 | General Revenue | \$372,415 | General Revenue |

**6.B. Current Biennium One-time Expenditure Schedule
Part 1 - Strategy Allocation 2016-17 Biennium**

| | | | | | |
|--|---|---------------------------------------|--------------------------|---------------------------|---------------------------|
| Agency Code: 212 | Agency Name: Office of Court Administration | Prepared By: Jennifer Henry | Date 8/5/2016 | | |
| PROJECT ITEM: Replace Legacy Judicial Branch Technology | | | | | |
| ALLOCATION TO STRATEGY: 1.1.2. Information Technology | | | | | |
| Code | Strategy Allocation | Estimated 2016 | Budgeted 2017 | Requested 2018 | Requested 2019 |
| 2009 | Objects of Expense: Other Operating Expense | 95,980 | 11,514 | | |
| 5000 | Capital Expenditures | 542,505 | 950,000 | | |
| | Total, Objects of Expense | \$638,486 | \$961,514 | \$0 | \$0 |
| | Method of Financing: General Revenue | \$638,486 | \$961,514 | | |
| | Total, Method of Financing | \$638,486 | \$961,514 | \$0 | \$0 |

Description of Item for 2016-17

In FY 2016-2017, OCA received appropriations to replace legacy cybersecurity equipment that was over six years old, providing firewall, intrusion prevention, VPN capabilities, and servers for the appellate courts. In addition, appropriations were provided to replace the legacy system used for monitoring the four judicial professions regulated by the Judicial Branch Certification Commission, enhancing internal and external functionality which enables the Commission to offer online services to regulated professionals and the public at-large.

**6.B. Current Biennium One-time Expenditure Schedule
Part 2 - Strategy Allocation 2018-19 Biennium**

| Agency Code: 212 | | Agency Name: Office of Court Administration | | Prepared By: Jennifer Henry | | Date: 8/5/2016 | |
|--|-----------------------------------|---|--------------------------|---------------------------------------|---------------------------|--------------------------|--|
| PROJECT ITEM: Replace Legacy Judicial Branch Technology | | | | | | | |
| ALLOCATION TO STRATEGY: 1.1.2. Information Technology | | | | | | | |
| Code | Strategy Allocation | Estimated 2016 | Budgeted 2017 | Requested 2018 | Requested 2019 | | |
| | Objects of Expense: | | | | | | |
| 1001 | Salaries | | | 0 | 0 | | |
| 1002 | Other Personnel Costs | | | 0 | 0 | | |
| 2005 | Travel | | | 0 | 0 | | |
| | Total, Objects of Expense | | | \$0 | \$0 | | |
| | Method of Financing: | | | | | | |
| 001 | General Revenue | | | \$0 | \$0 | | |
| | Total, Method of Financing | | | \$0 | \$0 | | |

Description / Purpose for 2018-19 Biennium

OCA is not requesting continuation of this capital item. The contracts will be encumbered in the 16-17 biennium and this funding has been identified as part of the agency's 4% base reduction required by the LAR policy letter.

**6.B. Current Biennium One-time Expenditure Schedule
Part 1 - Strategy Allocation 2016-17 Biennium**

| | | | | | |
|--|---|---------------------------------------|--------------------------|---------------------------|---------------------------|
| Agency Code: 212 | Agency Name: Office of Court Administration | Prepared By: Jennifer Henry | Date 8/5/2016 | | |
| PROJECT ITEM: CAPPS Deployment | | | | | |
| ALLOCATION TO STRATEGY: 1.1.2. Information Technology | | | | | |
| Code | Strategy Allocation | Estimated 2016 | Budgeted 2017 | Requested 2018 | Requested 2019 |
| | Objects of Expense: | | | | |
| 1001 | Salaries | 186,632 | 186,632 | | |
| 1002 | Other Personnel Costs | 933 | 933 | | |
| 2003 | Consumable Supplies | \$1,000 | \$1,000 | | |
| 2005 | Travel | \$80,108 | \$80,108 | | |
| 2009 | Other Operating Expense | \$164,096 | \$101,996 | | |
| | Total, Objects of Expense | \$432,769 | \$370,669 | \$0 | \$0 |
| | Method of Financing: | | | | |
| 001 | General Revenue | \$432,769 | \$370,669 | | |
| | Total, Method of Financing | \$432,769 | \$370,669 | \$0 | \$0 |

Description of Item for 2016-17

Funding was appropriated for the deployment of HR/Payroll and Finance modules of CAPPS for Article IV Courts and agencies.

6.B. Current Biennium One-time Expenditure Schedule

Part 2 - Strategy Allocation 2018-19 Biennium

| Agency Code: 212 | Agency Name: Office of Court Administration | Prepared By: Jennifer Henry | Date 8/5/2016 | | |
|--|---|---------------------------------------|--------------------------|---------------------------|---------------------------|
| PROJECT ITEM: CAPPs Deployment | | | | | |
| ALLOCATION TO STRATEGY: 1.1.2. Information Technology | | | | | |
| Code | Strategy Allocation | Estimated 2016 | Budgeted 2017 | Requested 2018 | Requested 2019 |
| | Objects of Expense: | | | | |
| 1001 | Salaries | | | 174,072 | 179,046 |
| 1002 | Other Personnel Costs | | | 870 | 895 |
| 2003 | Consumables | | | 100 | 100 |
| 2005 | Travel | | | 6,000 | 6,000 |
| 2009 | Other Operating Costs | | | 2,641 | 2,690 |
| | Total, Objects of Expense | | | \$183,683 | \$188,731 |
| | Method of Financing: | | | | |
| 001 | General Revenue | | | \$183,683 | \$188,731 |
| | Total, Method of Financing | | | \$183,683 | \$188,731 |

Description / Purpose for 2018-19 Biennium

OCA has redistributed a fraction of the CAPPs funding to streamline transition to the system for the Courts. Agencies that have implemented CAPPs in the past stated at a recent State Agency Coordinating Council meeting that it's imperative to provide a level of ongoing support beyond go-live until the system processes smoothly. In particular, the management by Non-CAPPs experts of call tickets, following up on implementation issues, distributing technical information to other users, while trying to maintain their current work load created negative consequences in those agencies. CAPPs Financials go-live is September 1, 2017. Ongoing support from OCA will be necessary into the next biennium to properly ramp up and train for daily operation using CAPPs Financials. The complexities and depth of CAPPs Financials is not well suited for small agencies such as the Courts and Judicial Agencies. This will require extensive training, job aids and hands on support from OCA to adapt Court and Judicial Agency business process to CAPPs Financials beginning September 2017. Of the 19 Courts and Judicial agencies targeted for CAPPs, eight (8) Courts/Judicial Agencies have only 1 FTE that processes all the Human Resources, Payroll, Accounting, Budget and Court Clerk duties. Ten (10) Courts have two FTE's that support these processes. This workload will require technical, training and business analysis support from OCA. CPA CAPPs budget does not recognize that 13 of the implementing agencies are outside of the Austin area, and require travel to those 13 Appeals Court Districts. The OCA CAPPs team will provide that post-implementation support.

FEDERAL FUNDS SUPPORTING SCHEDULE

| | | 212 Office of Court Administration, Texas Judicial Council | | | | |
|--|-------------------------------------|---|-----------------|-----------------|----------------|----------------|
| CFDA NUMBER/ STRATEGY | | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
| 16.013.000 | DOJ:Violence Against Women Trng&Imp | | | | | |
| 1 - 1 - 1 | COURT ADMINISTRATION | 81,241 | 63,836 | 0 | 0 | 0 |
| TOTAL, ALL STRATEGIES | | \$81,241 | \$63,836 | \$0 | \$0 | \$0 |
| ADDL FED FNDS FOR EMPL BENEFITS | | 8,847 | 7,461 | 0 | 0 | 0 |
| TOTAL, FEDERAL FUNDS | | \$90,088 | \$71,297 | \$0 | \$0 | \$0 |
| ADDL GR FOR EMPL BENEFITS | | \$0 | \$0 | \$0 | \$0 | \$0 |

| CFDA NUMBER/ STRATEGY | 212 Office of Court Administration, Texas Judicial Council | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|--|-----------------|-----------------|------------|------------|------------|
| <u>SUMMARY LISTING OF FEDERAL PROGRAM AMOUNTS</u> | | | | | | |
| 16.013.000 DOJ:Violence Against Women Trng&Imp | | 81,241 | 63,836 | 0 | 0 | 0 |
| TOTAL, ALL STRATEGIES | | \$81,241 | \$63,836 | \$0 | \$0 | \$0 |
| TOTAL , ADDL FED FUNDS FOR EMPL BENEFITS | | 8,847 | 7,461 | 0 | 0 | 0 |
| TOTAL, FEDERAL FUNDS | | \$90,088 | \$71,297 | \$0 | \$0 | \$0 |
| TOTAL, ADDL GR FOR EMPL BENEFITS | | \$0 | \$0 | \$0 | \$0 | \$0 |

SUMMARY OF SPECIAL CONCERNS/ISSUES

Assumptions and Methodology:

The federal funds received were for a mental health record improvement project, which ended in December 2015. The purpose of this project was to conduct a physical review of case files and docket sheets, and identify relevant records in district and county court archives.

Potential Loss:

The project has ended, no additional loss of funds is anticipated.

ESTIMATED REVENUE SCHEDULE

6.E. Estimated Revenue Collections Supporting Schedule
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **212** Agency name: **Office of Court Administration, Texas Judicial Council**

| FUND/ACCOUNT | Act 2015 | Exp 2016 | Exp 2017 | Bud 2018 | Est 2019 |
|--|--------------------|--------------------|--------------------|--------------------|--------------------|
| <u>1</u> General Revenue Fund | | | | | |
| Beginning Balance (Unencumbered): | \$773,335 | \$744,065 | \$678,956 | \$838,195 | \$883,614 |
| Estimated Revenue: | | | | | |
| 3175 Professional Fees | 633,005 | 628,539 | 854,592 | 738,465 | 762,166 |
| 3719 Fees/Copies or Filing of Records | 155 | 0 | 0 | 0 | 0 |
| 3725 State Grants Pass-thru Revenue | 10,209 | 0 | 0 | 0 | 0 |
| 3740 Grants/Donations | 4,500 | 0 | 0 | 0 | 0 |
| 3765 Supplies/Equipment/Services | 7,000 | 14,500 | 14,500 | 28,195 | 28,195 |
| 3802 Reimbursements-Third Party | 119,501 | 246,246 | 131,674 | 132,031 | 133,042 |
| 3973 Other-Within Fund/Account, Btw Agys | 27,681 | 41,566 | 45,857 | 39,654 | 39,654 |
| Subtotal: Actual/Estimated Revenue | 802,051 | 930,851 | 1,046,623 | 938,345 | 963,057 |
| Total Available | \$1,575,386 | \$1,674,916 | \$1,725,579 | \$1,776,540 | \$1,846,671 |
| DEDUCTIONS: | | | | | |
| Expended/Budgeted/Requested | (677,570) | (846,631) | (738,055) | (743,597) | (744,629) |
| Transfer-Employee-Benefits | (153,751) | (149,329) | (149,329) | (149,329) | (149,329) |
| Total, Deductions | \$(831,321) | \$(995,960) | \$(887,384) | \$(892,926) | \$(893,958) |
| Ending Fund/Account Balance | \$744,065 | \$678,956 | \$838,195 | \$883,614 | \$952,713 |

REVENUE ASSUMPTIONS:

Estimated amounts are based on the assumption that demand for services will continue at current levels. There are cyclical variations in revenue between years because the certification programs have renewals on 1-year, 2-year, and 3-year cycles.

CONTACT PERSON:

Carol Harper

6.E. Estimated Revenue Collections Supporting Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **212** Agency name: **Office of Court Administration, Texas Judicial Council**

| FUND/ACCOUNT | Act 2015 | Exp 2016 | Exp 2017 | Bud 2018 | Est 2019 |
|---|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| <u>5073</u> Fair Defense | | | | | |
| Beginning Balance (Unencumbered): | \$6,245,076 | \$5,097,021 | \$2,905,858 | \$746,751 | \$0 |
| Estimated Revenue: | | | | | |
| 3195 Additional Legal Services Fee | 2,344,127 | 2,300,958 | 2,300,958 | 2,300,958 | 2,300,958 |
| 3704 Court Costs | 21,395,820 | 21,021,684 | 20,601,250 | 20,189,225 | 19,785,441 |
| 3725 State Grants Pass-thru Revenue | 0 | 99,960 | 0 | 0 | 0 |
| 3858 Bail Bond Surety Fees | 2,027,169 | 2,039,946 | 2,039,946 | 2,039,946 | 2,039,946 |
| 3972 Other Cash Transfers Between Funds | 6,697,267 | 6,600,000 | 6,600,000 | 6,600,000 | 6,600,000 |
| Subtotal: Actual/Estimated Revenue | 32,464,383 | 32,062,548 | 31,542,154 | 31,130,129 | 30,726,345 |
| Total Available | \$38,709,459 | \$37,159,569 | \$34,448,012 | \$31,876,880 | \$30,726,345 |
| DEDUCTIONS: | | | | | |
| Expended/Budgeted/Requester - Baseline - TIDC | (32,126,325) | (32,346,889) | (31,879,857) | (30,068,599) | (28,918,063) |
| Expended/Budgeted/Requested - Baseline - OCFW | (1,113,882) | (1,438,501) | (1,353,083) | (1,339,960) | (1,339,961) |
| Transfer - Employee Benefits - TIDC | (183,694) | (232,161) | (232,161) | (232,161) | (232,161) |
| Transfer - Employee Benefits - OCFW | (188,537) | (236,160) | (236,160) | (236,160) | (236,160) |
| Total, Deductions | \$(33,612,438) | \$(34,253,711) | \$(33,701,261) | \$(31,876,880) | \$(30,726,345) |
| Ending Fund/Account Balance | \$5,097,021 | \$2,905,858 | \$746,751 | \$0 | \$0 |

REVENUE ASSUMPTIONS:

Based on recent historical trends, funding from Court Costs are declining for this program. This schedule shows a 2% decline in court costs revenue starting with 2017. This fund is also shared with the agency, Office of Capital and Forensic Writs.

CONTACT PERSON:

Sharon Whitfield

6.E. Estimated Revenue Collections Supporting Schedule
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **212** Agency name: **Office of Court Administration, Texas Judicial Council**

| FUND/ACCOUNT | Act 2015 | Exp 2016 | Exp 2017 | Bud 2018 | Est 2019 |
|---|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| <u>5157</u> Statewide Electronic Filing System | | | | | |
| Beginning Balance (Unencumbered): | \$0 | \$0 | \$0 | \$0 | \$0 |
| Estimated Revenue: | | | | | |
| 3704 Court Costs | 844,167 | 1,137,818 | 1,137,818 | 1,099,560 | 1,099,560 |
| 3711 Judicial Fees | 14,463,565 | 21,618,536 | 21,618,536 | 21,274,215 | 21,274,216 |
| Subtotal: Actual/Estimated Revenue | 15,307,732 | 22,756,354 | 22,756,354 | 22,373,775 | 22,373,776 |
| Total Available | \$15,307,732 | \$22,756,354 | \$22,756,354 | \$22,373,775 | \$22,373,776 |
| DEDUCTIONS: | | | | | |
| Expended/Budgeted/Requested | (15,307,732) | (22,756,354) | (22,756,354) | (22,373,775) | (22,373,776) |
| Total, Deductions | \$(15,307,732) | \$(22,756,354) | \$(22,756,354) | \$(22,373,775) | \$(22,373,776) |
| Ending Fund/Account Balance | \$0 | \$0 | \$0 | \$0 | \$0 |

REVENUE ASSUMPTIONS:

Revenue estimates are based on historical trends.

CONTACT PERSON:

Carol Harper

10 PERCENT BIENNIAL BASE REDUCTION OPTIONS SCHEDULE

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/5/2016
Time: 12:23:07PM

Agency code: 212 Agency name: **Office of Court Administration, Texas Judicial Council**

| Item Priority and Name/ Method of Financing | REVENUE LOSS | | | REDUCTION AMOUNT | | | TARGET |
|---|--------------|------|----------------|------------------|------|----------------|--------|
| | 2018 | 2019 | Biennial Total | 2018 | 2019 | Biennial Total | |

1 CAPPS Deployment Support-5%

Category: Programs - Service Reductions (FTEs-Layoffs)

Item Comment: OCA has redistributed a fraction of the CAPPS funding to streamline transition to the system for the Courts. Agencies that have implemented CAPPS in the past stated at a recent State Agency Coordinating Council meeting that it's imperative to provide a level of ongoing support beyond go-live until the system processes smoothly. In particular, the management by Non-CAPPS experts of call tickets, following up on implementation issues, distributing technical information to other users, while trying to maintain their current work load created negative consequences in those agencies. CAPPS Financials go-live is September 1, 2017. Ongoing support from OCA will be necessary into the next biennium to properly ramp up and train for daily operation using CAPPS Financials. The complexities and depth of CAPPS Financials is not well suited for small agencies such as the Courts and Judicial Agencies. This will require extensive training, job aids and hands on support from OCA to adapt Court and Judicial Agency business process to CAPPS Financials beginning September 2017. Of the 19 Courts and Judicial agencies targeted for CAPPS, eight (8) Courts/Judicial Agencies have only 1 FTE that processes all the Human Resources, Payroll, Accounting, Budget and Court Clerk duties. Ten (10) Courts have two FTEs that support these processes. This workload will require technical, training and business analysis support from OCA. CPA CAPPS budget does not recognize that 13 of the implementing agencies are outside of the Austin area, and require travel to those 13 Appeals Court Districts. The OCA CAPPS team will provide that post-implementation support. A reduction to the Office of Court Administration's operating budget would reduce this service level down to one and a half years.

Strategy: 1-1-2 Information Technology

General Revenue Funds

| | | | | | |
|------------------------------------|------------|------------|------------|-----------------|-----------------|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$94,366 | \$94,366 |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$94,366 | \$94,366 |
| Item Total | \$0 | \$0 | \$0 | \$94,366 | \$94,366 |

FTE Reductions (From FY 2018 and FY 2019 Base Request) **1.0**

2 Information Technology-Staffing - 5%

Category: Programs - Service Reductions (Contracted)

Item Comment: A reduction in OCA's budget would require the reduction in force of one-half (.5) FTE in Information Technology. The loss of staffing would adversely affect the work load of the project manager team, creating a risk that the projects could potentially be mismanaged or plans of action not being adhered to properly.

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/5/2016
Time: 12:23:07PM

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

| Item Priority and Name/ Method of Financing | REVENUE LOSS | | | REDUCTION AMOUNT | | | TARGET |
|---|--------------|------------|----------------|------------------|-----------------|------------------|--------|
| | 2018 | 2019 | Biennial Total | 2018 | 2019 | Biennial Total | |
| Strategy: 1-1-2 Information Technology | | | | | | | |
| <u>General Revenue Funds</u> | | | | | | | |
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$39,674 | \$39,674 | \$79,348 | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$39,674 | \$39,674 | \$79,348 | |
| Item Total | \$0 | \$0 | \$0 | \$39,674 | \$39,674 | \$79,348 | |
| FTE Reductions (From FY 2018 and FY 2019 Base Request) | | | | 0.5 | 0.5 | | |
| 3 Research & Judicial Information - Staffing - 5% | | | | | | | |
| Category: Programs - Service Reductions (FTEs-Hiring Freeze) | | | | | | | |
| Item Comment: A reduction to OCA's budget would result in the loss of research and judicial information staffing. OCA's mission is to provide information about the Judicial Branch to the public, Legislative and Executive Branches, state and federal agencies, local governments, public interest groups, and members of the bar. These groups rely on statistics and analysis of court information and case activity, descriptions of the court system structure and jurisdiction, and results of comparative policy studies and other research affecting courts and the judiciary. Loss of an FTE in this area would impair the agency's ability to provide high-quality research and best practices information to the courts and would hamper data quality in the judicial information department. | | | | | | | |
| Strategy: 1-1-1 Court Administration | | | | | | | |
| <u>General Revenue Funds</u> | | | | | | | |
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$61,326 | \$61,326 | \$122,652 | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$61,326 | \$61,326 | \$122,652 | |
| Item Total | \$0 | \$0 | \$0 | \$61,326 | \$61,326 | \$122,652 | |
| FTE Reductions (From FY 2018 and FY 2019 Base Request) | | | | 1.0 | 1.0 | | |

4 Language Access Program - 5%

Category: Programs - Service Reductions (FTEs-Layoffs)

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/5/2016
Time: 12:23:07PM

Agency code: 212 Agency name: **Office of Court Administration, Texas Judicial Council**

| Item Priority and Name/ Method of Financing | REVENUE LOSS | | | REDUCTION AMOUNT | | | TARGET |
|---|--------------|------|----------------|------------------|------|----------------|--------|
| | 2018 | 2019 | Biennial Total | 2018 | 2019 | Biennial Total | |

Item Comment: The goal of the Language Access program is to improve access to licensed, Spanish court interpreters, particularly in rural district and county-level court rooms. As resources permit, the program assists justice and municipal courts as well. One in every seven Texas residents has limited English proficiency and and 87% of these speak Spanish. 198 of the state's 254 counties have no licensed court interpreter in residence. OCA employs two licenced court interpreters in the Language Access Program. A reduction in OCA's budget would affect this program, resulting in the loss of one FTE, and cutting the program in half, reducing the level of service we can provide to the courts and the public.

Strategy: 1-1-1 Court Administration

General Revenue Funds

| | | | | | | |
|------------------------------------|------------|------------|------------|-----------------|-----------------|------------------|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$67,797 | \$67,797 | \$135,594 |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$67,797 | \$67,797 | \$135,594 |
| Item Total | \$0 | \$0 | \$0 | \$67,797 | \$67,797 | \$135,594 |

FTE Reductions (From FY 2018 and FY 2019 Base Request)

5 Indigent Defense - 5%

Category: Programs - Service Reductions (Contracted)

Item Comment: A reduction to this strategy would cut existing funding to an already underfunded program. Since passage of the Fair Defense in 2001, total indigent defense expenditures have increased by \$107 million, more than a 120 percent increase. This proposed reduction of over \$1.7 million per year in grants to counties amounts to the state passing on to counties the costs of representing either approximately 5,500 non-capital felony cases or 17,500 misdemeanor cases.

Strategy: 1-1-1 Court Administration

General Revenue Funds

| | | | | | | |
|------------------------------------|------------|------------|------------|------------------|------------------|------------------|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$187,500 | \$187,500 | \$375,000 |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$187,500 | \$187,500 | \$375,000 |

Strategy: 4-1-1 Improve Indigent Defense Practices and Procedures

Gr Dedicated

| | | | | | | |
|-------------------|-----|-----|-----|-------------|-------------|-------------|
| 5073 Fair Defense | \$0 | \$0 | \$0 | \$1,519,787 | \$1,519,787 | \$3,039,574 |
|-------------------|-----|-----|-----|-------------|-------------|-------------|

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/5/2016
Time: 12:23:07PM

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

| Item Priority and Name/ Method of Financing | REVENUE LOSS | | | REDUCTION AMOUNT | | | TARGET |
|---|--------------|------------|----------------|--------------------|--------------------|--------------------|--------|
| | 2018 | 2019 | Biennial Total | 2018 | 2019 | Biennial Total | |
| Gr Dedicated Total | \$0 | \$0 | \$0 | \$1,519,787 | \$1,519,787 | \$3,039,574 | |
| Item Total | \$0 | \$0 | \$0 | \$1,707,287 | \$1,707,287 | \$3,414,574 | |

FTE Reductions (From FY 2018 and FY 2019 Base Request)

6 Information Technology - Capital - 5%

Category: Programs - Service Reductions (Contracted)

Item Comment: A reduction in OCA's budget would cause the agency to eliminate half of the the Capital Projects, Computer Equipment and Software; and Replacement of Computers and Laptops. Loss of this funding would delay the replacement schedule of computer hardware and software for the Judiciary and adversely affect the performance of OCA staff, the courts of appeals and other judicial agencies served by Information Technology.

Strategy: 1-1-2 Information Technology

General Revenue Funds

| | | | | | | |
|------------------------------------|------------|------------|------------|------------------|------------------|------------------|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$485,459 | \$485,459 | \$970,918 |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$485,459 | \$485,459 | \$970,918 |
| Item Total | \$0 | \$0 | \$0 | \$485,459 | \$485,459 | \$970,918 |

FTE Reductions (From FY 2018 and FY 2019 Base Request)

7 Child Support Courts - Staffing - 5%

Category: Programs - Service Reductions (FTEs-Layoffs)

Item Comment: This reduction would require the elimination of 2.5 child support courts and staff by 5.0 FTEs. The child support courts handle over 170,000 cases per year. Depending on which courts are closed, this reduction could result in almost 9,000 child support cases not being resolved within statutorily mandated, expedited timeframes. Additionally, this program uses general revenue to match federal funding; therefore for each dollar of general revenue that is cut from this budget, the program loses two dollars in federal funding.

Strategy: 2-1-1 Child Support Courts Program

General Revenue Funds

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/5/2016
Time: 12:23:07PM

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

| Item Priority and Name/ Method of Financing | REVENUE LOSS | | | REDUCTION AMOUNT | | | TARGET |
|---|------------------|------------------|------------------|------------------|------------------|------------------|--------|
| | 2018 | 2019 | Biennial Total | 2018 | 2019 | Biennial Total | |
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$134,995 | \$134,995 | \$269,990 | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$134,995 | \$134,995 | \$269,990 | |
| <u>Other Funds</u> | | | | | | | |
| 777 Interagency Contracts | \$269,989 | \$269,989 | \$539,978 | | | | |
| Other Funds Total | \$269,989 | \$269,989 | \$539,978 | | | | |
| Item Total | \$269,989 | \$269,989 | \$539,978 | \$134,995 | \$134,995 | \$269,990 | |
| FTE Reductions (From FY 2018 and FY 2019 Base Request) | | | | 5.0 | 5.0 | | |

8 Child Protection Courts - Staffing - 5%

Category: Programs - Service Reductions (Contracted)

Item Comment: A reduction in the CPC program would require the elimination of one child protection court, staffed by 2.0 FTEs. This would reduce the number of CPC hearings by 1,550 per year. Moreover, about 275 children per year would not be serviced by OCA's child protection courts in FY2018-2019.

Strategy: 2-1-2 Child Protection Courts Program

General Revenue Funds

| | | | | | | | |
|---|------------|------------|------------|------------------|------------------|------------------|--|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$213,179 | \$213,179 | \$426,358 | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$213,179 | \$213,179 | \$426,358 | |
| Item Total | \$0 | \$0 | \$0 | \$213,179 | \$213,179 | \$426,358 | |
| FTE Reductions (From FY 2018 and FY 2019 Base Request) | | | | 2.0 | 2.0 | | |

9 Collection Improvement Program - 5%

Category: Programs - Service Reductions (FTEs-Layoffs)

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/5/2016
Time: 12:23:07PM

Agency code: 212 Agency name: **Office of Court Administration, Texas Judicial Council**

| Item Priority and Name/ Method of Financing | REVENUE LOSS | | | REDUCTION AMOUNT | | | TARGET |
|---|--------------|------|----------------|------------------|------|----------------|--------|
| | 2018 | 2019 | Biennial Total | 2018 | 2019 | Biennial Total | |

Item Comment: A 5% reduction to this strategy would require that OCA lay off staff. One-half (.5) FTE would be cut from the Collection Improvement Program, which would result in less support to assist counties and cities in implementing the program. This program has been overwhelmingly successful, result in additional state revenue that should otherwise go uncollected. One-half (.5) FTE would be cut from the CIP Audit program, and subsequently there would be fewer audits and visits conducted. Regular audits have improved compliance because there is a routine presence in counties and cities to ensure that local governments are following the mandated rules for the collection program and maximizing collections of state and local revenues. Both of these programs are statutorily required.

Strategy: 1-1-1 Court Administration

General Revenue Funds

| | | | | | | |
|------------------------------------|------------|------------|------------|-----------------|-----------------|------------------|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$78,975 | \$78,975 | \$157,950 |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$78,975 | \$78,975 | \$157,950 |
| Item Total | \$0 | \$0 | \$0 | \$78,975 | \$78,975 | \$157,950 |

FTE Reductions (From FY 2018 and FY 2019 Base Request) **1.0** **1.0**

10 Guardianship Compliance Program - 5%

Category: Programs - Service Reductions (FTEs-Layoffs)

Item Comment: OCA was appropriated funding in the 16-17 biennium to initiate a new pilot program to place Guardianship Compliance Specialists across the state to review guardianship filings for the elderly and incapacitated. In addition, the compliance specialists sought to determine if guardians are following statutorily-required procedures, to review annual reports filed by the guardians, and to ensure that exploitation and/or neglect of persons under guardianship (wards) is not occurring. Staff reviews guardianship filings based on a risk analysis and provides information to the presiding judge regarding any deficiencies. Any issue of potential abuse would also be reported to the appropriate authorities. A reduction in OCA's budget would result in the cutting this program in half.

Strategy: 1-1-1 Court Administration

General Revenue Funds

| | | | | | | |
|------------------------------------|------------|------------|------------|------------------|------------------|------------------|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$128,970 | \$128,970 | \$257,940 |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$128,970 | \$128,970 | \$257,940 |
| Item Total | \$0 | \$0 | \$0 | \$128,970 | \$128,970 | \$257,940 |

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/5/2016
Time: 12:23:07PM

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

| Item Priority and Name/ Method of Financing | REVENUE LOSS | | | REDUCTION AMOUNT | | | TARGET |
|---|--------------|------------|----------------|------------------|------------------|--------------------|--------|
| | 2018 | 2019 | Biennial Total | 2018 | 2019 | Biennial Total | |
| FTE Reductions (From FY 2018 and FY 2019 Base Request) | | | | 1.5 | 1.5 | | |
| 11 Judicial Branch Certification Commission | | | | | | | |
| Category: Programs - Service Reductions (FTEs-Layoffs) | | | | | | | |
| Item Comment: A reduction in OCA's budget would result in a reduction of .5 FTE within JBCC. This reduction in staff would result in a delay in processing applications for licensing, renewal or registration and complaint resolution. | | | | | | | |
| Strategy: 3-1-1 Judicial Branch Certification Commission | | | | | | | |
| <u>General Revenue Funds</u> | | | | | | | |
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$27,402 | \$27,402 | \$54,804 | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$27,402 | \$27,402 | \$54,804 | |
| Item Total | \$0 | \$0 | \$0 | \$27,402 | \$27,402 | \$54,804 | |
| FTE Reductions (From FY 2018 and FY 2019 Base Request) | | | | 0.5 | 0.5 | | |
| 12 Information Technology - E Filing - 5% | | | | | | | |
| Category: Programs - Service Reductions (Contracted) | | | | | | | |
| Item Comment: A reduction in OCA's budget would result in a reduction of appropriations in the E-filing strategy. This reduction could potentially impact our contractual terms with the vendor if authority to access to the revenue stream is reduced. The money in Statewide E-Filing Fund No. 5157 is a dedicated account in the General Revenue funds and cannot be used for any other purpose. | | | | | | | |
| Strategy: 1-1-2 Information Technology | | | | | | | |
| <u>Gr Dedicated</u> | | | | | | | |
| 5157 Statewide Electronic Filing System | \$0 | \$0 | \$0 | \$574,803 | \$574,803 | \$1,149,606 | |
| Gr Dedicated Total | \$0 | \$0 | \$0 | \$574,803 | \$574,803 | \$1,149,606 | |
| Item Total | \$0 | \$0 | \$0 | \$574,803 | \$574,803 | \$1,149,606 | |
| FTE Reductions (From FY 2018 and FY 2019 Base Request) | | | | | | | |

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/5/2016
Time: 12:23:07PM

Agency code: 212 Agency name: **Office of Court Administration, Texas Judicial Council**

| Item Priority and Name/ Method of Financing | REVENUE LOSS | | | REDUCTION AMOUNT | | | TARGET |
|--|--------------|------------|----------------|------------------|-----------------|-----------------|--------|
| | 2018 | 2019 | Biennial Total | 2018 | 2019 | Biennial Total | |
| 13 Court Administration - Staffing - 5% | | | | | | | |
| Category: Administrative - FTEs / Layoffs | | | | | | | |
| Item Comment: A reduction in OCA's budget would require the reduction in force of one-half (.5) FTE in Legal, putting the OCA at risk due to lack of access to legal counsel. | | | | | | | |
| Strategy: 1-1-1 Court Administration | | | | | | | |
| <u>General Revenue Funds</u> | | | | | | | |
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$33,094 | \$33,094 | \$66,188 | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$33,094 | \$33,094 | \$66,188 | |
| Item Total | \$0 | \$0 | \$0 | \$33,094 | \$33,094 | \$66,188 | |
| FTE Reductions (From FY 2018 and FY 2019 Base Request) | | | | 0.5 | 0.5 | | |

14 CAPPs Deployment Support-5%

Category: Programs - Service Reductions (FTEs-Layoffs)

Item Comment: OCA has requested redistributed a fraction of the CAPPs funding to streamline transition to the system for the Courts. Agencies that have implemented CAPPs in the past stated at a recent State Agency Coordinating Council meeting that it's imperative to provide a level of ongoing support beyond go-live until the system processes smoothly. In particular, the management by Non-CAPPs experts of call tickets, following up on implementation issues, distributing technical information to other users, while trying to maintain their current work load created negative consequences in those agencies. CAPPs Financials go-live is September 1, 2017. Ongoing support from OCA will be necessary into the next biennium to properly ramp up and train for daily operation using CAPPs Financials. The complexities and depth of CAPPs Financials is not well suited for small agencies such as the Courts and Judicial Agencies. This will require extensive training, job aids and hands on support from OCA to adapt Court and Judicial Agency business process to CAPPs Financials beginning September 2017. Of the 19 Courts and Judicial agencies targeted for CAPPs, eight (8) Courts/Judicial Agencies have only 1 FTE that processes all the Human Resources, Payroll, Accounting, Budget and Court Clerk duties. Ten (10) Courts have two FTE's that support these processes. This workload will require technical, training and business analysis support from OCA. CPA CAPPs budget does not recognize that 13 of the implementing agencies are outside of the Austin area, and require travel to those 13 Appeals Court Districts. The OCA CAPPs team will provide that post-implementation support. A reduction to the Office of Court Administration's operating budget would reduce this service level down to one year.

Strategy: 1-1-2 Information Technology

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/5/2016
Time: 12:23:07PM

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

| Item Priority and Name/ Method of Financing | REVENUE LOSS | | | REDUCTION AMOUNT | | | TARGET |
|---|--------------|------------|----------------|------------------|-----------------|-----------------|--------|
| | 2018 | 2019 | Biennial Total | 2018 | 2019 | Biennial Total | |
| <u>General Revenue Funds</u> | | | | | | | |
| 1 General Revenue Fund | \$0 | \$0 | \$0 | | \$94,366 | \$94,366 | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | | \$94,366 | \$94,366 | |
| Item Total | \$0 | \$0 | \$0 | | \$94,366 | \$94,366 | |
| FTE Reductions (From FY 2018 and FY 2019 Base Request) | | | | | 1.0 | | |

15 Information Technology-Staffing - 5%

Category: Programs - Service Reductions (Contracted)

Item Comment: A reduction in OCA's budget would require the reduction in force of another one-half (.5) FTE in Information Technology. The loss of staffing would adversely affect the work load of the project manager team, creating a risk that the projects could potentially be mismanaged or plans of action not being adhered to properly.

Strategy: 1-1-1 Court Administration

General Revenue Funds

| | | | | | | | |
|------------------------------------|------------|------------|------------|-----------------|-----------------|-----------------|--|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$39,674 | \$39,674 | \$79,348 | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$39,674 | \$39,674 | \$79,348 | |
| Item Total | \$0 | \$0 | \$0 | \$39,674 | \$39,674 | \$79,348 | |

FTE Reductions (From FY 2018 and FY 2019 Base Request) **0.5** **0.5**

16 Research & Judicial Information - Staffing - 5%

Category: Programs - Service Reductions (Contracted)

Item Comment: A reduction to OCA's budget would result in the loss of research and judicial information staffing. OCA's mission is to provide information about the Judicial Branch to the public, Legislative and Executive Branches, state and federal agencies, local governments, public interest groups, and members of the bar. These groups rely on statistics and analysis of court information and case activity, descriptions of the court system structure and jurisdiction, and results of comparative policy studies and other research affecting courts and the judiciary. Loss of an FTE in this area would impair the agency's ability to provide high-quality research and best practices information to the courts and would hamper data quality in the judicial information department.

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/5/2016
Time: 12:23:07PM

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

| Item Priority and Name/ Method of Financing | REVENUE LOSS | | | REDUCTION AMOUNT | | | TARGET |
|---|--------------|------------|----------------|------------------|-----------------|------------------|--------|
| | 2018 | 2019 | Biennial Total | 2018 | 2019 | Biennial Total | |
| Strategy: 1-1-1 Court Administration | | | | | | | |
| <u>General Revenue Funds</u> | | | | | | | |
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$61,326 | \$61,326 | \$122,652 | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$61,326 | \$61,326 | \$122,652 | |
| Item Total | \$0 | \$0 | \$0 | \$61,326 | \$61,326 | \$122,652 | |

FTE Reductions (From FY 2018 and FY 2019 Base Request)

18 Language Access Program - 5%

Category: Programs - Service Reductions (FTEs-Layoffs)

Item Comment: The goal of the Language Access program is to improve access to licensed, Spanish court interpreters, particularly in rural district and county-level court rooms. As resources permit, the program assists justice and municipal courts as well. One in every seven Texas residents has limited English proficiency and and 87% of these speak Spanish. 198 of the state's 254 counties hae no licensed court interpreter in residence. OCA employs two licenced court interpreters in the Language Access Program. A reduction in OCA's budget would affect this program, resulting in the loss of a second FTE, eliminating the program.

Strategy: 1-1-1 Court Administration

General Revenue Funds

| | | | | | | | |
|------------------------------------|------------|------------|------------|-----------------|-----------------|------------------|--|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$67,797 | \$67,797 | \$135,594 | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$67,797 | \$67,797 | \$135,594 | |
| Item Total | \$0 | \$0 | \$0 | \$67,797 | \$67,797 | \$135,594 | |

FTE Reductions (From FY 2018 and FY 2019 Base Request)

19 Indigent Defense - 5%

Category: Programs - Service Reductions (Contracted)

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/5/2016
Time: 12:23:07PM

Agency code: 212 Agency name: **Office of Court Administration, Texas Judicial Council**

| Item Priority and Name/ Method of Financing | REVENUE LOSS | | | REDUCTION AMOUNT | | | TARGET |
|---|--------------|------------|----------------|--------------------|--------------------|--------------------|--------|
| | 2018 | 2019 | Biennial Total | 2018 | 2019 | Biennial Total | |
| Item Comment: A reduction to this strategy would cut existing funding to an already underfunded program. Since passage of the Fair Defense in 2001, total indigent defense expenditures have increased by \$107 million, more than a 120 percent increase. This proposed reduction of over \$1.7 million per year in grants to counties amounts to the state passing on to counties the costs of representing either approximately 5,500 non-capital felony cases or 17,500 misdemeanor cases. | | | | | | | |
| Strategy: 4-1-1 Improve Indigent Defense Practices and Procedures | | | | | | | |
| <u>General Revenue Funds</u> | | | | | | | |
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$187,500 | \$187,500 | \$375,000 | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$187,500 | \$187,500 | \$375,000 | |
| <u>Gr Dedicated</u> | | | | | | | |
| 5073 Fair Defense | \$0 | \$0 | \$0 | \$1,519,787 | \$1,519,787 | \$3,039,574 | |
| Gr Dedicated Total | \$0 | \$0 | \$0 | \$1,519,787 | \$1,519,787 | \$3,039,574 | |
| Item Total | \$0 | \$0 | \$0 | \$1,707,287 | \$1,707,287 | \$3,414,574 | |

FTE Reductions (From FY 2018 and FY 2019 Base Request)

20 Information Technology - Capital - 5%

Category: Programs - Delayed or Deferred Capital Projects

Item Comment: A reduction in OCA's budget would cause the agency to eliminate half of the the Capital Projects, Computer Equipment and Software; and Replacement of Computers and Laptops. Loss of this funding would delay the replacement schedule of computer hardware and software for the Judiciary until the 2020-2021 biennium.

Strategy: 1-1-2 Information Technology

General Revenue Funds

| | | | | | | | |
|------------------------------------|------------|------------|------------|------------------|------------------|------------------|--|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$485,459 | \$485,459 | \$970,918 | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$485,459 | \$485,459 | \$970,918 | |
| Item Total | \$0 | \$0 | \$0 | \$485,459 | \$485,459 | \$970,918 | |

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/5/2016
Time: 12:23:07PM

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

| Item Priority and Name/ Method of Financing | REVENUE LOSS | | | REDUCTION AMOUNT | | | TARGET |
|--|------------------|------------------|------------------|------------------|------------------|------------------|--------|
| | 2018 | 2019 | Biennial Total | 2018 | 2019 | Biennial Total | |
| FTE Reductions (From FY 2018 and FY 2019 Base Request) | | | | | | | |
| 21 Child Support Courts - Staffing - 5% | | | | | | | |
| Category: Programs - Service Reductions (Contracted) | | | | | | | |
| Item Comment: This reduction would require the elimination of another 2.5 child support courts and staff by 5.0 FTEs. The child support courts handle over 170,000 cases per year. Depending on which courts are closed, this reduction could result in almost 9,000 child support cases not being resolved within statutorily mandated, expedited timeframes. Additionally, this program uses general revenue to match federal funding; therefore for each dollar of general revenue that is cut from this budget, the program loses two dollars in federal funding. | | | | | | | |
| Strategy: 2-1-1 Child Support Courts Program | | | | | | | |
| <u>General Revenue Funds</u> | | | | | | | |
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$134,995 | \$134,995 | \$269,990 | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$134,995 | \$134,995 | \$269,990 | |
| <u>Other Funds</u> | | | | | | | |
| 777 Interagency Contracts | \$269,989 | \$269,989 | \$539,978 | | | | |
| Other Funds Total | \$269,989 | \$269,989 | \$539,978 | | | | |
| Item Total | \$269,989 | \$269,989 | \$539,978 | \$134,995 | \$134,995 | \$269,990 | |
| FTE Reductions (From FY 2018 and FY 2019 Base Request) | | | | 5.0 | 5.0 | | |

22 Child Protection Courts - Staffing - 5%

Category: Programs - Service Reductions (FTEs-Layoffs)

Item Comment: A reduction in the CPC program would require the elimination of one additional child protection court, staffed by 2.0 FTEs. This would reduce the number of CPC hearing by 1,550 per year. Moreover, about 275 children per year would not be served by OCA's child protection courts in FY2018-2019.

Strategy: 2-1-2 Child Protection Courts Program

General Revenue Funds

| | | | | | | | |
|------------------------|-----|-----|-----|-----------|-----------|-----------|--|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$213,179 | \$213,179 | \$426,358 | |
|------------------------|-----|-----|-----|-----------|-----------|-----------|--|

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/5/2016
Time: 12:23:07PM

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

| Item Priority and Name/ Method of Financing | REVENUE LOSS | | | REDUCTION AMOUNT | | | TARGET |
|---|--------------|------|----------------|------------------|-----------|----------------|--------|
| | 2018 | 2019 | Biennial Total | 2018 | 2019 | Biennial Total | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$213,179 | \$213,179 | \$426,358 | |
| Item Total | \$0 | \$0 | \$0 | \$213,179 | \$213,179 | \$426,358 | |
| FTE Reductions (From FY 2018 and FY 2019 Base Request) | | | | 2.0 | 2.0 | | |
| 23 Collection Improvement Program - 5% | | | | | | | |
| Category: Programs - Service Reductions (FTEs-Layoffs) | | | | | | | |
| Item Comment: A 5% reduction to this strategy would require that OCA lay off staff. An additional one-half (.5) FTE would be cut from the Collection Improvement Program, which would result in less support to assist counties and cities in implementing the program. This program has been overwhelmingly successful, resulting in additional state revenue that would otherwise go uncollected. An additional one-half (.5) FTE would be cut from the CIP Audit program, and subsequently there would be fewer audits and visits conducted. Regular audits have improved compliance because there is a routine presence in counties and cities to ensure that local governments are following the mandated rules for the collection program and maximizing collections of state and local revenues. Both of these programs are statutorily required. | | | | | | | |
| Strategy: 1-1-1 Court Administration | | | | | | | |
| <u>General Revenue Funds</u> | | | | | | | |
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$78,975 | \$78,975 | \$157,950 | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$78,975 | \$78,975 | \$157,950 | |
| Item Total | \$0 | \$0 | \$0 | \$78,975 | \$78,975 | \$157,950 | |
| FTE Reductions (From FY 2018 and FY 2019 Base Request) | | | | 1.0 | 1.0 | | |

24 Guardianship Compliance Program - 5%

Category: Programs - Service Reductions (Contracted)

Item Comment: OCA was appropriated funding in the 16-17 biennium to initiate a new pilot program to place Guardianship Compliance Specialists across the state to review guardianship filings for the elderly and incapacitated to determine if guardians are following statutorily-required procedures, to review annual reports filed by the guardians, and to ensure that exploitation and/or neglect of persons under guardianship (wards) is not occurring. Staff reviews guardianship filings based on a risk analysis and provides information to the presiding judge regarding any deficiencies. Any issue of potential abuse would also be reported to the appropriate authorities. A reduction in OCA's budget and another cut to this program would result in eliminating the program.

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/5/2016
Time: 12:23:07PM

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

| Item Priority and Name/ Method of Financing | REVENUE LOSS | | | REDUCTION AMOUNT | | | TARGET |
|---|--------------|------------|----------------|------------------|------------------|------------------|--------|
| | 2018 | 2019 | Biennial Total | 2018 | 2019 | Biennial Total | |
| Strategy: 1-1-1 Court Administration | | | | | | | |
| <u>General Revenue Funds</u> | | | | | | | |
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$128,970 | \$128,970 | \$257,940 | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$128,970 | \$128,970 | \$257,940 | |
| Item Total | \$0 | \$0 | \$0 | \$128,970 | \$128,970 | \$257,940 | |
| FTE Reductions (From FY 2018 and FY 2019 Base Request) | | | | 1.5 | 1.5 | | |

25 Judicial Branch Certification Commission

Category: Programs - Service Reductions (FTEs-Layoffs)

Item Comment: A reduction in OCA's budget would result in a reduction of another .5 FTE within JBCC. This reduction in staff would result in a delay in processing applications for licensing, renewal or registration and complaint resolution.

Strategy: 3-1-1 Judicial Branch Certification Commission

General Revenue Funds

| | | | | | | | |
|---|------------|------------|------------|-----------------|-----------------|-----------------|--|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$27,402 | \$27,402 | \$54,804 | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$27,402 | \$27,402 | \$54,804 | |
| Item Total | \$0 | \$0 | \$0 | \$27,402 | \$27,402 | \$54,804 | |
| FTE Reductions (From FY 2018 and FY 2019 Base Request) | | | | 0.5 | 0.5 | | |

26 Information Technology - E-filing - 5%

Category: Programs - Service Reductions (Contracted)

Item Comment: A reduction in OCA's budget would result in a reduction of appropriations in the E-filing strategy. This reduction could potentially impact our contractual terms with the vendor if authority to access to the revenue stream is reduced. The money in Statewide E-Filing Fund No. 5157 is a dedicated account in the General Revenue funds and cannot be used for any other purpose.

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/5/2016
Time: 12:23:07PM

Agency code: 212 Agency name: Office of Court Administration, Texas Judicial Council

| Item Priority and Name/ Method of Financing | REVENUE LOSS | | | REDUCTION AMOUNT | | | TARGET |
|--|------------------|------------------|--------------------|--------------------|--------------------|---------------------|---------------------|
| | 2018 | 2019 | Biennial Total | 2018 | 2019 | Biennial Total | |
| Strategy: 1-1-2 Information Technology | | | | | | | |
| <u>Gr Dedicated</u> | | | | | | | |
| 5157 Statewide Electronic Filing System | \$0 | \$0 | \$0 | \$574,803 | \$574,803 | \$1,149,606 | |
| Gr Dedicated Total | \$0 | \$0 | \$0 | \$574,803 | \$574,803 | \$1,149,606 | |
| Item Total | \$0 | \$0 | \$0 | \$574,803 | \$574,803 | \$1,149,606 | |
| FTE Reductions (From FY 2018 and FY 2019 Base Request) | | | | | | | |
| 27 Court Administration - Staffing - 5% | | | | | | | |
| Category: Programs - Service Reductions (Contracted) | | | | | | | |
| Item Comment: A reduction in OCA's budget would require the reduction in force of one-half (.5) FTE in Legal, putting the OCA at risk due to lack of access to legal counsel. | | | | | | | |
| Strategy: 1-1-1 Court Administration | | | | | | | |
| <u>General Revenue Funds</u> | | | | | | | |
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$33,089 | \$33,089 | \$66,178 | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$33,089 | \$33,089 | \$66,178 | |
| Item Total | \$0 | \$0 | \$0 | \$33,089 | \$33,089 | \$66,178 | |
| FTE Reductions (From FY 2018 and FY 2019 Base Request) | | | | 0.5 | 0.5 | | |
| AGENCY TOTALS | | | | | | | |
| General Revenue Total | | | | \$2,916,737 | \$3,105,469 | \$6,022,206 | \$3,865,578 |
| GR Dedicated Total | | | | \$4,189,180 | \$4,189,180 | \$8,378,360 | \$10,534,988 |
| Agency Grand Total | \$539,978 | \$539,978 | \$1,079,956 | \$7,105,917 | \$7,294,649 | \$14,400,566 | |
| Difference, Options Total Less Target | | | | | | | |

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

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Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/5/2016
Time: 12:23:07PM

Agency code: **212** Agency name: **Office of Court Administration, Texas Judicial Council**

| Item Priority and Name/ Method of Financing | REVENUE LOSS | | | REDUCTION AMOUNT | | | TARGET |
|--|---------------------|-------------|-----------------------|-------------------------|-------------|-----------------------|---------------|
| | 2018 | 2019 | Biennial Total | 2018 | 2019 | Biennial Total | |
| Agency FTE Reductions (From FY 2018 and FY 2019 Base Request) | | | | 23.0 | 25.0 | | |

ADMINISTRATIVE AND SUPPORT COSTS

7.A. Indirect Administrative and Support Costs

8/4/2016 3:32:12PM

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

212 Office of Court Administration, Texas Judicial Council

| Strategy | | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|---------------------------------------|--------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| 1-1-1 | Court Administration | | | | | |
| OBJECTS OF EXPENSE: | | | | | | |
| 1001 | SALARIES AND WAGES | \$1,255,802 | \$ 1,205,113 | \$ 1,449,289 | \$ 1,449,289 | \$ 1,449,289 |
| 1002 | OTHER PERSONNEL COSTS | 98,401 | 72,961 | 40,492 | 40,492 | 40,492 |
| 2001 | PROFESSIONAL FEES AND SERVICES | 1,442 | 21,343 | 2,792 | 2,792 | 2,792 |
| 2003 | CONSUMABLE SUPPLIES | 7,624 | 5,102 | 5,386 | 5,386 | 5,386 |
| 2004 | UTILITIES | 2,116 | 1,700 | 2,461 | 2,461 | 2,461 |
| 2005 | TRAVEL | 14,078 | 22,896 | 21,200 | 21,200 | 21,200 |
| 2006 | RENT - BUILDING | 2,461 | 800 | 490 | 490 | 490 |
| 2007 | RENT - MACHINE AND OTHER | 17,983 | 18,534 | 18,534 | 18,534 | 18,534 |
| 2009 | OTHER OPERATING EXPENSE | 57,252 | 54,955 | 70,699 | 70,699 | 70,699 |
| Total, Objects of Expense | | \$1,457,159 | \$1,403,404 | \$1,611,343 | \$1,611,343 | \$1,611,343 |
| METHOD OF FINANCING: | | | | | | |
| 1 | General Revenue Fund | 1,244,345 | 1,125,238 | 1,360,096 | 1,360,023 | 1,359,656 |
| 777 | Interagency Contracts | 212,814 | 278,166 | 251,247 | 251,320 | 251,687 |
| Total, Method of Financing | | \$1,457,159 | \$1,403,404 | \$1,611,343 | \$1,611,343 | \$1,611,343 |
| FULL TIME EQUIVALENT POSITIONS | | 19.4 | 17.8 | 21.7 | 21.7 | 21.7 |

Method of Allocation

7.A. Indirect Administrative and Support Costs

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

212 Office of Court Administration, Texas Judicial Council

| Strategy | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|-----------------------------------|-----------------|-----------------|-----------------|----------------|----------------|
| 1-1-1 Court Administration | | | | | |

Staff that provide administrative support to the entire judiciary include the Administrative Director, Executive Assistant, Legal staff, Finance and Operations staff. Funding is generally distributed within the Court Administration strategy.

7.A. Indirect Administrative and Support Costs

8/4/2016 3:32:12PM

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

212 Office of Court Administration, Texas Judicial Council

| Strategy | | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|---------------------------------------|--------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| 1-1-2 | Information Technology | | | | | |
| OBJECTS OF EXPENSE: | | | | | | |
| 1001 | SALARIES AND WAGES | \$1,708,854 | \$ 1,770,714 | \$ 1,811,781 | \$ 1,811,781 | \$ 1,811,781 |
| 1002 | OTHER PERSONNEL COSTS | 103,316 | 64,264 | 41,872 | 41,872 | 41,872 |
| 2001 | PROFESSIONAL FEES AND SERVICES | 10,195 | 4,667 | 740 | 740 | 740 |
| 2003 | CONSUMABLE SUPPLIES | 1,079 | 427 | 2,000 | 2,000 | 2,000 |
| 2004 | UTILITIES | 5,090 | 5,703 | 9,089 | 9,089 | 9,089 |
| 2005 | TRAVEL | 16,676 | 26,004 | 18,000 | 18,000 | 18,000 |
| 2006 | RENT - BUILDING | 5,565 | 8,156 | 5,880 | 5,880 | 5,880 |
| 2007 | RENT - MACHINE AND OTHER | 5,259 | 0 | 120 | 120 | 120 |
| 2009 | OTHER OPERATING EXPENSE | 1,273,955 | 930,685 | 1,045,562 | 1,045,562 | 1,045,562 |
| 5000 | CAPITAL EXPENDITURES | 49,067 | 0 | 0 | 0 | 0 |
| Total, Objects of Expense | | \$3,179,056 | \$2,810,620 | \$2,935,044 | \$2,935,044 | \$2,935,044 |
| METHOD OF FINANCING: | | | | | | |
| 1 | General Revenue Fund | 3,179,056 | 2,810,620 | 2,935,044 | 2,935,044 | 2,935,044 |
| Total, Method of Financing | | \$3,179,056 | \$2,810,620 | \$2,935,044 | \$2,935,044 | \$2,935,044 |
| FULL TIME EQUIVALENT POSITIONS | | 25.0 | 25.5 | 26.0 | 26.0 | 26.0 |

Method of Allocation

7.A. Indirect Administrative and Support Costs

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Automated Budget and Evaluation System of Texas (ABEST)

212 Office of Court Administration, Texas Judicial Council

Exp 2015

Est 2016

Bud 2017

BL 2018

BL 2019

Information Technology Support costs are centralized within one strategy but supports the entire judiciary pursuant to the GAA, Article IV, Office of Court Administration, Judicial Council, Riders 3 and 4.

7.A. Indirect Administrative and Support Costs

8/4/2016 3:32:12PM

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Automated Budget and Evaluation System of Texas (ABEST)

212 Office of Court Administration, Texas Judicial Council

| | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|---|--------------------|--------------------|--------------------|--------------------|--------------------|
| GRAND TOTALS | | | | | |
| Objects of Expense | | | | | |
| 1001 SALARIES AND WAGES | \$2,964,656 | \$2,975,827 | \$3,261,070 | \$3,261,070 | \$3,261,070 |
| 1002 OTHER PERSONNEL COSTS | \$201,717 | \$137,225 | \$82,364 | \$82,364 | \$82,364 |
| 2001 PROFESSIONAL FEES AND SERVICES | \$11,637 | \$26,010 | \$3,532 | \$3,532 | \$3,532 |
| 2003 CONSUMABLE SUPPLIES | \$8,703 | \$5,529 | \$7,386 | \$7,386 | \$7,386 |
| 2004 UTILITIES | \$7,206 | \$7,403 | \$11,550 | \$11,550 | \$11,550 |
| 2005 TRAVEL | \$30,754 | \$48,900 | \$39,200 | \$39,200 | \$39,200 |
| 2006 RENT - BUILDING | \$8,026 | \$8,956 | \$6,370 | \$6,370 | \$6,370 |
| 2007 RENT - MACHINE AND OTHER | \$23,242 | \$18,534 | \$18,654 | \$18,654 | \$18,654 |
| 2009 OTHER OPERATING EXPENSE | \$1,331,207 | \$985,640 | \$1,116,261 | \$1,116,261 | \$1,116,261 |
| 5000 CAPITAL EXPENDITURES | \$49,067 | \$0 | \$0 | \$0 | \$0 |
| Total, Objects of Expense | \$4,636,215 | \$4,214,024 | \$4,546,387 | \$4,546,387 | \$4,546,387 |
| Method of Financing | | | | | |
| 1 General Revenue Fund | \$4,423,401 | \$3,935,858 | \$4,295,140 | \$4,295,067 | \$4,294,700 |
| 777 Interagency Contracts | \$212,814 | \$278,166 | \$251,247 | \$251,320 | \$251,687 |
| Total, Method of Financing | \$4,636,215 | \$4,214,024 | \$4,546,387 | \$4,546,387 | \$4,546,387 |
| Full-Time-Equivalent Positions (FTE) | 44.4 | 43.3 | 47.7 | 47.7 | 47.7 |

Agency code: 212

Agency name: Office of Court Administration, Texas Judicial Council

| Strategy | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|---|-----------------|------------------|------------------|------------------|------------------|
| 1-1-4 Assistance to the Administrative Judicial Regions | | | | | |
| OBJECTS OF EXPENSE: | | | | | |
| 1001 SALARIES AND WAGES | \$70,021 | \$154,027 | \$154,027 | \$157,878 | \$157,878 |
| 1002 OTHER PERSONNEL COSTS | 3,850 | 5,370 | 5,370 | 5,589 | 5,869 |
| 2009 OTHER OPERATING EXPENSE | 700 | 1,540 | 1,540 | 1,579 | 1,579 |
| Total, Objects of Expense | \$74,571 | \$160,937 | \$160,937 | \$165,046 | \$165,326 |
| METHOD OF FINANCING: | | | | | |
| 1 General Revenue Fund | 74,571 | 159,543 | 159,541 | 0 | 0 |
| 666 Appropriated Receipts | 0 | 1,394 | 1,396 | 165,046 | 165,326 |
| Total, Method of Financing | \$74,571 | \$160,937 | \$160,937 | \$165,046 | \$165,326 |
| FULL-TIME-EQUIVALENT POSITIONS (FTE): | 1.0 | 2.0 | 2.0 | 2.0 | 2.0 |
| DESCRIPTION | | | | | |
| These administrative assistants are OCA employees that provide support in the 1st and 4th judicial regions. | | | | | |

Agency code: 212

Agency name: Office of Court Administration, Texas Judicial Council

| Strategy | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|---|------------------|--------------------|--------------------|--------------------|--------------------|
| 4-1-1 Improve Indigent Defense Practices and Procedures | | | | | |
| OBJECTS OF EXPENSE: | | | | | |
| 1001 SALARIES AND WAGES | \$729,955 | \$834,281 | \$839,285 | \$839,285 | \$839,285 |
| 1002 OTHER PERSONNEL COSTS | 24,563 | 17,191 | 18,109 | 20,116 | 21,556 |
| 2001 PROFESSIONAL FEES AND SERVICES | 461 | 228 | 228 | 228 | 228 |
| 2003 CONSUMABLE SUPPLIES | 1,528 | 1,638 | 3,000 | 3,000 | 3,000 |
| 2004 UTILITIES | 3,978 | 3,316 | 3,600 | 3,600 | 3,600 |
| 2005 TRAVEL | 35,169 | 31,763 | 32,000 | 32,000 | 32,000 |
| 2006 RENT - BUILDING | 120 | 1,361 | 120 | 120 | 120 |
| 2007 RENT - MACHINE AND OTHER | 2,137 | 2,547 | 2,400 | 2,400 | 2,400 |
| 2009 OTHER OPERATING EXPENSE | 152,487 | 154,294 | 166,246 | 166,246 | 166,246 |
| Total, Objects of Expense | \$950,398 | \$1,046,619 | \$1,064,988 | \$1,066,995 | \$1,068,435 |
| METHOD OF FINANCING: | | | | | |
| 5073 Fair Defense | 950,398 | 1,046,619 | 1,064,988 | 1,066,995 | 1,068,435 |
| Total, Method of Financing | \$950,398 | \$1,046,619 | \$1,064,988 | \$1,066,995 | \$1,068,435 |
| FULL-TIME-EQUIVALENT POSITIONS (FTE): | 10.4 | 10.9 | 11.0 | 11.0 | 11.0 |
| DESCRIPTION | | | | | |
| Texas Indigent Defense Commission support staff are centralized within one strategy. Positions supported include the Director, attorneys, accountants, executive assistant, project manager, and program specialists. | | | | | |

Agency code: 212

Agency name: Office of Court Administration, Texas Judicial Council

| | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|---|--------------------|--------------------|--------------------|--------------------|--------------------|
| GRAND TOTALS | | | | | |
| Objects of Expense | | | | | |
| 1001 SALARIES AND WAGES | \$799,976 | \$988,308 | \$993,312 | \$997,163 | \$997,163 |
| 1002 OTHER PERSONNEL COSTS | \$28,413 | \$22,561 | \$23,479 | \$25,705 | \$27,425 |
| 2001 PROFESSIONAL FEES AND SERVICES | \$461 | \$228 | \$228 | \$228 | \$228 |
| 2003 CONSUMABLE SUPPLIES | \$1,528 | \$1,638 | \$3,000 | \$3,000 | \$3,000 |
| 2004 UTILITIES | \$3,978 | \$3,316 | \$3,600 | \$3,600 | \$3,600 |
| 2005 TRAVEL | \$35,169 | \$31,763 | \$32,000 | \$32,000 | \$32,000 |
| 2006 RENT - BUILDING | \$120 | \$1,361 | \$120 | \$120 | \$120 |
| 2007 RENT - MACHINE AND OTHER | \$2,137 | \$2,547 | \$2,400 | \$2,400 | \$2,400 |
| 2009 OTHER OPERATING EXPENSE | \$153,187 | \$155,834 | \$167,786 | \$167,825 | \$167,825 |
| Total, Objects of Expense | \$1,024,969 | \$1,207,556 | \$1,225,925 | \$1,232,041 | \$1,233,761 |
| Method of Financing | | | | | |
| 1 General Revenue Fund | \$74,571 | \$159,543 | \$159,541 | \$0 | \$0 |
| 666 Appropriated Receipts | \$0 | \$1,394 | \$1,396 | \$165,046 | \$165,326 |
| 5073 Fair Defense | \$950,398 | \$1,046,619 | \$1,064,988 | \$1,066,995 | \$1,068,435 |
| Total, Method of Financing | \$1,024,969 | \$1,207,556 | \$1,225,925 | \$1,232,041 | \$1,233,761 |
| Full-Time-Equivalent Positions (FTE) | 11.4 | 12.9 | 13.0 | 13.0 | 13.0 |